



## **Final Draft of the original manuscript**

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**Performance evaluation of Eta/HadGEM2-ES and Eta/MIROC5  
precipitation simulations over Brazil.**

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26 **Abstract**

27 Climate change effects can have significant impacts worldwide. Extreme events can  
28 modify water availability and agricultural production, making climate change planning  
29 an essential task. The National Institute for Space Research (INPE in Portuguese) in  
30 Brazil has made a large dataset of regional climate model outputs (simulations and  
31 projections) available, which opens up many possibilities of carrying out high-resolution  
32 climate change studies. However, there is still no performance evaluation of the model-  
33 derived rainfall output against high-resolution ground-based observation data considering  
34 the Brazilian biomes. This paper attempts to fill this gap and evaluates the simulated  
35 precipitation throughout Brazil. We used gridded observed precipitation data and  
36 historical climate simulations from the Model for Interdisciplinary Research on Climate,  
37 version 5 (MIROC5) and from the Hadley Center Global Environment Model, version 2  
38 (HadGEM2-ES), which were downscaled by the Eta RCM (Regional Climate Model).  
39 For the overlapping period (1980-2005), there is good agreement (PBIAS up to 10%) of  
40 downscaled annual simulations for the Amazon and Cerrado biomes and large biases  
41 (reaching 40%) in the Pampa biome, compared to the observations. Our results showed  
42 that HadGEM2-ES is capable of representing long-term mean monthly precipitation for  
43 large areas well, such as the Amazon and Cerrado. Furthermore, the Eta RCM has  
44 considerably improved the driving GCM MIROC5 simulations. In conclusion, we  
45 recommend using the HadGEM2-ES simulations for the Amazon, Eta/HadGEM2-ES for  
46 the Atlantic Forest, Cerrado, and Pampa, and Eta/MIROC5 for the Caatinga and Pantanal.  
47 Our study provides an overview of two downscaled simulation datasets in Brazil that may  
48 help verify the models' suitability for further climate change assessments.

49

50 **Keywords:** Climate change, general circulation model, rainfall, regional climate model.

## 51 1. INTRODUCTION

52 Effects of climate change (e.g., warming of the atmosphere, extreme weather  
53 events contributing to a lack or excess of water) have significant socio-economic and  
54 environmental impacts worldwide (Hansen and Cramer, 2015). In Brazil, more severe  
55 and frequent hydrometeorological events (e.g., droughts, floods, landslides) are expected.  
56 Such extreme events can significantly alter water availability and agricultural production  
57 (PBMC, 2013). To ensure appropriate climate change planning and mitigation policies,  
58 accurate projections of climate changes are an essential but yet challenging task for the  
59 scientific research community due to the large number of climate-sensitivity factors that  
60 need to be considered (McNutt, 2013).

61 Future climate projections are usually produced by Global Climate Models  
62 (GCMs) or Earth System Models (ESM), which resolve the physics and dynamics of the  
63 Earth System as a whole (IPCC, 2013). GCMs/ESMs are the most advanced scientific  
64 tools for simulating responses of global climate regarding to variations of greenhouse gas  
65 concentration and to support climate change studies (Mello et al., 2015). The community-  
66 wide use of GCMs/ESMs is widely recognized in the fifth phase of the Coupled Model  
67 Intercomparison Project (CMIP5). The CMIP5 initiative comprises new sets of climate  
68 model experiments, which are coordinated by the World Climate Research Programme  
69 and include more than 50 complex GCMs/ESMs (Knutti and Sedláček, 2012; Taylor et  
70 al., 2012). Climate data are produced under different Representative Concentration  
71 Pathway scenarios (RCP 8.5, 6, 4.5, 2.6 Wm<sup>-2</sup>) of the 5<sup>th</sup> Assessment Report (AR5) from  
72 the IPCC (IPCC, 2014). These pre-determined climatic conditions have been widely used  
73 to understand the climate and project climate changes on Earth (Zhao et al., 2013).

74 To provide a large volume of climatic data at global coverage, GCM historical  
75 simulations and future projections are usually produced at relatively coarser resolution

76 (grid sizes in the order of 100 km-200 km) to assess climate change impacts. To evaluate  
77 the potential impacts of climate change on regional scales at finer spatial resolution (grid  
78 sizes in the order of 20 km), simulations and projections from GCMs are usually  
79 downscaled by employing Regional Climate Models (RCMs) (Giorgi, 1990; Maraun et  
80 al., 2017). The National Institute for Space Research (INPE) developed four sets of  
81 downscaled products based on the Eta RCM for Brazil, parts of South America and  
82 adjacent oceans, forced with both RCP 8.5 and RCP 4.5 scenarios obtained from the AR5  
83 taken from global simulations and projections from two GCMs/ESMs, namely  
84 HadGEM2-ES and MIROC5 GCMs, respectively (Chou et al., 2014a). Choosing  
85 GCMs/ESMs was based on a satisfactory performance in resolving precipitation and  
86 atmospheric circulation over South America, and also importantly due to ease  
87 accessibility of the data on public domain (Brazil, 2016; Flato et al., 2013). These  
88 downscaling simulations were performed in support of strategic climate change studies  
89 and the Brazilian Third National Communication to the United Nations Framework  
90 Convention on Climate Change (Brasil, 2016). Before the CMIP6 new data sets becomes  
91 fully available, those data based on AR5 scenarios are the latest and most advanced  
92 products in terms of spatial resolution available for climate change studies in South  
93 America. As a result, these regional-scale scenarios have been adopted for the Brazilian  
94 National Adaptation Plan for Climate Change.

95 For over 30 years, the RCMs have been satisfying the need of high-spatial  
96 resolution climatologies for climate change impacts assessments, overcoming the  
97 inability of the GCMs to deal with it. During this time, we had phases of development,  
98 maturing, and exploration of contradictions and limitations (Tapiador et al., 2019). Due  
99 the large publicly availability, the comparison between simulations of the RCMs against  
100 their driving-GCM turned into a common and necessary procedure to assess and evaluate

101 the added values of the dynamical downscaling employed. For the South America, some  
102 of the state-of-the-art of added value from RCMs simulations were performed using  
103 CORDEX experiments from the CMIP5 datasets. Llopart et al. (2019) assessed the added  
104 value of a pair of multi-model ensembles of RCMs and GCMs. They found, in terms of  
105 precipitation, an added value of the RCMs in the coastal portion of the South Atlantic  
106 Convergence Zone (SACZ) and the Intertropical Convergence Zone (ITCZ), showing the  
107 clear improvement of the finer resolution in resolving convective schemes, such as the  
108 convergence zones. In their study, Falco et al. (2018) found that all the multi-model  
109 ensembles analyzed could reproduce the main features of seasonal climatology, while the  
110 individual analyses presented more biases, showing the needed for individual assessing  
111 of models. Moreover, they concluded that added value of RCMs simulations over  
112 historical periods were not general, being found only in certain combinations of model-  
113 region. The most noticeable degrading of simulations was found on winter climatology.  
114 Solman & Blázquez (2019) evaluated the ability of RCMs and their corresponding  
115 driving-GCM in reproducing the precipitation spatial distribution and behavior in a multi-  
116 temporal scale over South America. According to their results, spatial patterns and  
117 seasonal means are close related with large-scale atmospheric circulation, such as SACZ  
118 and ITCZ, and for some regions large biases (underestimating or overestimating) in  
119 rainfall amount were found for a group of RCMs. On common point was found for all  
120 above mentioned studies: this kind of analysis is the basis for further work using climatic  
121 projections. The ability of GCM/RCM in simulate historical spatial distribution and  
122 patterns of climatologies tell us a lot about their projections for the future.

123 As mentioned above, to increase the degree of confidence in these model  
124 projections, their simulations need to be evaluated compared to historical observations.  
125 However, a proper investigation of Eta/HadGEM-ES and MIROC5 has not yet been fully

126 addressed. A study conducted by Chou et al. (2014b) is the only one that has previously  
127 evaluated these RCM products (Eta/HadGEM2-ES and Eta/MIROC5). Their analyses use  
128 long-term monthly and seasonal mean fields of temperature and precipitation from 1961  
129 to 1990 against a relatively coarse resolution CRU TS 3.1 global gridded dataset (Mitchell  
130 and Jones, 2005) focusing mainly on summer and winter seasons. However, the results  
131 were obtained by averaging the simulations over oversized areas with heterogeneous  
132 hydroclimatic characteristics and multiple precipitation regimes, limiting the  
133 representation of specific local climatic characteristics. When averaging precipitation  
134 over oversized areas or regions, we can mask some regional characteristics and local  
135 features, and the use of large areas to analyze precipitation seems to be viable only when  
136 using spatial distribution. As we can see in supplementary Figure S1, the averaged  
137 observed precipitation (1980-2005) over North and Central-West of Brazil  
138 (administrative regions) does not reproduce the precipitation regime of any biome within  
139 this area (Amazon, Cerrado, and Pantanal). The use of the smaller and more coherent  
140 areas for averaging precipitation was done before. For the Europe territory, Christensen  
141 & Christensen (2007) and Dosio & Paruolo (2011) used eight sub-areas taking in account  
142 topography and climate features. Gregory et al. (1991) adopted nine spatially coherent  
143 precipitation regions for analyzing area-averaged statistics of precipitation in Great  
144 Britain. In a global scale, some of world biomes were adopted by Huxman et al. (2004)  
145 for an average rain-use efficiency in aboveground net primary production using global  
146 precipitation data. Thus, we claim that the most appropriate is to use hydrometeorological  
147 divisions, such as biomes or hydrographic regions. Therefore, to improve the RCM  
148 evaluation across Brazil, a more suitable division should consider areas with similar  
149 ecoclimatic dynamics and characteristics. In this context, the Brazilian biomes appear as  
150 a viable alternative. These biomes were defined by the Ministry of Environment in Brazil

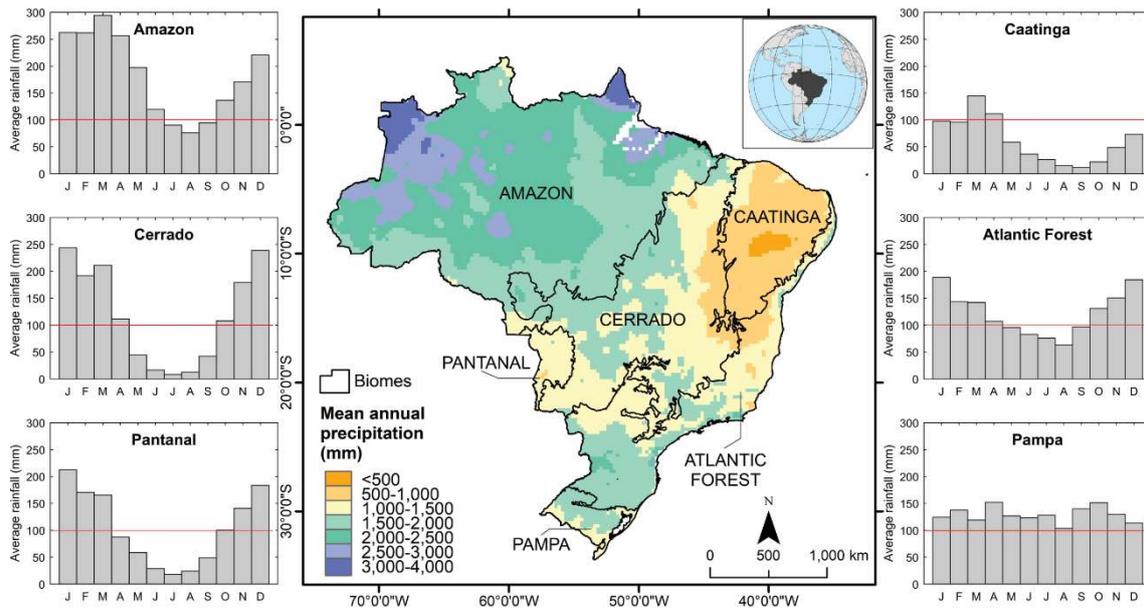
151 and are large ecosystems with relatively similar and uniform climate, vegetation and  
152 biodiversity, relative to the overall extent of the country (Brown and Maurer, 1989;  
153 Coutinho, 2016).

154 The objective of this study is to evaluate the Eta/HadGEM2-ES and  
155 Eta/MIROC5 performance to represent long-term monthly and seasonal mean  
156 precipitation over key Brazilian biomes. We compared the simulated precipitation of the  
157 driving GCMs (HadGEM2-ES and MIROC5) and their respective downscaled datasets  
158 (Eta/HadGEM2-ES and Eta/MIROC5) against a high-resolution gridded dataset derived  
159 from observational networks in Brazil. In addition, we investigated the possible origin of  
160 biases in the simulated precipitation.

## 161 **2. MATERIAL AND METHODS**

### 162 **2.1. Brazil: Study area and biomes**

163 Given its large spatial extent (8,511,000 km<sup>2</sup>) including a large range of  
164 elevation (sea level to 2900 m altitude) and heterogeneous patterns of precipitation  
165 seasonality, Brazil's diverse vegetation types are classified into six main biomes (see  
166 Figure 1). We followed previous definitions (Li et al., 2006; Murray-Tortarolo et al.,  
167 2017; Myneni et al., 2007) that consider 100 mm per month as a threshold for defining a  
168 month within the dry season to verify if the simulations are capable of estimating the  
169 onset, duration, and termination of both rainy and dry seasons. This value is a global  
170 precipitation threshold that considers the amount to begin runoff (Zhang et al., 2004), to  
171 maintain the vegetation growth (Li et al., 2006; Murray-Tortarolo et al., 2017), and  
172 sensitivity analysis (Murray-Tortarolo et al., 2017).



173

174 Figure 1. Brazilian biomes map and their respective mean monthly precipitation shown as bar  
 175 plots. Monthly means were calculated for the 1980-2013 period from daily dataset originally  
 176 developed by Xavier et al. (2016). The red lines represent a threshold used as a criterion to  
 177 identify the dry months (< 100 mm).

178

179

180 The Amazon is the largest tropical biome in the world, consisting of a densely  
 181 vegetated rainforest with the highest annual mean precipitation (average annual  
 182 precipitation of 2.3 m and greater than 4 m/year in some portions of western Amazon)  
 183 and a short dry season (Sombroek, 2001). The Cerrado biome mainly consists of  
 184 woodlands and savanna and is crucial for water and food supplies, for maintaining  
 185 ecological services and for economic activities in Brazil. Moreover, it is considered as  
 186 one of the most important biomes in Brazil related to food-energy-water security (Oliveira  
 187 et al., 2014). The Pantanal is one of the largest flooded areas in the world and it is the  
 188 most intact biome in Brazil (Junk et al., 2006). It has very defined dry and rainy seasons,  
 189 and the flood cycles – caused not by an excess of precipitation but due to drainage  
 190 deficiency – are the most important ecological phenomenon (Ribas and Schoederer,  
 191 2007). The Caatinga biome is characterized by a semi-arid region in the Northeast of  
 192 Brazil. It comprises mostly secondary vegetation (herbaceous and arboreous) and  
 presents the lowest values of annual precipitation with a severe dry season – about 70%

193 of annual precipitation occurs in February-April period (Menezes et al., 2012; Pinheiro et  
194 al., 2013). The Atlantic Forest is characterized by rainforest cover in the coastal area and  
195 the semi-deciduous forest in the continental area with very defined wet and dry seasons  
196 (Morellato and Haddad, 2000). Finally, the Pampa biome is located in the South of Brazil  
197 and has no defined dry season. Natural grasslands are predominant, with tree formations  
198 and sparse shrub, and it is referred to as “Campos” (Lupatini et al., 2013; Roesch et al.,  
199 2009).

## 200 **2.2. Data acquisition and processing**

201 We used rainfall data from the Eta Regional Climate Model, available from  
202 INPE (<http://projeta.cptec.inpe.br/>). These products were generated using climate forcing  
203 data derived from the rlilpl ensemble member of two GCMs/ESMs: the British  
204 HadGEM2-ES and the Japanese MIROC5 (both original GCM/ESM products available  
205 at <https://esgf-data.dkrz.de/search/esgf-dkrz/>). The MIROC5 is an atmosphere-ocean  
206 general circulation model (AOGCM), with a 1.4°x1.4° spatial resolution for the  
207 atmospheric parcel, that brought several improvements on Intertropical Convergence  
208 Zone (ITCZ) and El Niño Southern Oscillation (ENSO) simulations (Watanabe et al.,  
209 2010). The HadGEM2-ES is also a coupled AOGCM, with atmospheric resolution of  
210 1.875°x1.25°, that brings some components generated interactively by the model, instead  
211 of being assigned as boundary conditions (Jones et al., 2011).

212 The baseline period is defined from 1961 through 2005. The INPE dataset  
213 was produced at approximately 20 km spatial grid resolution for South America and with  
214 two different Representative Concentration Pathways (RCP4.5 and RCP8.5),  
215 respectively. The Eta RCM downscaling procedure is described in more detail in Chou et  
216 al. (2014a, 2014b).

217 To evaluate the simulated monthly precipitation from both datasets, we  
218 compared model-derived precipitation against a gridded-interpolated product derived  
219 from observations (0.25° by 0.25° spatial resolution), developed by Xavier et al. (2016),  
220 and available at <http://careyking.com/data-downloads/>. This product used observed  
221 precipitation data derived from approximately 4,000 rain gauges from the Brazilian Water  
222 Agency (ANA), the National Institute of Meteorology (INMET), and the Water and  
223 Electric Energy Department of São Paulo state (DAEE/SP) from 1980-2013.  
224 Furthermore, this reference dataset has been extensively applied in many fields of study,  
225 such as evaluation of remote sensing products (Melo et al., 2015; Paredes-trejo et al.,  
226 2018, 2017; Paredes-trejo and Barbosa, 2017), vegetation response to rainfall variability  
227 (Souza et al., 2016), impacts of climatic extremes (Melo et al., 2016), and climate change  
228 assessments (Almagro et al., 2017).

229 We applied an interpolation method on the global climate model outputs and  
230 on the reference gridded observations to a common spatial resolution of the Eta RCM  
231 spatial resolution, which is the focus of this study. We applied a first-order conservative  
232 remapping method (Jones, 1999) using the Climate Data Operators (CDO) to preserve  
233 the main characteristics of each dataset and to ensure that any area average – area of a  
234 pixel or an area of a biome – would be similar to the original dataset , allowing more  
235 detailed comparisons when considering the different resolutions and introducing the less  
236 possible kind of error associated to the remapping. As we show in the supplementary  
237 material (Figure S2), the highest mean error added to the dataset due the first-order  
238 conservative remap is about 0.01 mm, a value lower than the uncertainty associated to the  
239 most of common ground rain gauges observations and to our reference dataset (Villarini  
240 et al., 2008; Xavier et al., 2016). The same procedure was widely done in previous studies  
241 (Diaconescu et al., 2015; Nadeem et al., 2019; Wu et al., 2020).

### 2.3. Metrics to evaluate the simulated precipitation

To evaluate the performance and quality of simulated precipitation against the observed data product, we used the following statistical metrics: Percentage Bias (PBIAS), Root Mean Squared Error (RMSE), Correlation Coefficient (CC) and Coefficient of Variation (CV) (Equations 1, 2, 3 and 4).

$$BIAS = \frac{1}{n} \sum_{i=1}^n \left( \frac{P_{sim\ i} - P_{obs\ i}}{P_{obs\ i}} \right) \quad (1)$$

$$CC = \frac{n(\sum_{i=1}^n P_{sim\ i} P_{obs\ i}) - (\sum_{i=1}^n P_{sim\ i})(\sum_{i=1}^n P_{obs\ i})}{\sqrt{[n \sum_{i=1}^n P_{sim\ i}^2 - (\sum_{i=1}^n P_{sim\ i})^2][n \sum_{i=1}^n P_{obs\ i}^2 - (\sum_{i=1}^n P_{obs\ i})^2]}} \quad (2)$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (P_{obs\ i} - P_{sim\ i})^2}{n}} \quad (3)$$

$$CV = \frac{\sigma}{P} \quad (4)$$

where  $P$  is the long-term mean precipitation from observations “*obs*” and simulations “*sim*”;  $\sigma$  is the standard deviation of the annual precipitation; and  $n$  is the number of points for each biome.

We also ranked the performance of GCMs and RCMs to simulate some properties of precipitation, such as the rainy and dry periods, seasonal and annual precipitation for each biome. To do so, we followed the methodology proposed by Deidda et al. (2013), which is commonly applied on GCM-RCM comparison (see Mascaro et al., 2018). This methodology calculates a single dimensionless error metric, the  $\epsilon_j$ , for each precipitation property combining multiple variables that characterizes that property (Table 1). Then, models are ranked by the value of  $\epsilon_j$  (lower rank means better performance), calculated by Equation 5.

Table 1. Properties and the variables ( $k$ ) considered to calculate the errors ( $E_{k,j}$ ) for each property.

Property	Variable, $k$	Error, $E_{k,j}$
----------	---------------	------------------

<b>Dry-season</b>	Number of dry months, DM	$ DM_{obs} - DM_j $
<b>Seasonal cycle</b>	Seasonal root mean square error, RMSE	$ RMSE_{obs} - RMSE_j $
	Seasonal correlation coefficient, CC	$1 - CC_j$
<b>Annual cycle</b>	Mean annual P, P	$ \bar{P}_{obs} - \bar{P}_j $
	Coefficient of variation of annual P, CV	$ CV_{obs} - CV_j $

264

$$265 \quad \epsilon_j = \sqrt{\sum_{k=1}^S \left( \frac{E_{k,j}}{\sum_{i=1}^N E_{k,i}} \right)^2} \quad (5)$$

266 where  $\epsilon_j$  is the dimensionless error for the  $j$  ( $j=1, \dots, N$ ) simulation;  $E_{k,j}$  is the error  
267 between observed and simulated values of the variable  $k$  ( $k=1, \dots, S$ ), and dividing it by  
268 the sum of the errors of all models, we obtain a dimensionless contribution for the error  
269 of variable  $k$ . Then, summing and taking the square root of the error parcel for all variables  
270  $S$ , we reach the  $\epsilon_j$  for the rank.

#### 271 **2.4. Regional and spatial analysis**

272 Using the baseline period (1980-2005) from simulations and observations, we  
273 calculated the long-term precipitation averages at monthly, seasonal (December, January  
274 and February – DJF; March, April and May – MAM; June, July and August – JJA; and  
275 September, October and November – SON), and annual scales for each grid point for all  
276 datasets across Brazilian biomes (Figure 1). Then, we performed two distinct analyses  
277 with regards to regional averages and spatial distributions and patterns using the metrics  
278 described in Subsection 2.3.

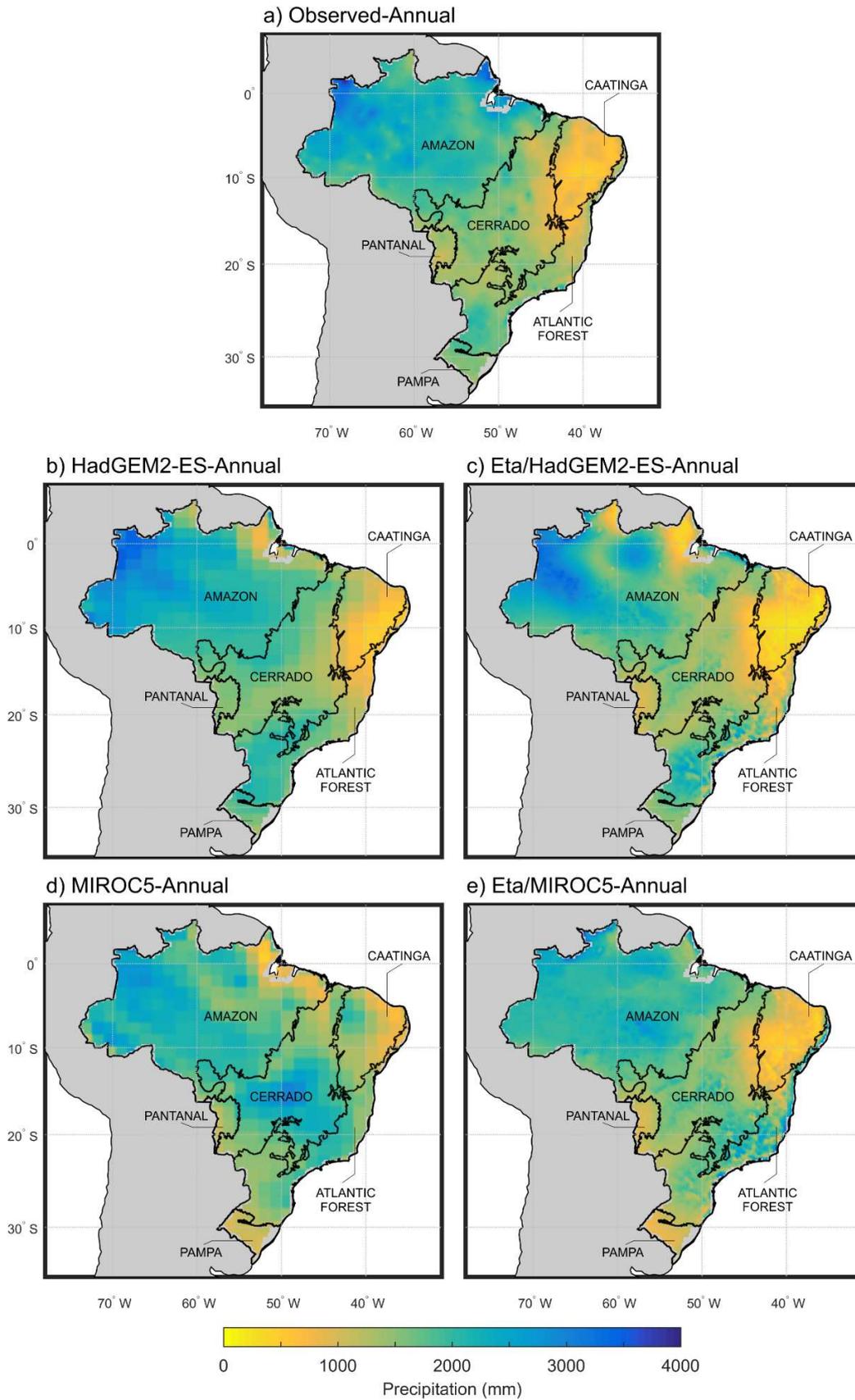
279 We computed the PBIAS, CC and CV separately for each biome, considering  
280 grid points within the biome to represent biome-average quantity (regional analysis) and  
281 the PBIAS and CV on a grid point scale for the whole of Brazil (spatial analysis). The

282 first analysis identifies a general behavior for each biome while the second one enables  
283 an investigation of the biases at the same time, as well as spatial patterns and their possible  
284 causes. To assess the reliability of the models to represent the wet and dry months, we  
285 computed the biome-specific long-term mean for each month of the year.

### 286 **3. RESULTS AND DISCUSSION**

#### 287 **3.1. Spatial patterns on annual and seasonal precipitation**

288 In this section, we present the results of spatial distribution of the biases  
289 among observations (Figure 2a) and models' simulations/projections (Figure 2b to 2e)  
290 and observations for the entire Brazilian territory. The analysis identifies the spatial  
291 patterns of precipitation, and consequently the biases (Figure 3) in relation to the observed  
292 annual means.



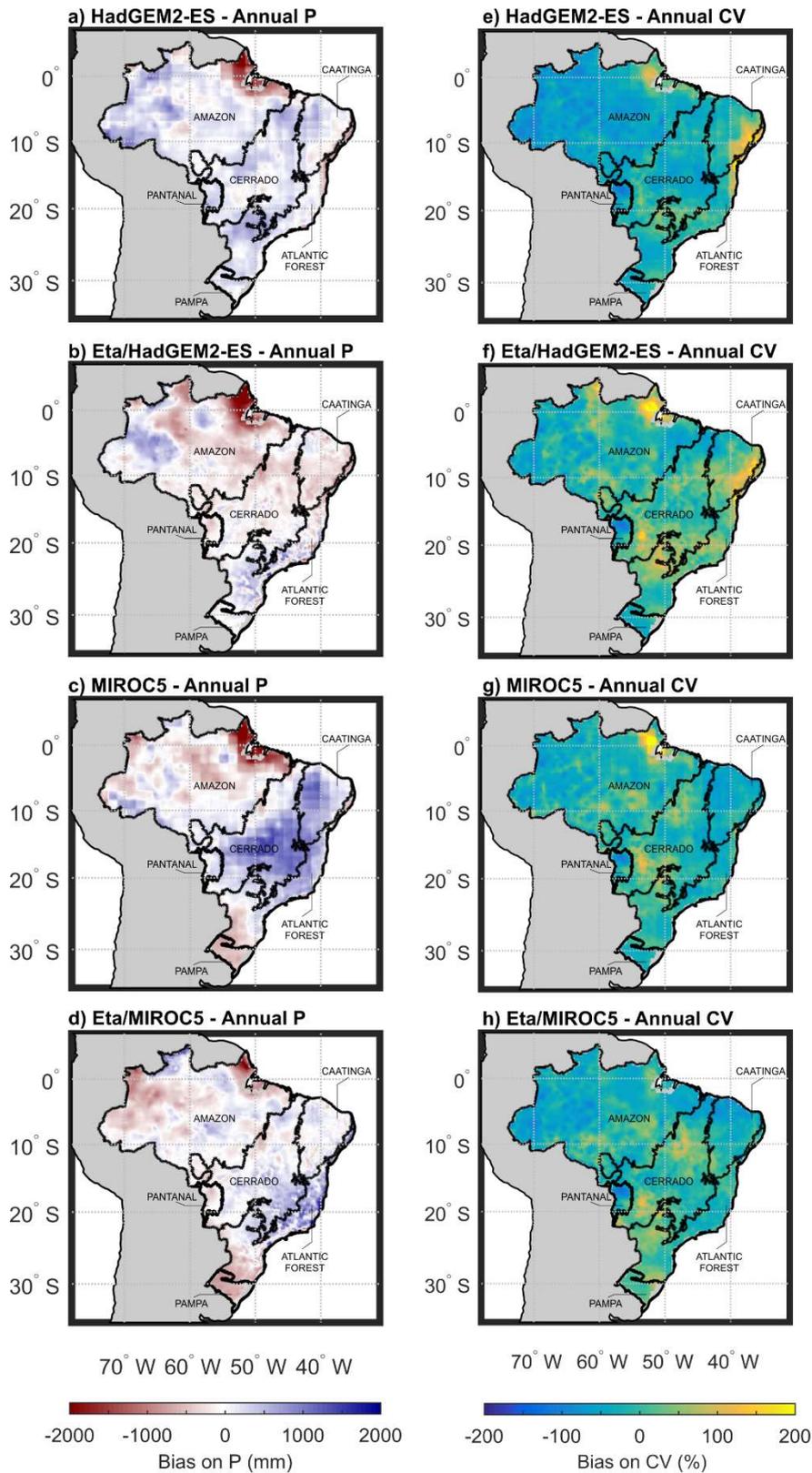
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294 Figure 2. Spatial distribution of the annual precipitation (P) over the Brazilian biomes for a)  
 295 Observed dataset; b) HadGEM2-ES dataset; c) Eta/HadGEM2-ES dataset; d) MIROC5  
 296 dataset; and e) Eta/MIROC5 dataset.

297           The HadGEM2-ES presented an overall positive bias throughout Brazil with  
298 negative biases observed in some areas in the northern region. The downscaling process  
299 barely corrected the biases on annual precipitation simulations, but a negative bias was  
300 generated in the Caatinga biome portion, where the lowest values of annual precipitation  
301 occur and a small change in rainfall corresponds to a relatively large percentual change.  
302 At the same time, in the coastal area of the Northeast region, in the Atlantic Forest biome,  
303 a negative bias was spread to the Eta simulations. Even with the 20 km resolution, the Eta  
304 RCM is not capable of capturing the rainfall system neither improve the representation of  
305 the phenomenon. This is also true with respect to sea-breeze induced rainfall along the  
306 Amazonian coastal zone. Due to the coarse resolution, we cannot expect that the  
307 GCMs/ESMs capture sea-breeze induced rainfall. However, we expect that Eta RCM  
308 would at least improve the simulations, but it did not.

309           For the MIROC5 simulations, extremely high values of PBIAS (which  
310 reached up to 200%) were found in the midwestern and northeastern areas of Brazil.  
311 However, the Eta/MIROC5 downscaled simulations reduced the biases while remaining  
312 just few grid points with positive values in the coast of southeastern region. These results  
313 show the inability of MIROC5 to simulate mean annual precipitation over a large area of  
314 Brazil. At the same time, the results demonstrate the great improvement of Eta/MIROC5  
315 in relation to its original coarse-scale GCM product.

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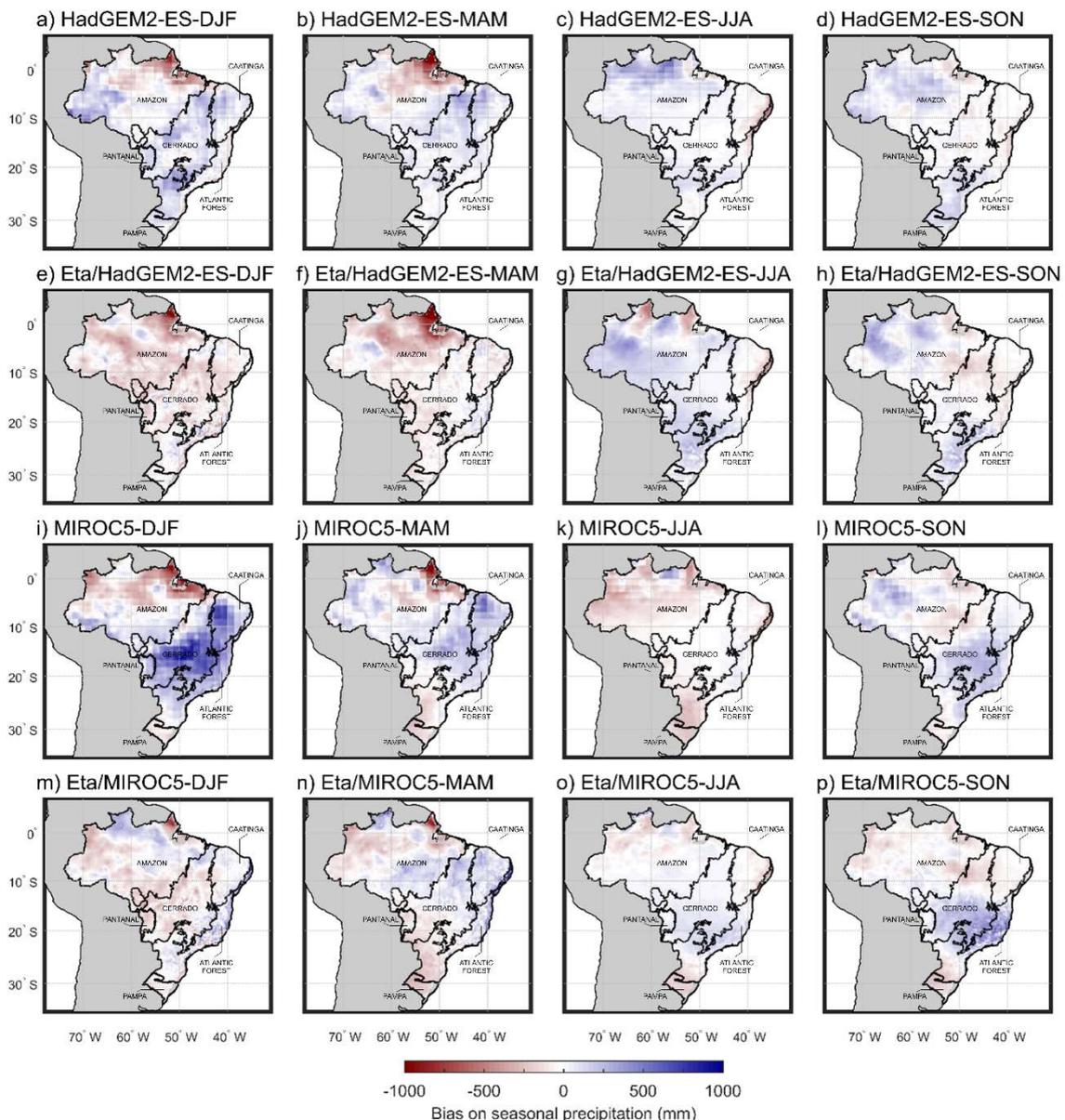


317

318 Figure 3. Spatial distribution of the PBIAS on annual precipitation (P) and on the annual  
 319 coefficient of variation (CV) over the Brazilian biomes. a) and e) represents the PBIAS and  
 320 CV on HadGEM2-ES simulations; b) and f) represents the PBIAS and CV on Eta/HadGEM2-  
 321 ES simulations; c) and g) represents the PBIAS and CV on MIROC5 simulations; and d) and  
 322 h) represents the PBIAS and CV on Eta/MIROC5 simulations.

323                   Figure 4 shows the bias on the long-term mean precipitation for each season  
324 (DJF, MAM, JJA and SON) calculated for all evaluated model products against  
325 observations (see also supplementary Figure S2). As we can note in the DJF and MAM  
326 seasons, there is an overestimation of all simulations for the extreme north of the Amazon,  
327 which can be related to the inability of the models to capture the sea-breeze influence on  
328 the rainfall systems in this part of Brazil. The Amazonian coastal regions of Amapá and  
329 Pará states are strongly influenced by sea-breeze and are affected by it up to 300 days a  
330 year. The negative bias observed in this region could be related to the wrong simulation  
331 of this phenomenon, which can be expected by a GCM (due to its coarse resolution).  
332 However, it was expected that this local feature would be captured and reproduced by the  
333 Eta model. For other locations and biomes, the simulations significantly improved the use  
334 of Eta RCM, especially for the MIROC5, showing the good suitability of Eta RCM  
335 downscaling process on these seasons over Brazil. During the JJA season, high-pressure  
336 systems ( $< 1,013$  hPa), or anticyclonic, dominate the subtropical region (see Figure S5),  
337 making the formation of clouds more difficult and blocking the occurrence of rainfall.  
338 The amount of precipitation in much of Brazil is very low, except for the northern part of  
339 the Amazon and southern part of the Pampa. In general, both GCMs represented these  
340 features of the dry season well and we noted more improvement of the Eta RCM in the  
341 MIROC5 data. Despite this, due to the very low rainfall amounts observed in the JJA  
342 months, any minimal over/underestimation generates an expressive relative bias, as we  
343 can see in Figure S6. For the SON season, the simulations presented low biases in absolute  
344 terms and higher biases in relative terms, in the same way as JJA. The downscaling  
345 process did not improve the simulations of HadGEM2-ES, maintaining the spatial  
346 behavior of the biases. For the MIROC5 simulations, there was a great improvement in

347 the Amazon biome and a change in the signal of the biases in the Caatinga biome. Once  
 348 again, this is due to low precipitation totals in this biome.



349  
 350 Figure 4. Absolute biases (BIAS) in each season (DJF, MAM, JJA and SON) simulated  
 351 precipitation in Brazilian biomes. a) to d) represent the BIAS for the HadGEM2-ES for all  
 352 seasons; e) to h) represent the BIAS for the Eta/HadGEM2-ES for all seasons; i) to l) represent  
 353 the BIAS for the MIROC5 for all seasons; and m) to p) represent the BIAS for the Eta/MIROC5  
 354 for all seasons. Shades of blue indicate a positive BIAS while shades of red indicate a negative  
 355 BIAS.

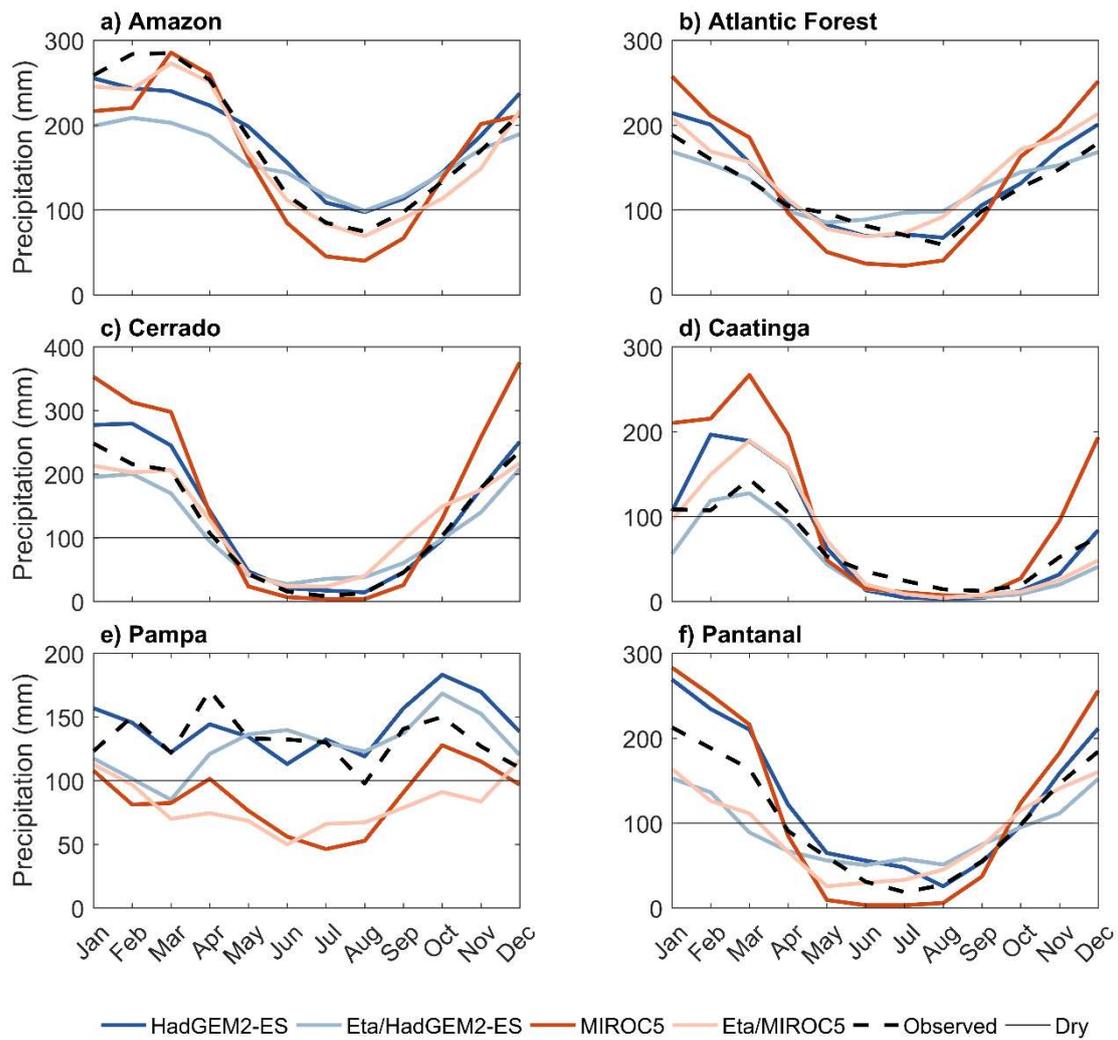
### 356 3.2. Long-term means and annual variability at the biomes

357 In this section, we present and discuss the results of mean monthly and annual  
 358 precipitation, and the annual variability during the 1980-2005 period for each Brazilian

359 biome. The long-term mean monthly precipitation analysis identifies how well the models  
360 can simulate precipitation patterns and the dry/rainy seasons. Figure 5 shows the  
361 comparison of the downscaled and driver-family models' simulations against the  
362 observations. We used an error bar (standard deviation) of observations to create a range  
363 of acceptable values for the simulations. Eta/HadGEM2-ES generally underestimates the  
364 mean monthly rainfall in the rainy season and represents it well in the dry season. On the  
365 other hand, HadGEM2-ES overestimates the means for rainy and underestimates means  
366 for dry seasons, but most of these under/overestimations are inside the range of acceptable  
367 values. For larger areas such as the Atlantic Forest, the Amazon and Cerrado, the GCM  
368 means are closer to observations than RCM simulations in the rainy season (DJF to  
369 MAM). In the Amazon, Cerrado and Pantanal biomes, Eta/HadGEM2-ES has more  
370 negative precipitation biases during the rainy season, which reach almost -50%.  
371 Considering the error bar of observations, the Eta RCM simulated the rainy and dry  
372 seasons over the biomes well, except for the Pantanal (one month longer) and Pampa (no  
373 defined dry season). Throughout the Amazon, we verified very large error bars due its  
374 large area and spatial variability of rainfall regimes. Taking this into account, downscaled  
375 models were capable of simulating the short dry season (three months), showing close  
376 values to the observations and a significant drop from the rainy season rain. In the Pampa  
377 biome, where there is no defined dry season, the HadGEM2-ES model was capable of  
378 capturing this characteristic but, at the same time, produced considerable errors in the  
379 DJF and SON months. These large errors found in the Pampa are related to the coarse  
380 resolution of the GCMs, which makes Pampa's area relatively small for 100-200 km  
381 simulations. In the Caatinga, most of the GCM and RCM simulations were considered  
382 acceptable, except for HadGEM2-ES in February and Eta/HadGEM2-ES in January.

383 Moreover, the dry season and distribution of rainfall over the year were well simulated  
384 by all models.

385           The mean monthly rainfall simulated by Eta/MIROC5 is overestimated in the  
386 rainy season and underestimated in the dry season in the Atlantic Forest and Caatinga  
387 biomes, while in the Cerrado and Pantanal, the opposite pattern is observed. In relation  
388 to the original MIROC5 product, the downscaled Eta/MIROC5 version mostly improved  
389 the values, thereby showing a clear added value to the downscaling process. The Amazon  
390 means are underestimated in all months of the year, and there is a noticeable improvement  
391 of the values simulated by Eta/HadGEM2-ES in the DJF and JJA months. The poorest  
392 simulation was performed for the Pampa biome, where the model cannot represent the  
393 absence of a dry season. Moreover, simulations for this biome has the largest difference  
394 to the observations with just two months of simulations inside the error bar of the  
395 observations. In general, Eta/MIROC5 can capture the rainy and dry season except for  
396 the Pampa biome. Finally, the Eta/MIROC5 is generally drier than the driving GCM in  
397 the wet season and wetter in the dry season.

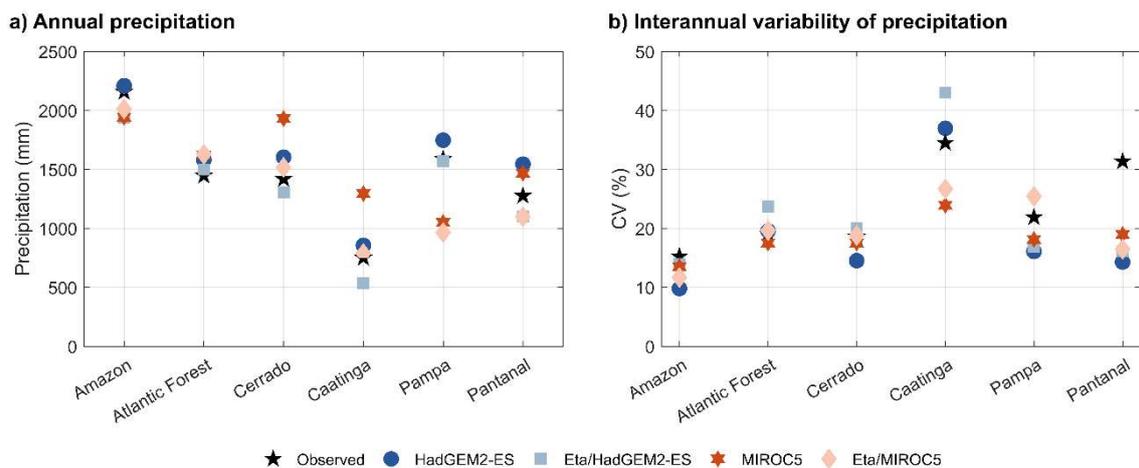


398  
 399 Figure 5. Long-term mean monthly rainfall for the 1980-2005 period for observations (black  
 400 dashed line), HadGEM2-ES (dark blue line), Eta/HadGEM2-ES (light blue line), MIROC5 (dark  
 401 red line), and Eta/MIROC5 (light red line) simulations in the a) Amazon, b) Atlantic Forest, c)  
 402 Cerrado, d) Caatinga, e) Pampa, and f) Pantanal. The red reference line represents a threshold  
 403 used as a criterion to identify the dry season (< 100 mm).

404 In terms of seasonality, for most biomes, the Eta/HadGEM2-ES is drier than  
 405 HadGEM2-ES in the wet season, but the wetter behavior in the dry season is less obvious  
 406 than the Eta/MIROC5 one. In general, the downscaling process applied by the Eta RCM  
 407 improved the long-term mean monthly values, but those on the MIROC5 were more  
 408 notable than for HadGEM2-ES. The simulations of MIROC5 were originally not as good  
 409 as the one of the simulations of HadGEM2-ES and this led to a more notable improvement  
 410 of the downscaling process for the first model. At the same time, our analysis of the

411 annual cycle clearly showed that the downscaled simulations are more suitable for the  
 412 biomes where there are large amounts and well defined rainy and dry seasons.

413 For the mean annual rainfall during the period 1980-2005, Eta/HadGEM2-ES  
 414 performed well for the Atlantic Forest (+2%), Caatinga (-6%) and Pampa (-3%), while  
 415 Eta/MIROC5 shows lower biases over the Amazon (-7%), Cerrado (+6%) and Pantanal  
 416 (-14%) (Figure 6a). We found a poor performance of Eta/HadGEM2-ES for the mean  
 417 annual rainfall in the Cerrado with negative biases up to -26%, and Eta/MIROC5 in the  
 418 Pampa biome with underestimates up to -39%. No model was capable of capturing the  
 419 annual variability (Figure 6b) for the Pantanal and just the HadGEM2-ES captured it for  
 420 the Caatinga biome. These two biomes presented the highest values of observed CV once  
 421 they presented the lowest observed amounts of annual precipitation.



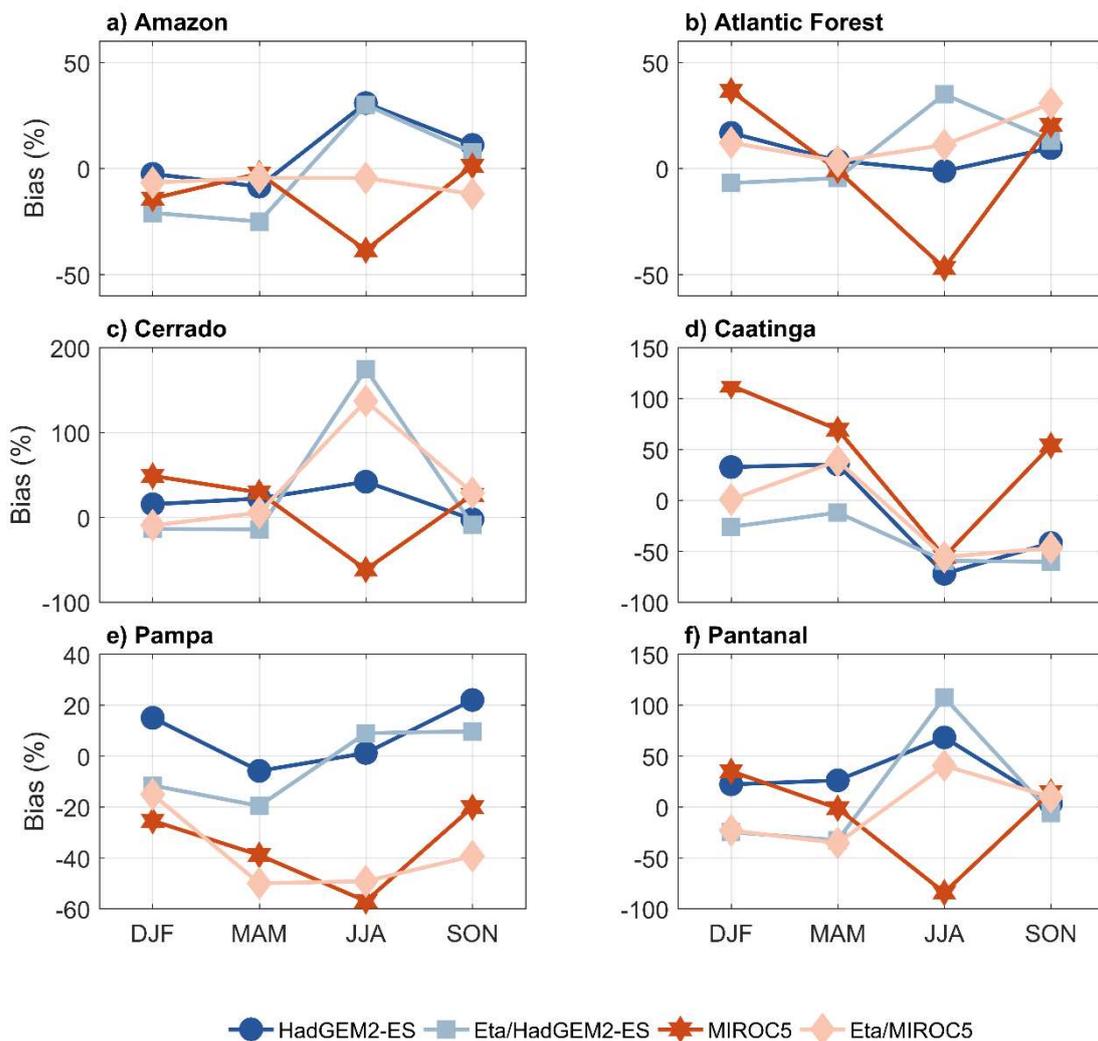
422

423 Figure 6. Annual characteristics of precipitation over the Brazilian biomes for the 1980-2005  
 424 period. The mean annual precipitation (a) is presented in absolute values to differ the magnitudes  
 425 between the biomes. The annual variability (b) is calculated by the division of annual standard  
 426 deviation by the annual mean precipitation.

### 427 3.3. Long-term mean seasonal precipitation

428 Figure 7 shows the percent bias (PBIAS) of HadGEM2-ES, Eta/HadGEM2-  
 429 ES, MIROC5 and Eta/MIROC5 in terms of amount in seasonal precipitation simulations.  
 430 In general, Eta/HadGEM2-ES underestimates the rainfall in DJF and MAM in the  
 431 Brazilian biomes, while the JJA and SON rainfalls are overestimated in the Amazon,

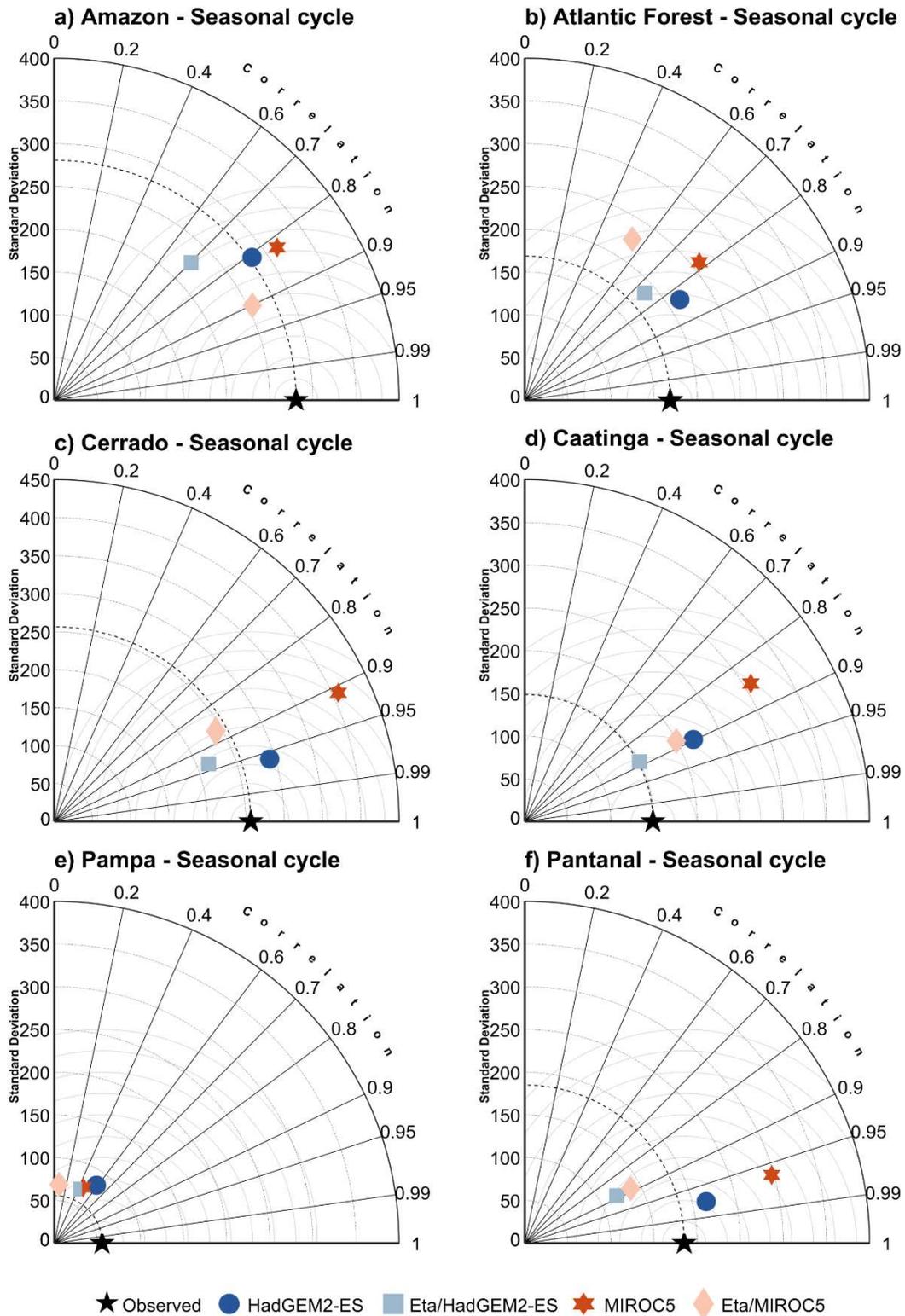
432 Atlantic Forest and Pampa. In the Cerrado and Pantanal, the largest biases occurred in the  
 433 JJA season (dry period), which were increased by the downscaling process (Eta RCM)  
 434 (Figure 7c and 7f). All seasons in the Caatinga were underestimated by the HadGEM2-  
 435 ES, in terms of the amount in the season. On the other hand, Eta/MIROC5 underestimates  
 436 rainfall throughout all the seasons in the Amazon and Pampa. Overestimates were  
 437 simulated for MAM, JJA and SON in the Cerrado, DJF and MAM in the Caatinga, JJA  
 438 and SON in the Pantanal and for all seasons in the Atlantic Forest, with lower  
 439 overestimates in the dry season. The Eta/MIROC5 shows some improvements of the  
 440 rainfall biases compared with its driving GCM, especially in the rainy seasons (DJF and  
 441 MAM) for all biomes. These improvements were more distinct than for Eta/HadGEM2-  
 442 ES, where improvements were restricted to some season/biome combinations.



443

444 Figure 7. PBIAS on the long-term seasonal precipitation for the 1980-2005 period simulated by  
445 models against observations in the a) Amazon, b) Atlantic Forest, c) Cerrado, d) Caatinga, e)  
446 Pampa, and f) Pantanal.

447 Correlation coefficients (Equation 3) are presented in Figure 8 for the GCM  
448 and RCM simulations. The results for the Eta/GCM corroborate those presented by Chou  
449 et al. (2014b), who found spatial correlations above 0.50 for all simulations in their  
450 regional analysis for the whole of Brazil. These better values of seasonal means compared  
451 to mean monthly values are expected, once that spatial errors are being reduced when  
452 averaging (Pierce et al., 2009). In general, Eta/HadGEM2-ES simulates the mean  
453 seasonal precipitation better than the Eta/MIROC5 in the Atlantic Forest, Caatinga,  
454 Cerrado and Pampa. We highlight the smaller correlation of Eta/HadGEM2-ES  
455 simulations in the Pantanal during the JJA (dry season) and minimal correlation (up to  
456 0.07) found between Eta/MIROC5 and observations in Pampa during the MAM and SON  
457 and Pantanal's JJA. On the other hand, both models show good results (up to 0.95) in  
458 simulating the seasonal cycle of precipitation for the Amazon, Caatinga, and Cerrado  
459 biomes. Once again, we noted better improvements of Eta RCM for MIROC5 than  
460 HadGEM2-ES and the best performance of GCM simulations for large areas such as the  
461 Amazon and Cerrado.



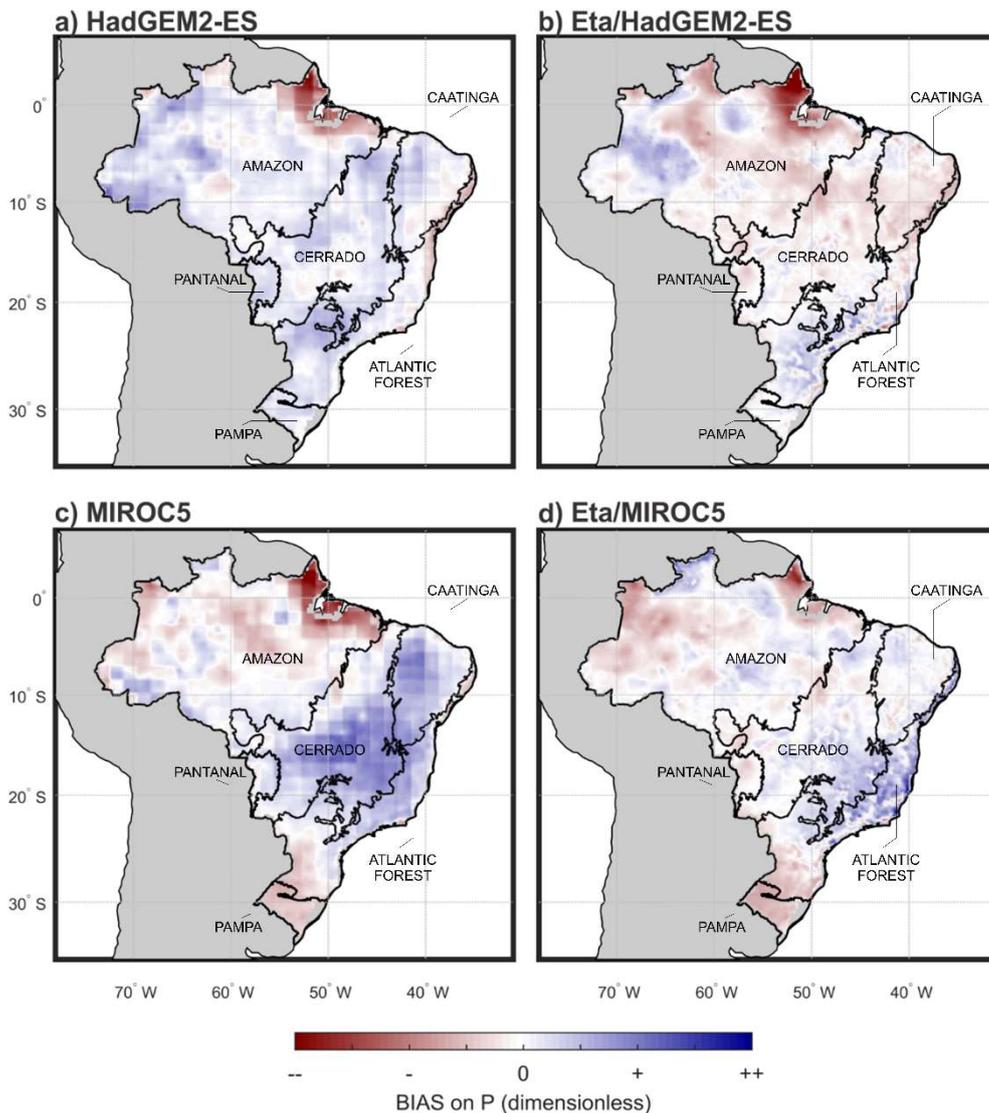
462

463 Figure 8. Taylor diagrams of seasonal mean precipitation over a) Amazon, b) Atlantic Forest, c)  
 464 Cerrado, d) Caatinga, e) Pampa, and f) Pantanal for simulations and observations. Means of the  
 465 observed seasonal precipitation are marked as a black star. The azimuth and the radial distance  
 466 from the origin of the plot represents the correlation coefficient and the standard deviation (mm)  
 467 of simulated data in relation to the observed value, respectively.

468

469 **3.4. Investigating the origin of the biases**

470 We consider that precipitation biases in the Eta/HadGEM2-ES and  
471 Eta/MIROC5 simulations for Brazilian biomes may have three possible reasons: a) they  
472 are produced by the GCM and not corrected by Eta RCM; b) they are produced by the  
473 Eta RCM and are absent in the CGM; or c) they are related to uncertainty in the  
474 observations. Figure 9 provides some insight concerning these reasons.



475 Figure 9. Mean bias error for precipitation simulations of a) HadGEM2-ES, b) Eta/HadGEM2-  
476 ES, c) MIROC5, and d) Eta/MIROC5 for the 1980-2005 period.

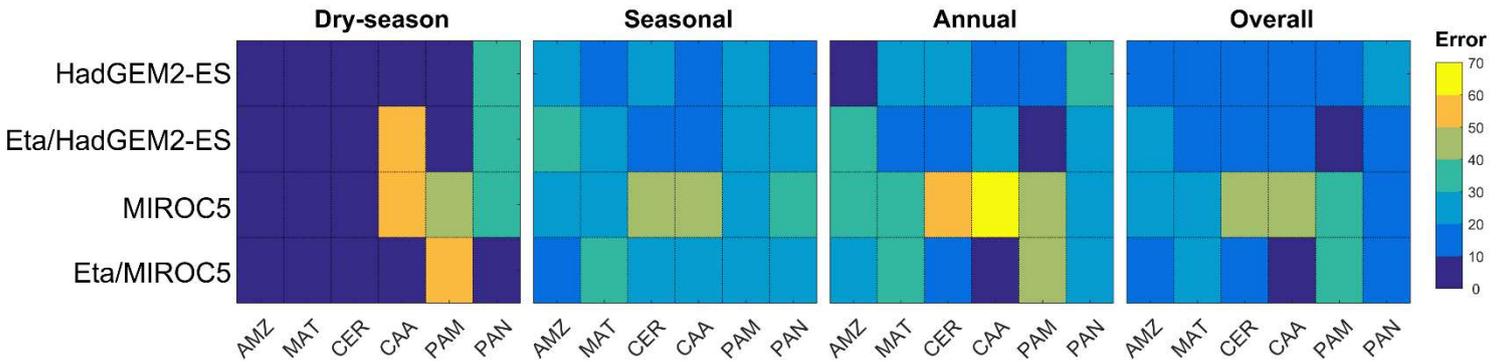
478 Figures 9a and 9b show that simulations of HadGEM2-ES and  
479 Eta/HadGEM2-ES have a positive bias in the western part of the Amazon and the  
480 southern part of the Atlantic Forest and negative biases in the northeastern area of the

481 Atlantic Forest and Caatinga biomes. In turn, Fig. 9c and 9d indicate negative biases in  
482 the northern part of the Amazon, the southern region of the Atlantic Forest and all  
483 throughout the Pampa biome, and strong positive biases in the Cerrado and the central  
484 part of the Atlantic Forest. These biases are likely related to inherent driving GCM biases  
485 that can be carried out from GCM to RCM via the lateral boundary conditions (Ehret et  
486 al., 2012; Xu and Yang, 2015). The mean bias error estimated for the Pantanal is positive  
487 for the GCM simulations and negative for the RCM simulations. This kind of error, and  
488 the lower biases seen in Figure 3 of Section 3.1 for HadGEM2-ES instead of  
489 Eta/HadGEM2-ES, could be explained by the downscaling process, but more in-depth  
490 analysis in the data generation in the downscaling process and also in the physical  
491 processes involved leading to deforestation need to be made. According to Chou et al.  
492 (2014b), the Eta RCM is especially suited for regions with steep topography (particularly  
493 because of the Eta vertical coordinate). Some of the surface physical processes of the  
494 Pantanal biome may not be simulated accurately by the Eta RCM, leading to errors in the  
495 precipitation outputs. We noted that all simulated data show a high negative mean bias  
496 error in the extreme north of Brazil. A logical reason is the failure of the GCMs to capture  
497 local features such as the sea breeze induced rainfall. The sea breeze circulation typifies  
498 a mesoscale atmospheric system from coastal areas. It is a specific local wind system  
499 (from sea to land) due to thermal differences between land and sea surfaces, which leads  
500 to low level pressure anomalies. In the tropics, the mesoscale diurnal processes, such as  
501 the sea breeze, are particularly important and may occur in 3 out of every 4 days (Ahrens,  
502 2010; National Research Council, 1992). As shown in Kousky (1980), the Amazonian  
503 coastal area is highly influenced by the sea breeze, with formation and propagation of the  
504 line of convective activity inland. Thereof, we can relate the negative bias found in this  
505 region to the inability of the GCMs to capture this mesoscale system, resulting in lower

506 amounts simulated than the observed ones. Moreover, using Eta RCM did not resolve this  
507 local feature. A logical reason is the uncertainty of the observations. The rain gauge  
508 stations in Brazil are not equally distributed over the biomes and the northern part of  
509 Brazil has the lowest density of stations (Xavier et al., 2016). Moreover, interpolation  
510 methods for generating the observational grid have uncertainties that can impact our  
511 results and must be considered. The inability of the GCMs to capture the local sea breeze  
512 influenced rainfall along with the observational gap in the northern part of the Amazon,  
513 resulted in a strong negative bias.

514           Based on the biases of the models to simulate temporal and spatial  
515 precipitation patterns (Equation 5), we calculated their suitability for the Brazilian  
516 biomes, which is graphically represented in a heatmap (Figure 10). The heatmap divides  
517 the errors into classes and each class has a color, ranging from blue (best) to yellow  
518 (worst). In general, models were capable of simulating the phase and the amplitude of the  
519 rainy and dry seasons for the large and well-defined season biomes. We highlight the  
520 excellent performance of models to simulate the dry season in the Amazon, Atlantic  
521 Forest, and Cerrado biomes. The Caatinga and Pantanal presented significant  
522 improvements on this property for the Eta/MIROC5. For the seasonal cycle, there was an  
523 overall good performance of models. MIROC5 presented the highest errors (worst  
524 performance) for Cerrado and Caatinga biomes, but the downscaling process improved  
525 their ability to simulate seasonal precipitation. Related to the annual precipitation  
526 (represented by the mean annual precipitation and annual variability), as well as for  
527 seasonal precipitation, the GCM MIROC5 was not capable of capturing the main  
528 characteristics of the Cerrado and Caatinga biomes. For all properties, simulations were  
529 improved by using Eta RCM in HadGEM2-ES data for the Pampa biome. For the same  
530 biome, MIROC5's simulations did not improve by using Eta RCM. In a general view of

531 simulated precipitation over Brazil, the Eta RCM improved the results of HadGEM2-ES  
 532 for many biomes, except for the Amazon and Caatinga, where the original GCM is more  
 533 suitable than the downscaled data. Related to the MIROC5 family, the GCM simulations  
 534 were improved in the Amazon, Cerrado, Caatinga and Pantanal by the Eta RCM. For the  
 535 Atlantic Forest and Pampa, the Eta RCM worsened the simulations.



537 Figure 10. Heatmap of the relative error ( $\epsilon_j$ ) of precipitation properties for Brazilian biomes  
 538 (Amazon – AMZ, Atlantic Forest – MAT, Cerrado – CER, Caatinga – CAA, Pampa – PAM, and  
 539 Pantanal – PAN). The lower the error value, the better the model represents the dry season,  
 540 seasonal and annual precipitation. The “Overall” refers to an integrated evaluation of models to  
 541 simulate all the previous properties.

542 As we showed above, it is not a rule that the downscaling procedure will  
 543 provide more suitable values of precipitation. The driving GCM HadGEM2-ES proved  
 544 to be more suitable than Eta/HadGEM2-ES for large biomes such as the Amazon and  
 545 Caatinga. At the same time, Eta/MIROC5 significantly improved the monthly means for  
 546 almost all biomes and, consequently, the annual totals. For the Pampa biome, only the  
 547 HadGEM2-ES family was capable of simulating the precipitation on acceptable levels.  
 548 These results must be considered when the projections of these models are used.  
 549 Moreover, our results supports previous studies that aimed in the same RCM-GCM  
 550 evaluation. Liang et al. (2008) observed very high spatial correlation between RCM  
 551 minus GCM differences in precipitation and temperature between present and future  
 552 climates, indicating that a major portion of the biases found on simulations (either for  
 553 RCM and GCM) are systematically propagated into their future projections. In addition,

554 they concluded that, even the uncertainty of future climate projections is sensitive to  
555 present climate simulation biases, there is no linear relationship between simulation and  
556 projections biases, depending on regions and models. We can infer that a model that better  
557 reproduce the present climate lead us to be more confident in the physical and dynamical  
558 processes considered and represented by this model under boundary conditions applied,  
559 such as the historical GHG concentration. Considering that only the boundary conditions  
560 and scenarios are changed for project future climate, we can also expect a good  
561 representation of the climate for a given scenario by the model. And even with the  
562 advances in model developments and computational power, biases are still occurring (and  
563 sometimes increasing) and the identification of their causes is an actual need for assessing  
564 future impacts (Addor and Seibert, 2014). These highlights the importance of a more  
565 accurate assessment of the origin and incidence of models' biases, using adequate regions  
566 for the evaluation. As showed in Teutschbein & Seibert (2012), there is always a best bias  
567 correction method for a group of regions – achieving the best mean statistical results –  
568 but it is not always the best for all regions.

569           As is well known, GCMs and RCMs suffer from substantial biases, especially  
570 regarding precipitation (Flato et al., 2013; Kotlarski et al., 2014), and climate model  
571 precipitation usually needs to be bias corrected before these data are used for impact  
572 assessments. The most accurate chose of regions of assessment implies in the a more  
573 accurate chose of bias correction method to be applied in future projections, enhancing  
574 climate change impact studies.

#### 575 **4. CONCLUSIONS**

576           We evaluated the performance of the downscaled precipitation data from  
577 higher-resolution RCM simulations driven by two coarser-resolution GCM products  
578 (Eta/HadGEM2-ES and Eta/MIROC5). Both products have been used in the Brazilian

579 Third National Communication to the UN Framework on Climate Change. We  
580 statistically analyzed long-term means of the simulated precipitation compared to high-  
581 resolution observation-based gridded products in order to better understand the reliability  
582 of these simulations. Our analysis was conducted for the six main Brazilian biomes in  
583 order to consider areas with rather homogeneous (eco)climatological patterns and we  
584 evaluated the precipitation simulations in terms of monthly and seasonal means, thereby  
585 considering the separation into rainy and dry seasons. To the best of our knowledge, it is  
586 the most appropriate evaluation of high-resolution climate change datasets of  
587 precipitation for large areas in Brazil.

588           For the long-term mean monthly analysis, HadGEM2-ES and Eta/HadGEM2-  
589 ES simulated rainy and dry seasons very well in the Amazon, Atlantic Forest and Cerrado  
590 biomes. This result expresses the potential reliability of the GCM to simulate mean fields  
591 of precipitation in large areas. The GCMs require lower time and computational effort to  
592 process long-term data for large areas than RCMs and in this case, HadGEM2-ES presents  
593 itself as a viable alternative for larger Brazilian biomes. In turn, Eta/MIROC5 showed  
594 great improvements when compared to its driving-GCM MIROC5. In most cases, for all  
595 biomes, the downscaling brought the simulated means close to the observational means.  
596 In the Pampa biome, no model was able to represent the mean monthly precipitation well.  
597 However, in some cases, the biases embedded in the model simulations interfered in the  
598 identification and duration of rainy/dry seasons. The long-term mean seasonal analysis  
599 showed that the Eta RCM modifies the range of precipitation, with less reliability of  
600 models to simulate means in the dry season (JJA and SON). According to our heatmap,  
601 we recommend the following model for each biome: HadGEM2-ES for the Amazon,  
602 Eta/HadGEM2-ES for the Atlantic Forest, the Cerrado, and the Pampa, and Eta/MIROC5  
603 for the Caatinga and the Pantanal.

604           The development of regional climate models for Brazil increases the  
605 country's ability to better understand the impacts of climate change. However, these data  
606 must be used with caution, as RCM simulations have systematic errors. Our results show  
607 that Eta/HadGEM2-ES and Eta/MIROC5 data for Brazil have various biases, which can  
608 be originated from the driving GCMs, introduced by the downscaling RCM, and related  
609 to uncertainties in the observational data. As these models project rainfall data for the  
610 future as well, it is expected that these biases are also present in these projections and if  
611 these data are not corrected, any hydrological application will be compromised. When  
612 corrected, the climate change simulations and projections become a valuable tool for  
613 increasing the resilience and decreasing the environmental, social, and economic  
614 vulnerability.

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627

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