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# Composition optimization of low modulus and high-strength TiNb-based alloys for biomedical applications

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## Abstract

The effect of chemical composition on microstructure and tensile properties of a series of low modulus Ti-Nb-Cu-Ni-Al alloys was studied. These alloys consist of primary micrometer-sized  $\beta$ -Ti dendrites surrounded by intermetallic phases. The morphology of the intermetallic phases is strongly affected by composition. Due to the composite microstructure, the alloys exhibit a low Young's modulus (77 – 84 GPa) together with a high yield strength of about 1000 MPa as well as moderate tensile ductility. The results demonstrate that complete substitution of Al by Ti reduces the Young's modulus by 5 %. Increasing Nb content at the expense of Ti causes a significant improvement of tensile ductility.

Keywords: titanium alloy; biomedical alloy; implant material; low Young's modulus; deformation mechanism

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## Introduction

Metallic biomaterials – the materials of choice for orthopedic implants – are fundamental to improve the quality of life and longevity of human beings (Geetha et al., 2009). The commonly used metallic implant materials like stainless steel, Co-Cr or Ti-6Al-4V alloys exhibit several times higher Young's modulus values (120 – 210 GPa) compared to that of human bone (0.1 – 30 GPa) (Callister, 2007; Long and Rack, 1998; Park and Bronyino, 2000; Smallman and Bishop, 1999). A large difference in Young's modulus between a metallic implant and bone leads to a disproportionate load distribution, known as load or stress shielding effect (Geetha et al., 2009; Niinomi, 2008a). Since the health of bone is determined by the applied loads, this load shielding tends to induce a loss of bone mass (Geetha et al., 2009; Park and Bronyino, 2000). Therefore, the optimization of mechanical compatibility, in particular, lowering of the Young's modulus to mimic that of bones is a main challenge for developing of new biomedical metallic alloys for load-bearing implants. Additionally, a successful implant material for load-bearing applications should possess excellent biocompatibility, superior corrosion resistance in the body environment, and must be free of cytotoxicity (Geetha et al., 2009; Long and Rack, 1998; Tane et al., 2011).

Among metallic materials, titanium (Ti) alloys exhibit the most suitable characteristics for biomedical applications (Bönisch et al., 2015; Geetha et al., 2009; Niinomi, 2008b, 1998; Niinomi et al., 2012) what particularly has led to an increasing interest in the development of new Ti-based materials over the past years (Attar et al., 2015; H. Attar et al., 2014; Hooyar Attar et al., 2014; Laheurte et al., 2010; Niinomi, 2008b). Importantly, the Young's modulus of

titanium alloys is the lowest among the common metallic biomaterials. Classification of titanium alloys implies, generally, three main groups, i.e.  $\alpha$ -, ( $\alpha + \beta$ )-, and  $\beta$ -type alloys (Ilyin et al., 2009), where the Young's modulus of the  $\beta$ -type titanium alloys is the lowest with values ranging from 40 to 80 GPa (Geetha et al., 2009; Long and Rack, 1998; Niinomi, 1998). In particular, the lowest Young's moduli of  $\beta$ -type titanium alloys are achieved in the solutionized condition (Niinomi et al., 2012). Unfortunately, the strength of solutionized titanium alloys is relatively low; for example, the yield strength of Ti-35.3Nb-5.1Ta-7.1Zr (also known as TNZT) is below 400 MPa (Niinomi et al., 2012). Therefore, an improvement of the strength characteristics of  $\beta$ -type titanium alloys is required for use in biomedical applications. The strengthening of  $\beta$ -type titanium alloys is achieved by a conventional aging treatment. This, however, leads to larger Young's modulus values (although, controlling the aging treatment allows the Young's modulus to be kept below 80 GPa) (Niinomi et al., 2012). Severe plastic deformation, such as severe cold rolling or severe cold swaging (Eschke et al., 2014; Marr et al., 2013, 2011; Romberg et al., 2013; Skrotzki et al., 2014), is highly effective in increasing the strength of titanium alloys while maintaining a low Young's modulus. The problem with this approach is that the high strength of severely deformed metals is achieved by compromising ductility (Koch, 2003, 2007; Ovid'ko, 2007).

He *et al.* reported a strategy to employ the high strength and low Young's modulus of nanomaterials for biomedical applications by creating an as-cast bimodal microstructure consisting of nanostructured intermetallic phases and coarse ductile  $\beta$ -Ti crystals (He and Hagiwara, 2006, 2005; He et al., 2003; I.V. Okulov et al., 2014b; I V. Okulov et al., 2014). The implant application of these alloys is problematic due to harmful alloying elements, for

example, copper (Cu), nickel (Ni) and aluminum (Al) in the Ti-Nb-Cu-Ni-Al alloys (Okulov et al., 2013). Although, some toxic alloying elements, like Ni and Cu, can be tolerated by a human body in minute amounts (Burghardt et al., 2015; Park and Bronyino, 2000), Al is associated with long-term health problems (e.g. Alzheimer's disease, neuropathy and osteomalacia) and its content should be minimized (Geetha et al., 2009). In our previous investigation, we reported on the effect of lower Cu and Ni contents on microstructure and mechanical properties of a series of Ti-Nb-Cu-Ni-Al alloys (Okulov et al., 2013). These alloys are particularly interesting for future possible applications due to their high bioperformance values (ratio of yield strength-to-Young's modulus) (Matsumoto et al., 2007; Okulov et al., 2013). Here, we show the effect of reducing the amount of Al and optimizing the niobium (Nb) content on the microstructure and mechanical properties of Ti-Nb-Cu-Ni-Al alloys for biomedical applications. Since understanding the deformation mechanism is vital in order to fully exploit the mechanical performance with respect to possible application, a detailed microstructural analysis of deformed samples was carried out.

### **Materials and methods**

The master alloys were fabricated from pure elements (99.9 wt.%) under argon atmosphere. Rod samples (diameter – 12 mm and length – 100 mm) were prepared by the inductive melting and casting into a water-cooled Cu crucible. The detailed experimental procedure of sample preparation was described elsewhere (I.V. Okulov et al., 2015, 2014a). X-ray diffractometry (XRD) (STOE STADIP) with Cu-K $\alpha_1$  radiation together with the X'Pert High Score Plus software was used for structural investigation of samples. The microstructure before

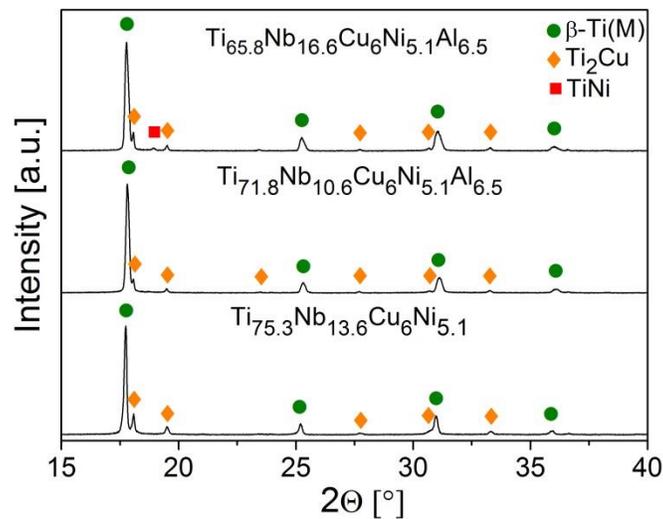
and after tensile deformation was investigated by scanning electron microscopy (SEM) (Zeiss Leo Gemini 1530) coupled with energy-dispersive X-ray analysis (EDX) (Bruker Xflash 4010). For SEM observation, samples were mounted with conductive Cu-based resin and ground with SiC paper in the sequence 600, 1200, 2500 and 4000 grit with a Struers Rotopol machine. Finally, specimens were polished around 5 min with a solution of colloidal SiO<sub>2</sub> and 10 vol.% of H<sub>2</sub>O<sub>2</sub> (OP-S, particle size 0.04 μm) and cleaned in the ultrasound bath for 5 min. The ImageJ image software was used to analyze size and volume fraction of phases. Flat tensile test samples with 8 mm gauge length and 1 mm thickness were tested at room temperature using an Instron 8562 testing machine at an initial strain rate of 10<sup>-4</sup> s<sup>-1</sup>. The strain was measured by a laser extensometer (Fiedler Optoelektronik).

## Results and discussions

### Microstructure

**Fig. 1** shows the XRD diffractograms of the developed alloys in the as-cast state.

According to XRD analysis, the alloys are  $\beta$ -type alloys (space group of the  $\beta$ -Ti phase:  $Im-3m$ ) with some amount of intermetallic  $Ti_2Cu$  phase (space group:  $I4/mmm$ ). These phases were also reported for the precursor  $Ti_{68.8}Nb_{13.6}Cu_6Ni_{5.1}Al_{6.5}$  alloy (Okulov et al., 2013). The  $Ti_{65.8}Nb_{16.6}Cu_6Ni_{5.1}Al_{6.5}$  alloy contains additionally a minor fraction of the intermetallic TiNi phase (space group:  $Pm-3m$ ). The lattice parameter of this TiNi is  $a_0 = 0.3051 \pm 0.0001$  nm.

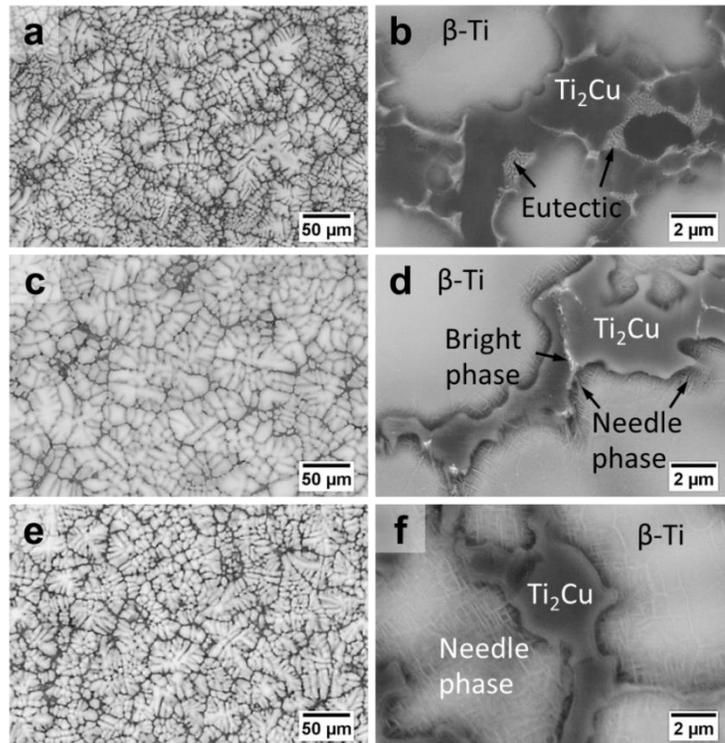


**Fig. 1** XRD patterns of the alloys developed in this study in the as-cast state

The lattice parameter of the  $\beta$ -Ti phase increases from  $Ti_{71.8}Nb_{10.6}Cu_6Ni_{5.1}Al_{6.5}$  ( $a_0 = 0.3239 \pm 0.0001$  nm) to  $Ti_{65.8}Nb_{16.6}Cu_6Ni_{5.1}Al_{6.5}$  ( $a_0 = 0.3247 \pm 0.0001$  nm) due to the increasing amount of Nb forming a solid solution with Ti (I.V. Okulov et al., 2015; Okulov et al., 2013). The further increase of the  $\beta$ -Ti phase lattice parameter in  $Ti_{75.3}Nb_{13.6}Cu_6Ni_{5.1}$

( $a_0 = 0.3253 \pm 0.0001$  nm) is, most likely, due to the removal of Al, which possesses a lower atomic radius compared with Ti.

**Fig. 2** presents the microstructure of the studied alloys in the as-cast state. The  $\beta$ -Ti phase exhibits dendritic morphology. The intermetallic phases, i.e.  $Ti_2Cu$  and  $TiNi$ , are distributed between the  $\beta$ -Ti dendrites. The volume fraction of the  $\beta$ -Ti phase is  $90 \pm 2$  vol. % for all three compositions. These values are very close to that of  $86 \pm 2$  vol. % of the precursor  $Ti_{68.8}Nb_{13.6}Cu_6Ni_{5.1}Al_{6.5}$  alloy (Okulov et al., 2013). Thus, increasing or decreasing the Nb content by 3 at% at the cost of Ti relative to the precursor alloy as well as complete substitution of Al by Ti do not lead to notable changes of the volume fraction of the  $\beta$ -Ti phase.



**Fig. 2** SEM backscattered electron images (BSE) of the studied alloys in the as-cast state. (a) and (b)  $Ti_{65.8}Nb_{16.6}Cu_6Ni_{5.1}Al_{6.5}$ ; (c) and (d)  $Ti_{71.8}Nb_{10.6}Cu_6Ni_{5.1}Al_{6.5}$ ; (e) and (f)  $Ti_{75.3}Nb_{13.6}Cu_6Ni_{5.1}$

A more detailed analysis of the microstructure (Figs. 2 d and f) shows that the periphery of the  $\beta$ -Ti dendrites is decorated by an unknown nanoscale needle-shaped phase in  $\text{Ti}_{71.8}\text{Nb}_{10.6}\text{Cu}_6\text{Ni}_{5.1}\text{Al}_{6.5}$  and the Al-free alloy. A similar needle-shaped phase was reported in (Helth et al., 2013). Helth *et al.* have found that this phase is enriched in Cu and Ni and depleted in Nb and Al. Due to immiscibility of Cu and Nb as well as the miscibility of Ni and Cu, both Cu and Ni segregate from the Nb-rich dendrites to their periphery and, probably, stimulate the formation of the needle-shaped phase.

The microstructure of the intermetallic phases surrounding the dendrites is affected by the chemical composition of the alloys. For example, the interdendritic constituents of  $\text{Ti}_{65.8}\text{Nb}_{16.6}\text{Cu}_6\text{Ni}_{5.1}\text{Al}_{6.5}$  consist of micrometer-sized  $\text{Ti}_2\text{Cu}$  grains and an ultrafine-grained eutectic (Figs. 2 a and b) while in case of  $\text{Ti}_{75.3}\text{Nb}_{13.6}\text{Cu}_6\text{Ni}_{5.1}$  the interdendritic regions contain only micrometer-sized  $\text{Ti}_2\text{Cu}$  grains (Figs. 2 e and f). The  $\text{Ti}_{71.8}\text{Nb}_{10.6}\text{Cu}_6\text{Ni}_{5.1}\text{Al}_{6.5}$  alloy occupies an intermediate position; its interdendritic regions are also composed of micrometer-sized  $\text{Ti}_2\text{Cu}$  decorated by a minor amount of an unknown bright phase (Figs. 2 c and d). This bright phase was not detected by XRD probably due to its low volume fraction. Since the backscattered electron contrast reflects the mean atomic number, the bright phase exhibits a higher mean atomic number compared to the  $\text{Ti}_2\text{Cu}$  phase. Therefore, we assume that this bright phase is an intermetallic TiNi phase. Thus, the optimization of intermetallic phases can be controlled by carefully adjusting the Nb and Al contents in the Ti-Nb-Cu-Ni-Al alloys. In particular, decreasing Nb or/and Al contents leads to a disappearance of the TiNi phase and, consequently, to vanishing of the ultrafine eutectic.

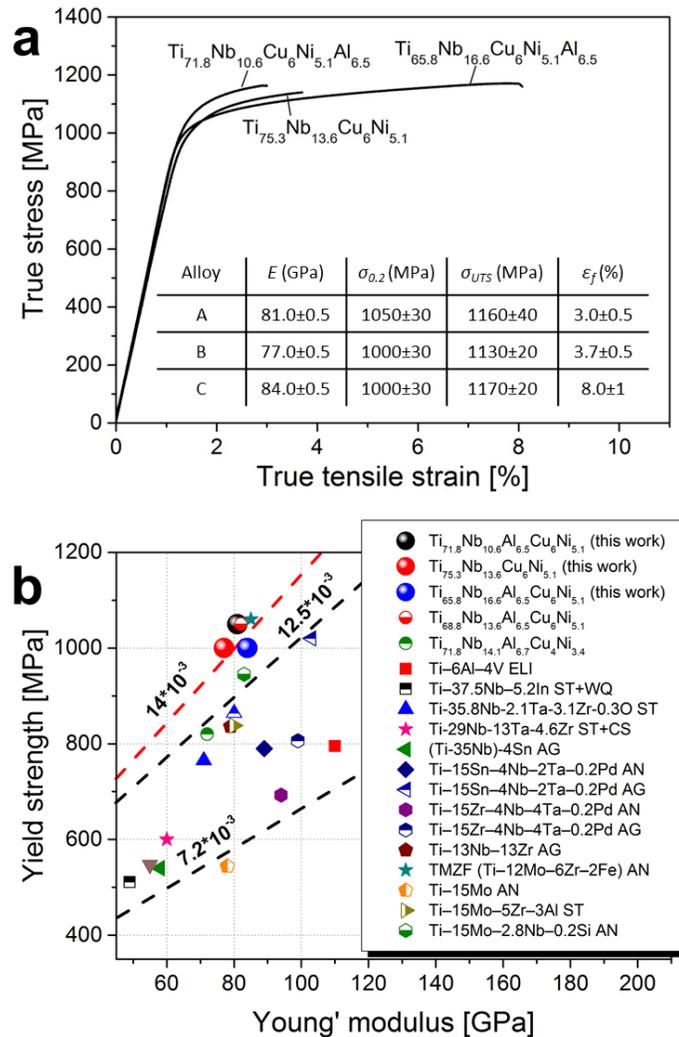
## Mechanical properties

Typical tensile true stress-strain curves of the studied alloys are shown in Fig. 3 a. The alloys exhibit a high yield strength (1000 – 1050 MPa) together with a low Young's modulus (77 – 84 GPa) already in their as-cast state (Fig. 3 a, inset). It has to be noted that the Young's modulus of the alloys notably reduces from 84 GPa to 77 GPa as Al and Nb contents lessen. The reduction of Al and Nb causes the microstructural changes and alteration in the chemical composition of phases; in particular, it leads to formation of the bright needle phase and vanishing the TiNi phase in  $\text{Ti}_{75.3}\text{Nb}_{13.6}\text{Cu}_6\text{Ni}_{5.1}$ . The microstructural changes promote reduction of Young's modulus. Due to the strain-hardening behavior observed upon tensile deformation, the ultimate strength of the alloys reaches over 1100 MPa (Fig. 3 a). These strength characteristics make the studied alloys comparable with some Ti-based (Ha et al., 2012a, 2012b) and Zr-based metallic glass composites (I. V. Okulov et al., 2015).

The ductility of the alloys with lower Nb content, i.e.  $\text{Ti}_{75.3}\text{Nb}_{13.6}\text{Cu}_6\text{Ni}_{5.1}$  and  $\text{Ti}_{71.8}\text{Nb}_{10.6}\text{Cu}_6\text{Ni}_{5.1}\text{Al}_{6.5}$ , is less than half the ductility of  $\text{Ti}_{65.8}\text{Nb}_{16.6}\text{Cu}_6\text{Ni}_{5.1}\text{Al}_{6.5}$  (Fig. 3 a). This may be related to the needle-shaped phase, whose volume fraction increases at lower Nb and Al concentrations. The effect of the microstructure on the tensile ductility has been investigated by detailed microstructural examination of deformed samples, as will be presented below.

Fig. 3 b presents strength and Young's modulus data for commercial biomedical titanium alloys. The contours show the mechanical bioperformance (ratio of yield strength-to-Young's modulus) of the materials: the higher the value is the more the material is suitable for implant applications (Geetha et al., 2009; Guo et al., 2012). The bioperformance values of the

developed alloys are among the largest values for bioapplicable titanium alloys. Thus, the developed alloys for this study exhibit a potential for application as load-bearing implant materials.

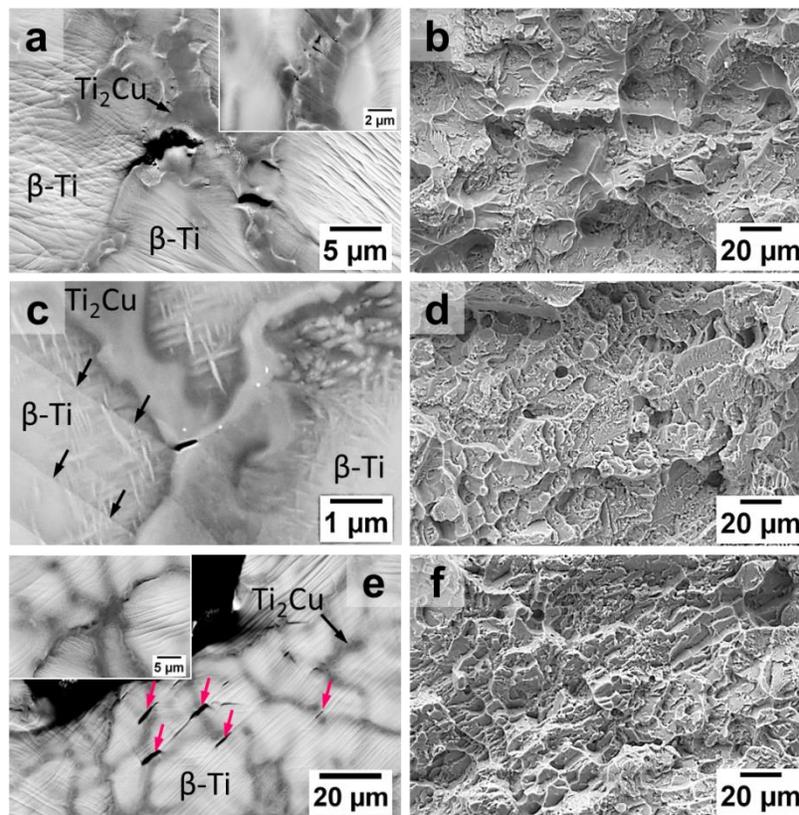


**Fig. 3** (a) True stress-true strain curves under tensile loading at room temperature (Note: “A” denotes  $Ti_{71.8}Nb_{10.6}Cu_6Ni_{5.1}Al_{6.5}$ , “B” -  $Ti_{75.3}Nb_{13.6}Cu_6Ni_{5.1}$ , “C” -  $Ti_{65.8}Nb_{16.6}Cu_6Ni_{5.1}Al_{6.5}$ ,  $E$  - Young’s modulus,  $\sigma_{0.2}$  - yield strength,  $\sigma_{UTS}$  - ultimate strength and  $\epsilon_f$  - strain at fracture). (b) Yield strength plotted against Young's modulus for commercial biomedical titanium alloys and

the studied alloys of this work (Note: AN – annealed, AG – aged, ST – solution treated, WQ – water quenched, CS – cold swaged); the dashed contours show the bioperformance  $\sigma_{0.2}/E$ . The data are from (Calin et al., 2014; Furuta et al., 2005; Matsumoto et al., 2005; Niinomi, 1998; Niinomi et al., 2002; Okulov et al., 2013).

### Deformation mechanism

**Fig. 4** presents the typical microstructure of samples deformed until fracture. The SEM micrographs reveal plastic deformation of the  $\beta$ -Ti dendrites by slip (**Figs. 4 a, c, and e**). Additionally, the intermetallic  $Ti_2Cu$  phase shows some plastic deformation, what is, particularly, depicted in the inset of **Fig. 4 a**.



**Fig. 4** SEM micrographs of the developed alloys deformed to fracture. Surface microstructure (a) and fracture surface (b) of  $Ti_{65.8}Nb_{16.6}Cu_6Ni_{5.1}Al_{6.5}$ . Surface microstructure (c) and fracture surface (d) of  $Ti_{71.8}Nb_{10.6}Cu_6Ni_{5.1}Al_{6.5}$  (Note: arrows indicate blocked slip bands). Surface microstructure (e) and fracture surface (f) of  $Ti_{75.3}Nb_{13.6}Cu_6Ni_{5.1}$  (Note: unlabeled arrows indicate cracks in the interior of  $Ti_2Cu$ )

There is also evidence that some slip bands formed in the dendrites penetrate the  $Ti_2Cu$  grains similar to what was found for the precursor alloy (Okulov et al., 2013). This causes formation of cracks along these slip bands which then propagate into the interior of the  $Ti_2Cu$  phase as shown in Figs. 4 a and e. However, some slip bands formed in the dendrites are blocked at the interface between  $\beta$ -Ti and  $Ti_2Cu$  phases (Figs. 4 c). It was found that some of these blocked slip events promote crack formation in the  $Ti_2Cu$  phase (Figs. 4 c). Generally, cracks in the interior of the  $Ti_2Cu$  phase are observed for all alloys investigated in this study. The cracks are arrested by the  $\beta$ -Ti dendrites indicating their good toughness, similar as it has also been reported previously for Ti-Nb-Ni-Co-Al alloys (I.V. Okulov et al., 2014c). Interestingly, there are no evidences of crack formation along the needle-shaped particles. The penetration of the needle-shaped particles by slip bands indicates a coherent/semi-coherent relationship of this phase with  $\beta$ -Ti (Fig. 4 c).

The fracture surface of the most ductile  $Ti_{65.8}Nb_{16.6}Cu_6Ni_{5.1}Al_{6.5}$  alloy exhibits dimple features mixed with quasi-cleavage fracture (Fig. 4 b). This large dimple features correspond to the size of the dendrites and, therefore, formed due to rapture of these ductile dendrites. The quasi-cleavage features likely form upon rapture of interdendritic regions containing intermetallic

Ti<sub>2</sub>Cu particles. The alloys with lower Nb content, i.e. Ti<sub>75.3</sub>Nb<sub>13.6</sub>Cu<sub>6</sub>Ni<sub>5.1</sub> and Ti<sub>71.8</sub>Nb<sub>10.6</sub>Cu<sub>6</sub>Ni<sub>5.1</sub>Al<sub>6.5</sub>, exhibit quasi-cleavage fracture (Figs. 4 d and f) independently on the Al content. In a view of similar volume fraction of intermetallic phases, it seems that the decreasing Nb content from 16.6 at% to 13.6 at% is critical for the ductility of the dendritic phase leading to a lowering of the ductility of these alloys.

From these findings one can conclude that the slip bands formed in the  $\beta$ -Ti dendrites exhibit different types of interactions with the grain boundaries, in particular: (i) penetration of slip bands into the Ti<sub>2</sub>Cu phase, (ii) blocking of slip bands at the  $\beta$ -Ti/Ti<sub>2</sub>Cu interface leading to crack formation, and (iii) blocking of slip bands at the  $\beta$ -Ti/Ti<sub>2</sub>Cu interface without crack formation. The most critical factor for slip penetration is the alignment of slip systems of neighboring grains (Guo et al., 2014; I.V. Okulov et al., 2014a). In particular, slip penetration was observed for the cube-on-cube oriented crystals in the Ti<sub>68.8</sub>Nb<sub>13.6</sub>Co<sub>6</sub>Cu<sub>5.1</sub>Al<sub>6.5</sub> alloy (I.V. Okulov et al., 2014a). In the current case, the slip penetration occurred from the bcc  $\beta$ -Ti into the Ti<sub>2</sub>Cu phase, which is not a cubic structure but tetragonal. This suggests that the slip systems of some neighboring bcc  $\beta$ -Ti and Ti<sub>2</sub>Cu crystals are aligned to each other. The blocking of slips is probably due to less favorable oriented slip systems in the neighboring crystals with the incoming slip system (Guo et al., 2014; I.V. Okulov et al., 2014a).

The plastic deformation of the alloys leads to the formation of slip bands in the interior of the  $\beta$ -Ti dendrites and, under certain conditions, these slip bands penetrate Ti<sub>2</sub>Cu grains causing crack formation in their interior. These cracks are arrested by the dendrites causing them to grow and transforms into voids at increasing strain. Obviously, the ability of the  $\beta$ -Ti dendrites,

as primary phase, to arrest the crack propagation at higher strains determines the plasticity of the alloys. The difference in chemical composition of the alloys affects the mechanical properties of the  $\beta$ -Ti dendrites and, in particular, an increasing Nb concentration favors larger plastic deformation. Yet, other factors like interconnectivity of the intermetallic network related to the interdendritic crack propagation are important for the tensile ductility of this type of alloys (I.V. Okulov et al., 2014c; I V. Okulov et al., 2014). However, both fracture surface morphology and absence of continuous cracks passing through the intermetallic network are indicative against such a failure scenario.

## **Conclusions**

The chemical composition of Ti-Nb-Cu-Ni-Al alloys was optimized to reduce the amount of harmful elements and improve the mechanical performance. Along this line,  $\text{Ti}_{65.8}\text{Nb}_{16.6}\text{Cu}_6\text{Ni}_{5.1}\text{Al}_{6.5}$ ,  $\text{Ti}_{71.8}\text{Nb}_{10.6}\text{Cu}_6\text{Ni}_{5.1}\text{Al}_{6.5}$  and  $\text{Ti}_{75.3}\text{Nb}_{13.6}\text{Cu}_6\text{Ni}_{5.1}$  alloys were developed. The microstructure of the alloys consists of  $\beta$ -Ti dendrites and about 10 vol% of intermetallic phases (mainly  $\text{Ti}_2\text{Cu}$ ) surrounding the dendrites. Due to the composite microstructure, the alloys exhibit high yield strength (1000 – 1050 MPa) along with low Young's modulus (77 – 84 GPa). Complete substitution of harmful Al by Ti leads to improvement of the biomechanical performance, in particular, to lowering the Young's modulus by 5 %. The increase of the Nb content at the expense of Ti causes a significant tensile ductility improvement from 3 to 8 % strain. The plastic deformation of the alloys begins with the deformation of the softer  $\beta$ -Ti dendrites by slip. The slip bands formed in the  $\beta$ -Ti dendrites exhibit different types of interactions with the  $\beta$ -Ti/ $\text{Ti}_2\text{Cu}$  interface, in particular: (i) penetration of slip bands into the

Ti<sub>2</sub>Cu phase, (ii) blocking of slip bands at the  $\beta$ -Ti/Ti<sub>2</sub>Cu interface leading to crack formation, and (iii) blocking of slip bands at the  $\beta$ -Ti/Ti<sub>2</sub>Cu interface without crack formation. The cracks formed into the interior of intermetallics are getting arrested by the  $\beta$ -Ti dendrites. This causes growth and transformation of cracks into voids at increasing strains, consequently, promoting rupture.

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