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1 **Original Research Paper**

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3 ***In vivo* Biocompatibility Assessment of Poly(ether imide) Electrospun**
4 **Scaffolds**

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6
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23 Keywords: poly(ether imide), *in vivo* study, electrospun scaffold, capsule formation, foreign
24 body giant cells, vascularization

25
26 **Abstract**

27 Poly(ether imide) (PEI), which can be chemically functionalized with biologically active
28 ligands, has emerged as a potential biomaterial for medical implants. Electrospun PEI scaffolds
29 have shown advantageous properties, such as enhanced endothelial cell adherence, proliferation
30 and low platelet adhesion in *in vitro* experiments. In this study, the *in vivo* behavior of
31 electrospun PEI scaffolds and PEI films was examined in a murine subcutaneous implantation
32 model. Electrospun PEI scaffolds and films were surgically implanted subcutaneously in the
33 dorsae of mice. The surrounding subcutaneous tissue response was examined via
34 histopathological examination at 7 and 28 days after implantation. No serious adverse events
35 were observed for both types of PEI implants. The presence of macrophages or foreign body
36 giant cells in the vicinity of the implants and the formation of a fibrous capsule indicated a
37 normal foreign body reaction towards PEI films and scaffolds. Capsule thickness and

38 inflammatory infiltration cells significantly decreased for PEI scaffolds during days 7 - 28 while
39 remaining unchanged for PEI films. The infiltration of cells into the implant was observed for
40 PEI scaffolds 7 days after implantation and remained stable until 28 days of implantation.
41 Additionally some, but not all, PEI scaffold implants induced the formation of functional blood
42 vessels in the vicinity of the implants. Conclusively, this study demonstrates the *in vivo*
43 biocompatibility of PEI implants with favorable properties of electrospun PEI scaffolds
44 regarding tissue integration and wound healing.

45 **1. Introduction**

46 Poly(ether imide) (PEI) materials exhibit numerous favorable characteristics for biomedical
47 purposes. They possess high mechanical strength, thermal stability and chemical resistance
48 allowing different sterilization methods, which is a prerequisite for clinical applications. A
49 simple route to tailor PEI surface properties, for example by grafting of functional groups,
50 allows for the adaptation of surface properties to the respective application demands (Braune *et*
51 *al*, 2013; Neffe *et al*, 2013; Seifert *et al*, 2002; Tzoneva *et al*, 2008a), while very good
52 membrane-forming properties have also been reported for PEI (Kneifel and Peinemann, 1992;
53 Peinemann *et al*, 1998). With regard to biocompatibility, PEI materials exert minimal
54 cytotoxicity, good hemocompatibility (Imai *et al*, 1983; Richardson *et al*, 1993; Rüder *et al*,
55 2012; Schulz *et al*, 2012; Tzoneva *et al*, 2008a), are immuno-compatible (Roch *et al*, 2012) and
56 allow for the attachment and growth of different cell types (Kawakami *et al*, 1997; Kim *et al*,
57 2013; Schneider *et al*, 2012; Schulz *et al*, 2012; Tzoneva *et al*, 2008b). On the basis of these
58 results, PEI membranes emerged as suitable materials for blood contacting applications, such
59 as blood detoxification and oxygenation (Kawakami *et al*, 1997). However, solid tissue
60 contacting applications, e.g. drug delivery and wound healing systems, biohybrid organs or
61 neuroprotheses, are also conceivable (Seifert *et al*, 2002; Stieglitz and Meyer, 1999). Since the
62 host response towards the biomaterial fundamentally influences the safety and performance of
63 implanted materials (Anderson, 1994), the biological interaction of the implant in an *in vivo*
64 environment has to be carefully explored.

65 The typical tissue response following the implantation injury of so called “biocompatible”
66 materials can be divided into a cascade of events (Ratner, 2011). Immediately after
67 implantation, proteins are nonspecifically adsorbed on the implant surfaces, leading to
68 recruitment and activation of various immune cells. Subsequent release of cytokines leads to
69 the propagation of an inflammatory process. In some circumstances, macrophages, the key
70 players in this process, fuse together to form characteristic foreign body giant cells, which

71 attempt to ingest the implant (Anderson, 2009). Finally, the implant is encapsulated in a fibrous,
72 avascular capsule that separates the implant from the surrounding tissue. Current focus in
73 improving biocompatibility lies in attenuating or using the inflammatory response and implant
74 encapsulation in order to promote tissue integration of the implant (Boccafoschi *et al*, 2012).
75 Surface topography, porosity, surface-to-volume ratio as well as local mechanical differences
76 considerably influence the tissue response to a foreign material. Furthermore, different
77 processing methods (melt vs. solvent) might also have an impact. Recently, fiber forming
78 processes, such as electrospinning, have been proposed with which the aforementioned
79 geometrical tasks might be addressed in a relevant dimensional magnitude from around 10 μm
80 (roughly the size of a cell) down to a few nanometers, around the scale of an intermediate
81 filament (Stevens and George, 2005). Electrospinning may create various microstructures
82 (Ercolani *et al*, 2013) that behave flexible as textiles (Deitzel *et al*, 2001), one of the main
83 criteria in applying the material towards tissue-contacting applications (Wintermantel *et al*,
84 1996).

85 Although PEI has shown great promise for biomedical applications through numerous *in vitro*
86 studies, especially with vascular cells (Kim *et al*, 2013; Lange *et al*, 2012; Roch *et al*, 2012;
87 Schulz *et al*, 2012; Tzoneva *et al*, 2008b) as well as initial *in vivo* biocompatibility tests of PEI
88 bulk samples (Peluso *et al*, 1994b), the impact of basic material topography on the implant
89 reaction was not yet been investigated. In an effort to expand the previously made observations,
90 we investigated the biocompatibility of two basic forms of material morphology in a
91 physiologically relevant *in vivo* model. For that purpose we subcutaneously implanted PEI
92 polymers in the form of films prepared via melt extrusion and porous, fibrous scaffolds obtained
93 by solution electrospinning, and evaluated typical parameters of the foreign body reaction 7 and
94 28 days after implantation; these explantation time points were chosen because they most likely
95 reflect the transition from the acute inflammatory response to the end-stage foreign body
96 reaction (Bat *et al*, 2013; Burugapalli *et al*, 2014). Furthermore, within this implantation time

97 period, no degradation of PEI should occur, according to the reported stability of PEI in an *in*
98 *vivo* evaluation, where no degradation or release of any toxic substances or degradation
99 products could be observed (Peluso et al, 1994b). Consequently, the degradation behavior of
100 implanted PEI films and fibrous scaffolds was not assessed in the current study.

101

102

103 **2. Experimental Section**

104

105 **2.1 Preparation of Poly(ether imide) (PEI) Films and Electrospun Scaffolds**

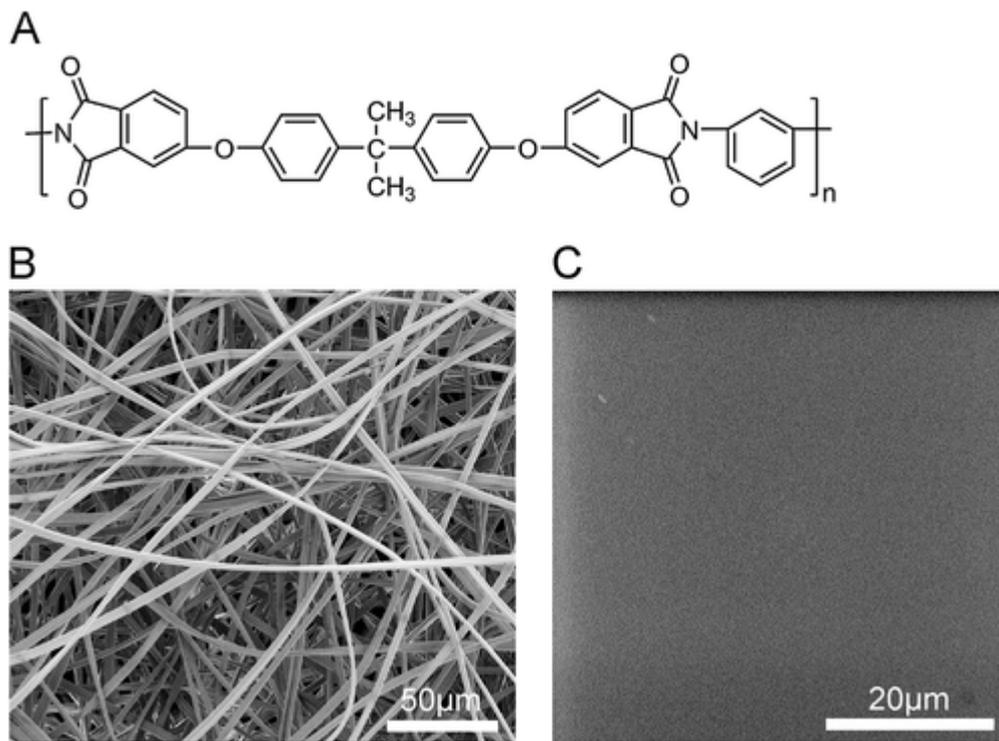
106 PEI (chemical structure shown in **Figure 1 A**) was obtained from General Electric (Ultem®
107 1000, New York, USA) and was used as received. The utilized PEI exhibited an average number
108 molecular weight of $M_n = 57\,000\text{ g}\cdot\text{mol}^{-1}$, as determined by gel permeation chromatography
109 (GPC) using chloroform as the eluent. Flat PEI films were prepared by a melt-extrusion process
110 in a twin-screw extruder (Prism Eurolab 16, Thermo Fisher, Waltham, MA, USA) with a barrel
111 temperature of 350 °C at 50 rpm. A flat die was applied to prepare the films. PEI scaffolds with
112 random fiber orientation were generated by electrospinning using dimethylacetamide (DMAc)
113 as solvent, with a polymer concentration of 31% (w/v), while the solution was handled under
114 argon atmosphere to prevent precipitation of PEI, which occurs especially at high humidity
115 levels (Rüder et al, 2012). The electrospinning set-up is described in more detail in (Kratz *et al*,
116 2011). The porosity of PEI scaffolds was determined according to equation (1):

$$117 \text{ Porosity [\%]} = \left(1 - \frac{m_{sc}}{\rho \cdot V_{sc}}\right) \cdot 100 \quad (1)$$

118 where m_{sc} and V_{sc} are the weight and the volume of the electrospun scaffold and ρ is the density
119 of the polymer. Prior to the use in any experiment, the PEI polymers were sterilized by steam
120 sterilization for 20 min at 121°C and 200 kPa, using a FVA A1 autoclave (FEDEGARI,
121 INTEGRA Biosciences, Hudson, NH, USA). Steam sterilization was applied for PEI because

122 previous experiments revealed no changes in the morphology or water permeability of PEI
123 membranes sterilized using this method (Albrecht *et al*, 2007).

124 The endotoxin content was determined using the Limulus amoebocytes lysate test (QCL-10001
125 Limulus Amoebocyte Lysate assay, Lonza, Basel, Switzerland) (Roch *et al*, 2012). The
126 cytotoxicity testing of the samples was performed by exploring the influence of the sample
127 extracts on L929 mouse fibroblasts (indirect test) and investigating the direct contact of material
128 and L929 cells (direct test) according to the previously described testing procedure (Hiebl *et al*,
129 2010; Scharnagl *et al*, 2012). After seeding with L929 cells (ca. 50×10^3 cells/cm², provided
130 by the American Type Culture Collection, ATCC, Wesel, Germany) for 48 h, the viability of
131 the cells was analyzed by staining with fluorescein diacetate (FDA) and propidium iodide (PI),
132 the integrity of the cell membrane was explored via the lactate dehydrogenase assay (LDH-
133 assay, Roche, Penzberg, Germany), the mitochondrial activity was investigated using the
134 tetrazolium compound 3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-
135 sulphophenyl)-2H-tetrazolium (MTS), and finally the morphological phenotype of the cells was
136 evaluated according to USP 23-NF18 (US Pharmacopeial Convention) and ISO 10993-5, using
137 a phase-contrast microscope in transmission.



138

139 **Figure 1.** PEI films and scaffolds. A) Chemical structure of PEI; B) PEI scaffold; C) PEI
 140 film.

141

142 2.2 Thermal and Mechanical Characterization of (PEI) Films and Scaffolds

143

144 Differential scanning calorimetry (DSC) experiments were performed on a Netzsch DSC 204
 145 Phoenix (Netzsch, Selb, Germany) in the temperature range from 0 to 300 °C. All experiments
 146 were conducted under nitrogen atmosphere at a constant heating rate of 10 K·min⁻¹, with a
 147 waiting period of 2 min at the maximum and minimum temperature. The glass transition
 148 temperature, T_g , was analyzed from the second heating run. The mechanical properties of the
 149 electrospun scaffolds were examined by tensile tests (Z1.0, Zwick, Ulm, Germany) at ambient
 150 temperature, using rectangular stripes with the dimensions 40x10x0.1 mm to ensure a sufficient
 151 cross-sectional area, while standardized dog bone-shaped test specimens DIN EN ISO 1BB (l_0
 152 = 20 mm, width = 2 mm, thickness = 1 mm) were punched out from the flat films. For
 153 determination of the Young's modulus of the fibrous scaffolds, an effective thickness, d_{eff} , was
 154 calculated according to equation (2):

155

156
$$d_{eff} = \frac{m_{sc}}{w_{sc} \cdot l_{sc} \cdot \rho} \quad (2)$$

157

158 where w_{sc} , l_{sc} and m_{sc} are the width, length and weight of the test specimen and ρ is the density
159 of the polymer.

160

161 **2.3 Surface Characterization**

162

163 The surface of both scaffolds and films was analyzed by atomic force microscopy (AFM) and
164 contact angle experiments in the wet state, while the surface morphology was investigated in
165 the dry state by scanning electron microscopy (SEM). The fibrous morphology, fiber diameter
166 and pore size were obtained by SEM (Zeiss Supra 40 VP, Zeiss, Jena, Germany), while the
167 surface was sputtered with Pt/Pd and analyzed at 1-3 keV acceleration voltage. Pore sizes were
168 determined by measuring the diameter of a virtual sphere in between embracing fibers, while
169 only fibers of the in-focus plane were utilized for calculation (Vaquette and Cooper-White,
170 2011). A preconditioning for 15 – 24 hours in water was applied for samples investigated in the
171 wet state by AFM or contact angle measurements, to ensure that equilibrium state conditions
172 were reached at the water/polymer interface. Surface roughness measurements were performed
173 by AFM in tapping mode in water (MultiMode V, Bruker, Billerica, MA, USA) with a scan
174 size of 50x50 μm^2 . The surface tension was obtained by contact angle analysis, using the captive
175 bubble method (DSA 100, Krüss GmbH, Hamburg, Germany), while the samples were kept in
176 Millipore water for 24 h. The characterization procedures were identically applied for PEI
177 scaffolds and films, as described in Hiebl *et al*, (2012).

178

179 **2.4 Subcutaneous Implantation Studies**

180

181 Animals used in this study were handled in accordance with institutional and federal animal
182 care guidelines. C57BL/6 mice, ten weeks old, were anaesthetized with an intraperitoneal

183 injection of midazolam ($5.0 \text{ mg}\cdot\text{kg}^{-1}$), fentanyl ($0.05 \text{ mg}\cdot\text{kg}^{-1}$), and medetomidin ($0.5 \text{ mg}\cdot\text{kg}^{-1}$).
184 PEI polymers of $0.5 \times 0.5 \text{ cm}^2$ in size were implanted subcutaneously in the dorsae of mice
185 under a Leica dissecting microscope (Leica Microsystems, Wetzlar, Germany) on a heated
186 surgical pad. For that purpose, an incision of 1.5 cm was made about 2 cm below the neck and
187 a subcutaneous pocket approximately 1 cm above the incision was prepared by blunt dissection
188 of subcutaneous tissue. The incisions were closed with 7-0 prolene (Ethicon, Norderstedt,
189 Germany) sutures. Postoperative (72 hours) analgesia was maintained using carprofen.
190 Implanted polymers and surrounding tissue were surgically removed 7 and 28 days after
191 implantation. The implants were fixed overnight with 4% formalin/phosphate-buffered saline
192 (PBS)-buffered, embedded in paraffin and sliced into $3 \mu\text{m}$ sections.

193

194 **2.5 Histological Examination**

195

196 Histological sections were stained with haematoxylin and eosin (H&E) and Masson's trichrome
197 (MT), using standard procedures. Images of $\times 200$ fields acquired with a Zeiss Axioskop
198 microscope (Carl Zeiss Microscopy, Zeiss, Germany) were analyzed by a blinded person using
199 ImageJ (v. 1.44; National Institutes of Health) software. Four to six animals per group were
200 evaluated. Quantification was done on the basis of at least five $\times 200$ fields per section of at
201 least four different histological sections. The thickness of the capsule and the macrophage layer
202 was evaluated as the mean thickness of the dimensions at the proximal and distal site of the
203 implant, analyzed as mentioned above. Vascularization was analyzed by immunostaining with
204 CD31/PECAM-1 (clone M-20, Santa Cruz Biotechnology) and α -smooth muscle actin (clone
205 1A4, Sigma-Aldrich) primary antibodies. Macrophages were detected with antibodies against
206 F 4/80 (clone SP115, Spring Bioscience), CD68 (ab125047, Abcam), mac-2 (clone M3/38,
207 Cedarlane), HLA-DR (ab175085, Abcam) and CD163 (clone M-96, Santa Cruz
208 Biotechnology), followed by incubation with corresponding AlexaFluor-labeled secondary
209 antibodies (Invitrogen).

210

211 **2.6 Statistics**

212

213 Data in Table 1 are reported as mean \pm standard deviation (SD), all other data are reported as
214 mean \pm standard error of the mean (SEM) and were analyzed by two-tailed unpaired Student's
215 *t*-test; *p*-value of less than 0.05 was considered significant.

216 *Table. 1.* Thermal and mechanical properties of the PEI film and scaffolds

Sample	E^a [MPa]	ϵ_B^a [%]	T_g^b [°C]
Film	4000 \pm 1800	2 \pm 1	214 \pm 1*
Scaffold	380 \pm 90	6 \pm 2	213 \pm 1*

^aYoung's modulus (*E*) and elongation at break (ϵ_B) were determined by tensile tests at ambient temperature.

^bGlass transition (T_g) was obtained by DSC measurements. *Averaged accuracy of the DSC conditions.

217

218 **3. Results**

219 **3.1. Thermal, Mechanical and Morphological Characterization and Biocompatibility**

220 **Tests of PEI Films and Scaffolds**

221 The sterile PEI films and scaffolds ($n = 3$) showed a low endotoxin load of less than the critical
222 endotoxin burden of 0.5 EU·ml⁻¹ for biomaterials (according to the demands from the U.S. Food
223 and Drug Administration). All PEI samples showed only slight cytotoxic effects, according to
224 mitochondrial activity and cell plasma membrane integrity, when tested with L929 cells in
225 direct contact. The adhered L929 cells on the electrospun scaffolds were found to grow around
226 the scaffold fibers, as previously described (Rüder et al, 2012). The thickness of the PEI films
227 was around 50 μ m. Electrospun non-woven PEI scaffolds showed an average deposit thickness

228 of 40 μm and a porosity of $85\pm 2\%$, where the single fiber diameters were around $3\pm 0.5 \mu\text{m}$
229 (**Figure 1 B**). The pore size was found to be $9\pm 2 \mu\text{m}$. Table 1 summarizes the thermal and
230 mechanical properties of the PEI polymers. DSC measurements of PEI showed a high thermal
231 stability with a T_g around $213 \text{ }^\circ\text{C}$ for both films and scaffolds, which is almost identical to the
232 thermal properties of the raw material. Similarly, the molecular weight was not detrimentally
233 affected by either the processing or sterilization process. The results of the tensile test
234 measurements at room temperature indicate that both the electrospun PEI scaffolds and the
235 respective films are stiff materials with a high Young's modulus in the range of 0.4 to 4 GPa,
236 exhibiting a low elongation at break of $\epsilon_B < 10\%$. Compared to PEI films, the scaffolds were as
237 flexible as textiles, which implied that the scaffolds are able to adjust to the tissue geometry
238 and follow its *in vivo* loading behavior.

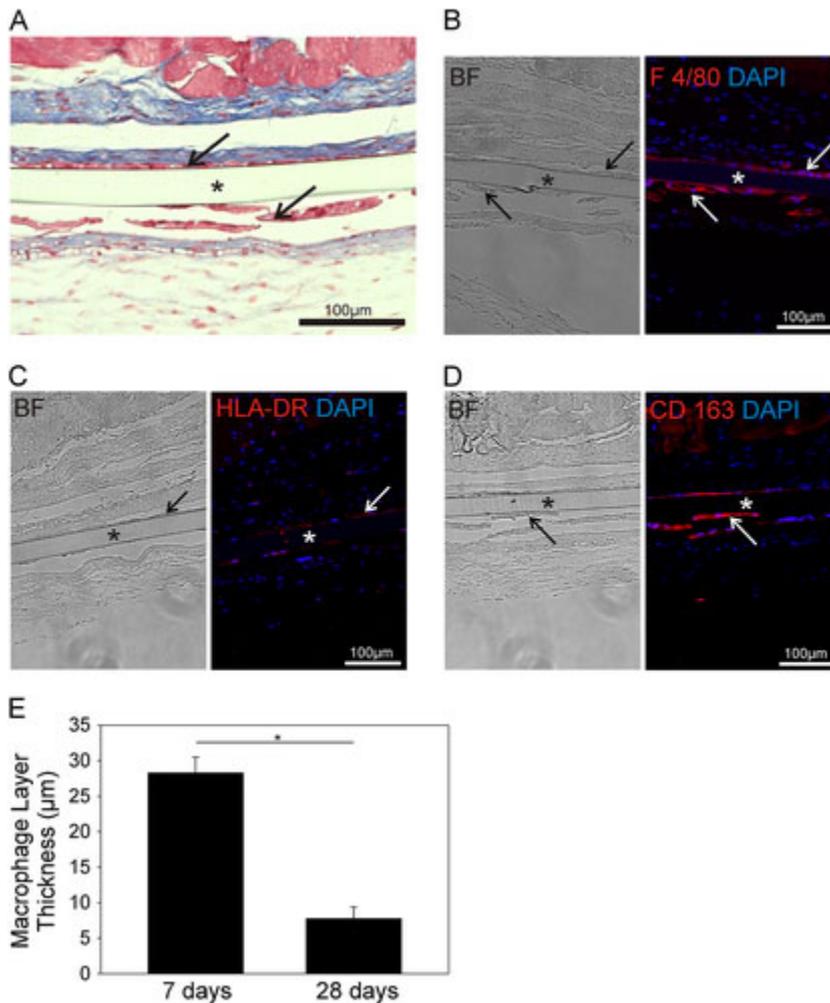
239 Analysis of the surface properties revealed a smooth surface for the PEI films with a mean
240 roughness, R_a , of $8\pm 4 \text{ nm}$, as obtained by AFM measurements. Furthermore, no surface
241 structures were visible by SEM investigations in the range of electrospun fibrous structures (see
242 **Figure 1 C**). The PEI film surface has been shown to be quite hydrophobic in nature during
243 captive bubble contact angle testing, with an advancing contact angle of $88\pm 4^\circ$ and a receding
244 angle of $63\pm 4^\circ$. Compared to the film, an increase in hydrophilicity was observed for the PEI
245 scaffolds, with an apparent advancing contact angle of $40\pm 4^\circ$ and a receding angle of $34\pm 6^\circ$,
246 which we attribute to the microporous structure of the fibrous scaffolds.

247

248 **3.2. Macrophage Attraction and Foreign Body Giant Cell Infiltration**

249 Histological analysis of the PEI films after 7 and 28 days revealed a cellular layer between the
250 smooth polymer surface and the surrounding capsule (**Figure 2A**). Immunofluorescence
251 staining confirmed that this cell layer consists of macrophages (**Figure 2B; Figure S1 A, B**).

252 Staining for polarized macrophage subsets M1 (HLA-DR) and M2 (CD163) indicated that both
253 forms were present at the polymeric surface. For analysis of macrophage attraction, we
254 quantified the thickness of the cellular layer on the material 7 and 28 days after implantation.
255 The thickness of the macrophage layer significantly declined during the observation period
256 between 7 and 28 days (Figure 2E).

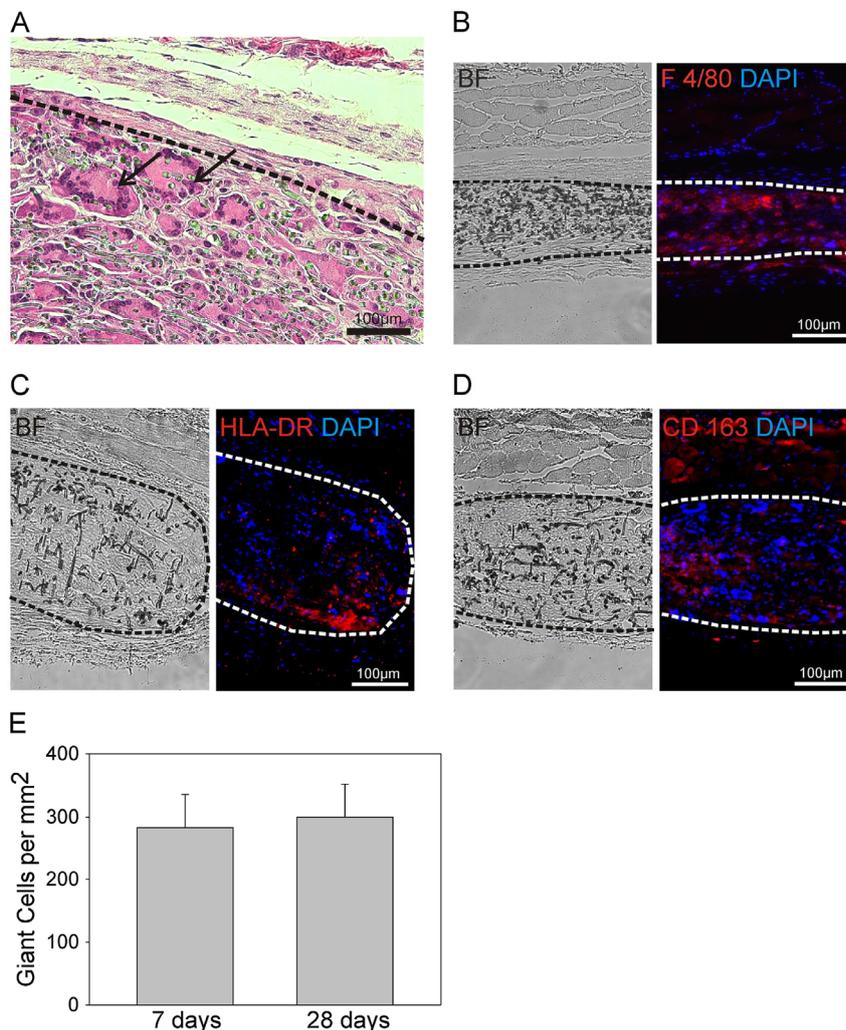


257

258 **Figure 2.** Macrophage attraction of PEI films. A) Representative image (MT) of
259 subcutaneously implanted PEI film 28 days post implantation showing the formation of a
260 cellular layer (arrows) between the material (*) and the fibrous capsule. B)
261 Immunofluorescence staining of macrophages (F 4/80) 28 days after implantation confirms that
262 the cell layer consists of macrophages. C, D) Immunofluorescence staining of M1 (HLA-DR)
263 and M2 (CD163) macrophages reveals that both subsets are present at the implant surface. E)
264 Significant reduction (* $p < 0.05$) of the thickness of the cellular layer on PEI films after 7 and
265 28 days.

266

267 For porous implants, the infiltration with macrophages attacking the biomaterial is a classical
268 reaction (Madden *et al*, 2010). The porosity of the PEI scaffold allowed for the fusion of
269 macrophages to multinucleated foreign body giant cells (arrows) that could be clearly visualized
270 in H&E stained sections 7 and 28 days after implantation (**Figure 3A**). Immunofluorescence
271 staining confirmed the infiltration of the scaffold with macrophages (**Figure 3B**; **Figure S2**)
272 with both M1 and M2 polarized subsets being present (**Figure 3C, D**). Quantification of
273 multinucleated foreign body giant cells revealed that their number remained stable throughout
274 the observation period (**Figure 3E**).

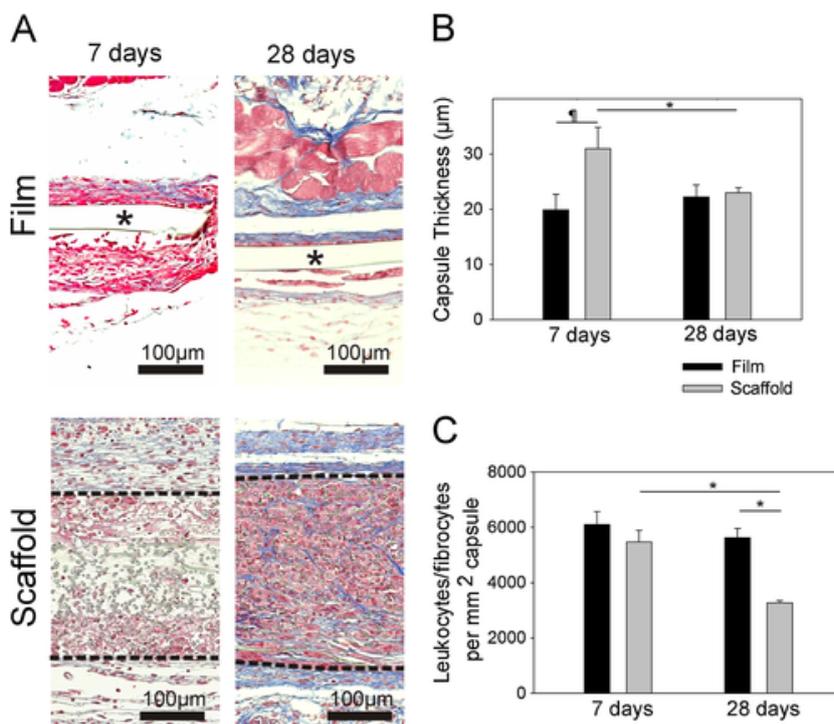


275

276 **Figure 3.** Foreign body giant cell infiltration of PEI scaffolds. A) Representative image (H&E)
277 of foreign body giant cells infiltrating the PEI scaffold (dashed line) 28 days after implantation.
278 Immunofluorescence staining of total macrophages (B, F 4/80) and polarized M1 (C, HLA-DR)
279 and M2 (D, CD163) forms confirm the infiltration of the scaffold with macrophages of both
280 subsets 28 days after implantation. E) Infiltration of PEI scaffold with foreign body giant cells
281 remains stable between 7 - 28 days after implantation.

282 **3.3. Capsule Formation and Inflammatory Cell Infiltration**

283 Histological analysis of the implants showed the formation of a capsule around the PEI films
284 and scaffolds after 7 and 28 days. After seven days, this capsule was mainly composed of cells
285 and to a lesser extent of extracellular matrix (**Figure 4A; Figure S3**). After 28 days, especially
286 around PEI films, this capsule was rich in fibrous collagen fibers, as shown in Masson's
287 trichrome staining (see **Figure 4A**). Analysis of capsule formation showed similar trends at
288 distal and proximal sites of the polymer with more distinct differences on distal sites (not
289 shown). After 7 days, the mean capsule thickness tended to be higher around PEI scaffolds
290 compared to PEI films (**Figure 4B**). Between 7 and 28 days, the mean capsule thickness of the
291 PEI scaffolds declined significantly to a thickness similar to that of PEI films (**Figure 4B**).
292 In an effort to further analyze the capsule formation, inflammatory cells, namely fibrocytes and
293 leukocytes in the capsule, were quantified. Quantification of inflammatory cells was done on
294 the basis of their distinct appearance in histological sections after H&E staining (see **Figure**
295 **S3**). Capsule infiltration was similar for PEI films and scaffolds after 7 days. However, during
296 the observation period, capsule infiltration declined in PEI scaffolds and was significantly lower
297 in scaffolds compared to films after 28 days (**Figure 4C**).



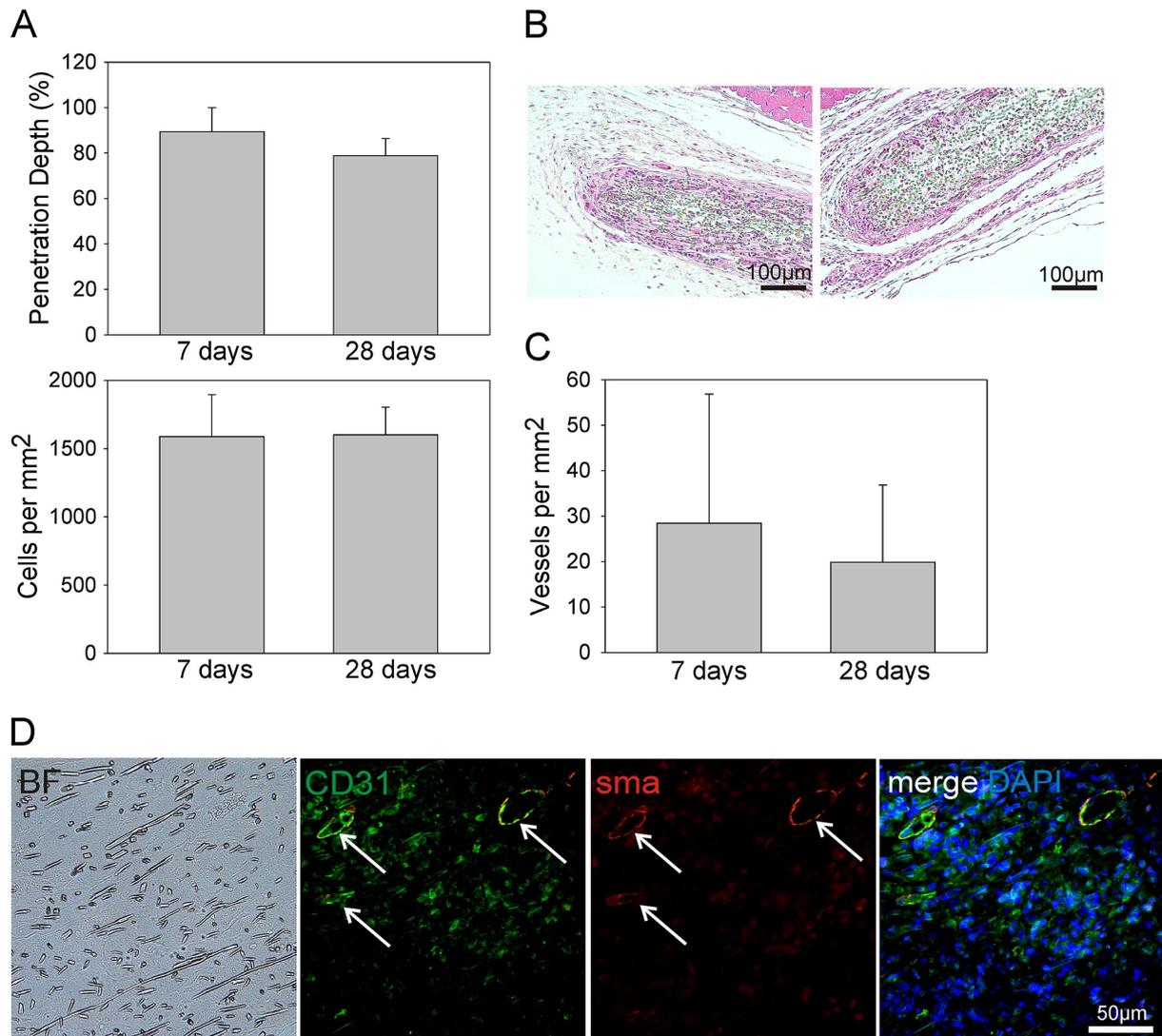
298

299 **Figure 4.** Capsule formation and infiltration with inflammatory cells. A) Representative
300 images of histological sections after Masson's trichrome (MT) staining of PEI film (*) and
301 scaffold (dashed line), 7 and 28 days after implantation. B) Mean capsule thickness tends to
302 be higher ([†]p<0.1) around PEI scaffolds after 7 days compared to PEI films, but significantly
303 declines (*p<0.05) 28 days after implantation. C) Leukocyte/fibrocyte infiltration of capsules
304 is similar after 7 days but decreases significantly (*p<0.05) after 28 days for PEI scaffolds.
305

306 **3.4. Cell Colonization and Vascularization of PEI Scaffolds**

307 Penetration depths and cells per scaffold area were quantified 7 and 28 days after implantation.
308 PEI films showed no evidence of cell infiltration or alteration of surface topography (see **Figure**
309 **2**). In contrast, 7 days after implantation the PEI scaffolds already showed nearly complete
310 infiltration with cells (**Figure 5B**). Cell penetration depth and cell density remained stable
311 between 7 and 28 days after implantation (**Figure 5A**). Furthermore, Masson's trichrome
312 staining revealed fibrous collagen deposits surrounding the infiltrated cells in the scaffold after
313 28 days (**Figure S4**). It was notable that some, but not all, implants showed the formation of
314 functional vessels infiltrating the PEI scaffolds. Vascularization became evident in one of four
315 implants after 7 days and three of seven implants after 28 days (**Figure 5 C, D**; see **Figure S4**).
316 Cell colonization, collagen deposition and vessel ingrowths indicated an integration of the PEI
317 scaffold implants into the surrounding tissue.

318



319
 320 **Figure 5.** Cell colonization and vascularization of PEI scaffolds. A) PEI scaffolds show almost
 321 complete colonization with cells after 7 days, which remains constant until 28 days after
 322 implantation. B) Representative H&E-stained images showing infiltration with cells 7 and 28
 323 days after implantation. C) Vascularization of PEI scaffolds with inter-individual variations. D)
 324 Immunofluorescence staining of endothelial cells (CD31) and smooth muscle cells (sma)
 325 confirms the presence of mature vessels (arrows) inside the PEI scaffold (BF, brightfield).

326

327 4. Discussion

328 In the present study, the *in vivo* biocompatibility of porous scaffolds built from randomly
 329 oriented fibers was compared to solid PEI films with a smooth surface in a model of
 330 subcutaneous implantation in mice. Previous *in vitro* studies showed that cells attach to and
 331 grow on flat PEI films and scaffolds (Peluso *et al*, 1994a; Ruder *et al*, 2012; Schneider *et al*,
 332 2012; Schulz *et al*, 2012; Seifert *et al*, 2002; Tzoneva *et al*, 2008b). Although endothelial cells
 333 seeded on PEI films showed decreased cell density after 48 hours, cells grew to confluence in
 334 long-term seeding experiments. Analyses of cytoskeletal proteins proved a comparable

335 formation of actin filaments and focal adhesions of endothelial cells on PEI films and on control
336 surfaces, facilitating the development of proper cell-cell and cell-matrix interactions (Schulz et
337 al, 2012). Furthermore, it could be shown that cells on PEI films secreted lower amounts of the
338 inflammatory cytokine IL-6 compared to cells on control surfaces, indicating an anti-
339 inflammatory effect of the PEI material (Schulz et al, 2012). These results suggest that PEI
340 materials exhibit properties that point to a good *in vivo* biocompatibility, making them potential
341 implant biomaterials. In addition, by structural variation of the PEI material, it was possible to
342 show that increased porosity, elongation at break and flexibility were achieved by
343 electrospinning, while the hydrophilicity was also improved for the scaffolds with respect to
344 the film. Similarly, the influence of structural variations in terms of fiber alignment on
345 scaffolds' material properties (thermal, mechanical, surface properties) has been shown in
346 various studies (Ma *et al*, 2005; Mauck *et al*, 2009; Rizvi *et al*, 2012; Schneider et al, 2012).
347 The difference in the mechanical properties determined by tensile tests of the PEI scaffolds and
348 the film can be attributed solely to the structural difference of the porous textile-like
349 microfibrinous scaffold and the solid bulk film. Here, the scaffold material exhibited a more
350 complex mechanical behavior, where first, alignment of the as-spun, randomly oriented
351 microfibers occurs and, in a second step, the subsequent breakage of the single microfibers take
352 place. Such a textile-like behavior of the PEI scaffolds can explain both the observed three-fold
353 higher elongation at break (ϵ_b) and the ten-fold lower Young's modulus (E) when compared to
354 the bulk film.

355 The influence of the structural variation on the material properties has been shown in various
356 studies (Mauck et al, 2009; Schneider et al, 2012). Depending on the desired application,
357 electrospinning allows, by adoption of the fiber diameter and fiber alignment, the tuning of the
358 mechanical properties to increase compliancy. Furthermore, *in vitro* tests have shown a high
359 proliferation capacity for endothelial cells (Rüder et al, 2012) as well as a high chondrocyte
360 adherence on PEI scaffolds (Schneider et al, 2012). Other studies have also indicated a

361 structural influence of the electrospun scaffolds on the cell-biomaterial interaction (Ma et al,
362 2005; Saino *et al*, 2011; Schneider et al, 2012). PEI scaffolds and films were steam-sterilized
363 to prevent detrimental effects that have been reported for ethylene oxide (Dimitrievska *et al*,
364 2011), the most commonly applied sterilization method for polymers, due to the lack of thermal
365 stability above 100 °C.

366 On the basis of these observations, we implanted sterilized, endotoxin-low, cell-compatible
367 unmodified PEI as fibrous scaffolds and films subcutaneously in the backs of a common in-
368 bred strain of laboratory mice (C57BL/6). 7 and 28 days after surgery, the PEI implants were
369 explanted, together with the surrounding tissue. These time points were chosen because they
370 most likely reflect the transition from the acute inflammatory response to the end-stage foreign
371 body reaction (Bat et al, 2013; Burugapalli et al, 2014). During the study no adverse events that
372 could lead to exclusion of an animal from the study occurred.

373 It is generally accepted that macrophages, which are the predominant cells at the implant site,
374 play a key role in orchestrating the foreign body reaction (Anderson *et al*, 2008). The foreign
375 body reaction of implants exhibiting a rigid, non-porous and smooth interface, such as the PEI
376 film, typically features a layer of macrophages on its surface (Christensen *et al*, 2003; Poepl
377 *et al*, 2007; Wolfram *et al*, 2004). Immunostainings with diverse antibodies against
378 macrophages confirmed a layer of macrophages on the surface of the implanted PEI film. Due
379 to cross-reactivity of antibodies with fibroblasts, the detection of macrophages was shown to
380 be difficult. However, previous analysis proved antibodies against F 4/80 to be highly specific
381 for macrophages in a fibrotic environment (Inoue *et al*, 2005). Although all of the tested
382 antibodies showed similar results, we are confident that the implemented antibody against
383 F 4/80 permits discrimination between the macrophage layer and cells of the fibrous capsule.
384 Histological analyses showed that both forms of PEI polymer elicited a normal foreign body
385 reaction. The formation of a collagen-rich, avascular fibrous capsule separating the foreign
386 material from the surrounding tissue is a typical host response towards biomaterials that affects

387 tissue integration and proper healing processes. Although macrophage attraction of PEI films
388 declined, capsule thickness and inflammatory cells in the capsule remained constant between
389 day 7 and 28. These results indicate that PEI films will most likely be constantly separated from
390 the surrounding tissue by a fibrous capsule. In contrast, PEI scaffolds revealed signs of tissue
391 regeneration and integration of the implant into the surrounding tissue. Fibrous scaffolds
392 showed a reduction in capsule thickness and capsule infiltration with inflammatory cells.

393 Macrophage polarization and plasticity was shown to play a pivotal role in tissue remodeling
394 following biomaterial implantation (Brown *et al*, 2012). Simplified, macrophages are
395 discriminated on the basis of their cytokine and surface receptor expression into two classes:
396 M1 and M2. Similar to the T helper cell nomenclature (Th1 vs. Th2), M1 macrophages are
397 referred to as 'pro-inflammatory' and M2 macrophages as 'anti-inflammatory'. With respect to
398 biomaterial implantation, M2 macrophages are thought to have beneficial effects on tissue
399 remodeling and healing. However, the characteristics of a scaffold and the underlying
400 mechanisms that could promote a favorable transition to the M2 phenotype, are not completely
401 understood and are currently under investigation. Using antibodies against M1 and M2
402 phenotypes (Kajahn *et al*, 2012, Ma *et al*, 2010), we were able to detect both types of
403 macrophages in the macrophage layer of the PEI film as well as inside the fibrous PEI scaffold.

404 However, due to strong autofluorescence and background staining of the polymer (Figure S5),
405 a reasonable quantification of the predominant macrophage phenotype at the implant site was
406 not possible. Throughout the observation period, PEI scaffolds were constantly infiltrated with
407 cells. After 28 days, production of collagenous extracellular matrix fibers by infiltrated cells
408 became evident, indicating proceeding implant integration into the surrounding tissue.

409 Additionally, some, but not all, implants exhibited vascularization potential.
410 Neovascularization is a multistage process implementing various signal cascades and cell types.
411 The observation that newly formed blood vessels were not visible in all implants might be due
412 to the fact that conditions, e.g., hypoxic environment, were not identical in all implants (Collet

413 *et al*, 2014). Immunostainings of endothelial and smooth muscle cells revealed that the in-
414 grown vessels exhibited a medial layer and indicated the formation of mature vessels in the
415 porous implant. However, due to autofluorescence and high background staining of the
416 polymer, quantification on the basis of an overlay of fluorescent channels was not possible.
417 In accordance with these results, other studies have shown a strongly reduced capsule thickness
418 and cell infiltration when implanting scaffolds compared to films (Cao *et al*, 2010).
419 Furthermore, it has been shown that increased pore size ($> 5 \mu\text{m}$) and pore interconnectivity
420 promotes cell ingrowth *in vitro* and *in vivo* (Leong *et al*, 2009; Rnjak-Kovacina *et al*, 2011),
421 indicating a minimum pore size for cell infiltration related to the size of the cell nucleus.
422 Similarly to observations of others applying comparable fibrous scaffolds, PEI scaffolds
423 exhibiting a high porosity of 85% and a pore size of approximately $9 \mu\text{m}$ stimulated cell
424 ingrowth and neovascularization (Leong *et al*, 2009; Rnjak-Kovacina *et al*, 2011). Nevertheless,
425 with respect to the pore size, several studies point towards larger pore sizes that facilitate
426 cellular infiltration *in vivo* (Leong *et al*, 2009; Sundararaghavan *et al*, 2010). The influence of
427 porosity on *in vivo* cell infiltration was investigated by Rnjak-Kovacina *et al.* (2011), who found
428 that a porosity above 30% is required to induce active cell migration rather than passive
429 infiltration (Rnjak-Kovacina *et al*, 2011). Another important observation is the flexibility of the
430 fibrous scaffold *in vivo*, where macroscopically the scaffold adopts to the tissue curvature.
431 Beyond that, the increased thickness of PEI scaffolds in histological sections indicates that
432 ingrowing cells producing extracellular matrix components are able to displace scaffold fibers
433 and expand the flexible fiber mesh. Hence, these results indicate a strong structural advantage
434 of fibrous scaffolds as compared to dense films in terms of capsule thickness and cell
435 infiltration, while even the vascularization potential might be directed dependent on the
436 structural organization of the fibers.

437

438 **4. Conclusions**

439 Surface structure, porosity, pore size and directional structural cues influence the biomaterial's
440 *in vivo* behavior. Using subcutaneous implantation, the *in vivo* biocompatibility of a porous PEI
441 scaffold prepared by electrospinning was compared to a dense PEI film with a smooth surface.
442 In contrast to PEI films, the scaffolds showed a decrease in capsule thickness and inflammatory
443 cells infiltrating the capsule during the observation period. The textile behavior and high
444 porosity of the PEI scaffolds enabled them to fold and comply with the host tissue, and allowed
445 for the rapid cell ingrowth, production of extracellular matrix components and vessel formation
446 promoting tissue integration. This study extends previous results on the biocompatibility of PEI
447 materials towards the *in vivo* situation and further provides a structural motivation for the
448 application of fibrous scaffolds prepared from electrospinning. Hence, the PEI scaffold
449 represents a suitable candidate material for tissue-contacting biomedical applications. This
450 study underlines the fact that surface topography, porosity, surface-to-volume ratio as well as
451 local mechanical differences considerably influence the tissue response to a foreign material.

452

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459

460 **Author's contributions**

461 C. Rüder, T. Haase, K. Kratz, F. Jung, A. Lendlein and D. Zohlhöfer designed the
462 experimental set-up. F. Jung, A. Lendlein and D. Zohlhöfer provided reagents, materials and
463 the characterization facilities. T. Sauter prepared and analyzed the PEI films and scaffolds. C.

464 Rüder, T. Haase, A. Krost and J. Peter performed the *in vivo* study. The data were analyzed by
465 C. Rüder, T. Haase, T. Sauter, K. Kratz, J. Peter and S. Kamann; C. Rüder, T. Haase, T. Sauter,
466 K. Kratz, F. Jung, A. Lendlein and D. Zohlhöfer wrote the manuscript.

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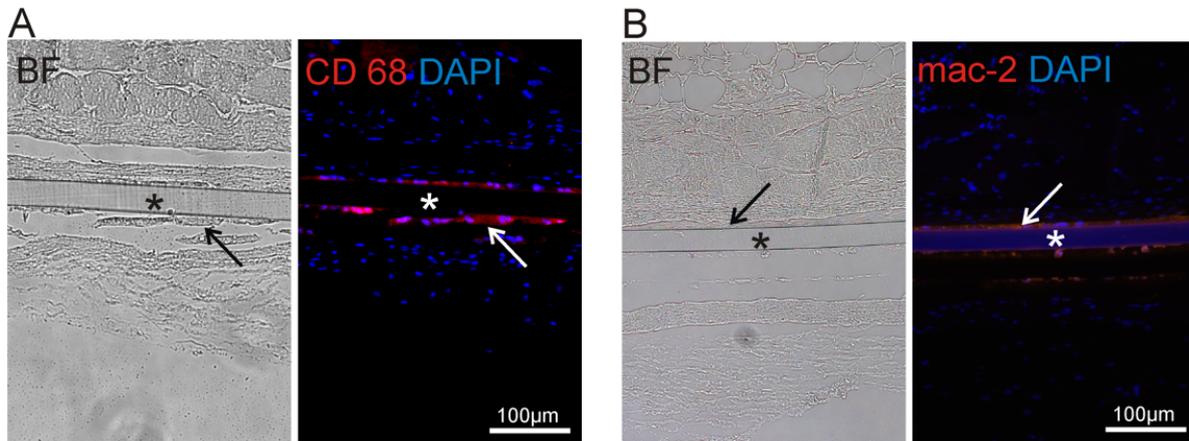
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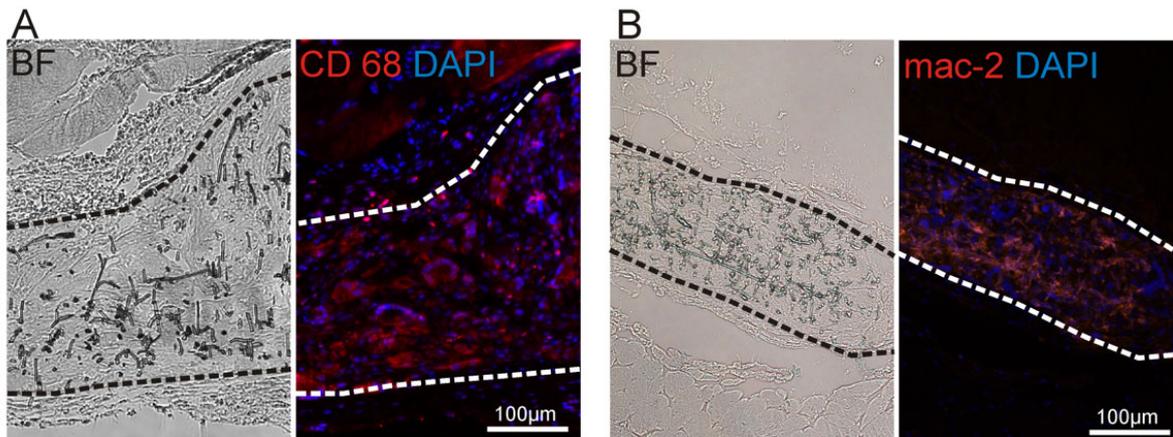
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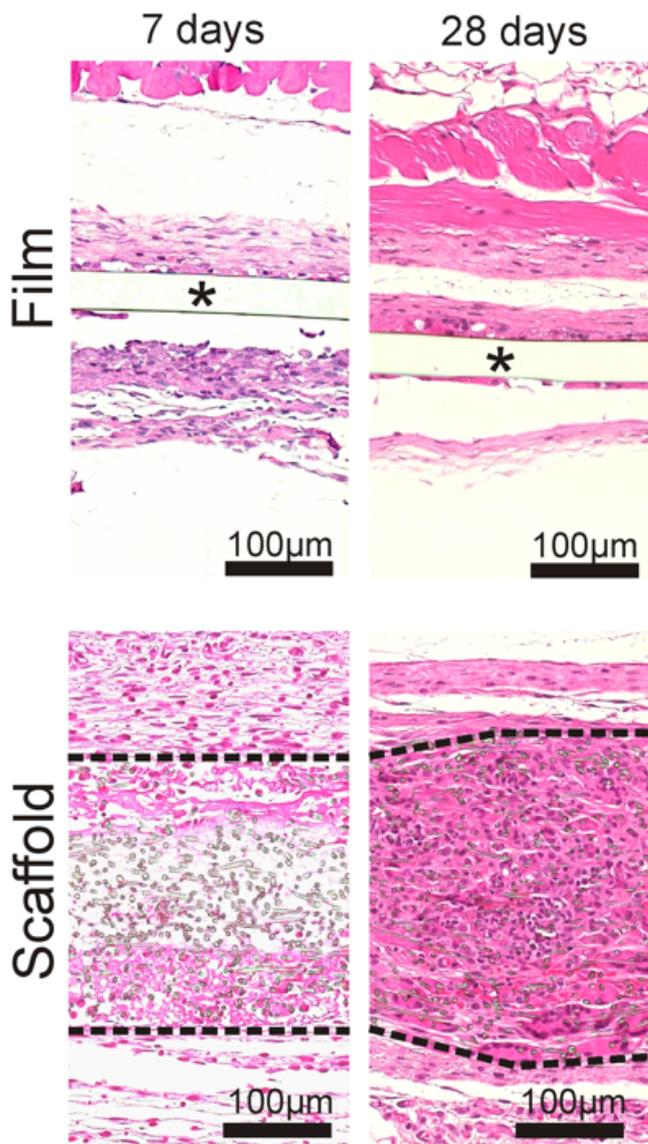
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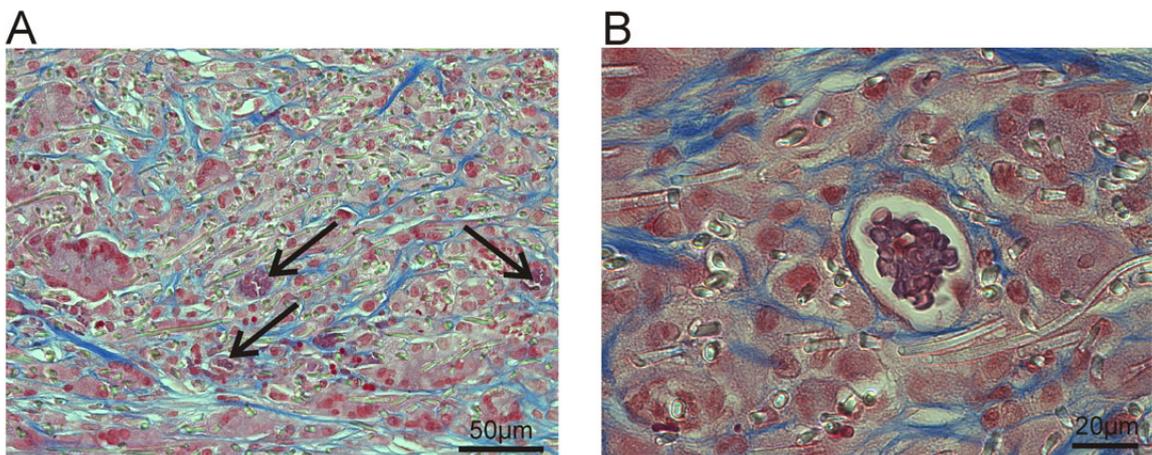
670
671 *Figure S1.* Immunofluorescence staining of “total” macrophages (arrows) using antibodies
672 against CD68 (A) and mac-2 (B) 28 days post implantation of PEI film (*). Counterstain of cell
673 nuclei with DAPI. BF; brightfield
674



675
676 *Figure S2.* Immunofluorescence staining of “total” macrophages with CD68 (A) and mac-2 (B)
677 antibody of PEI scaffold (dashed line) 28 days post implantation. Counterstain of cell nuclei
678 with DAPI. BF; brightfield
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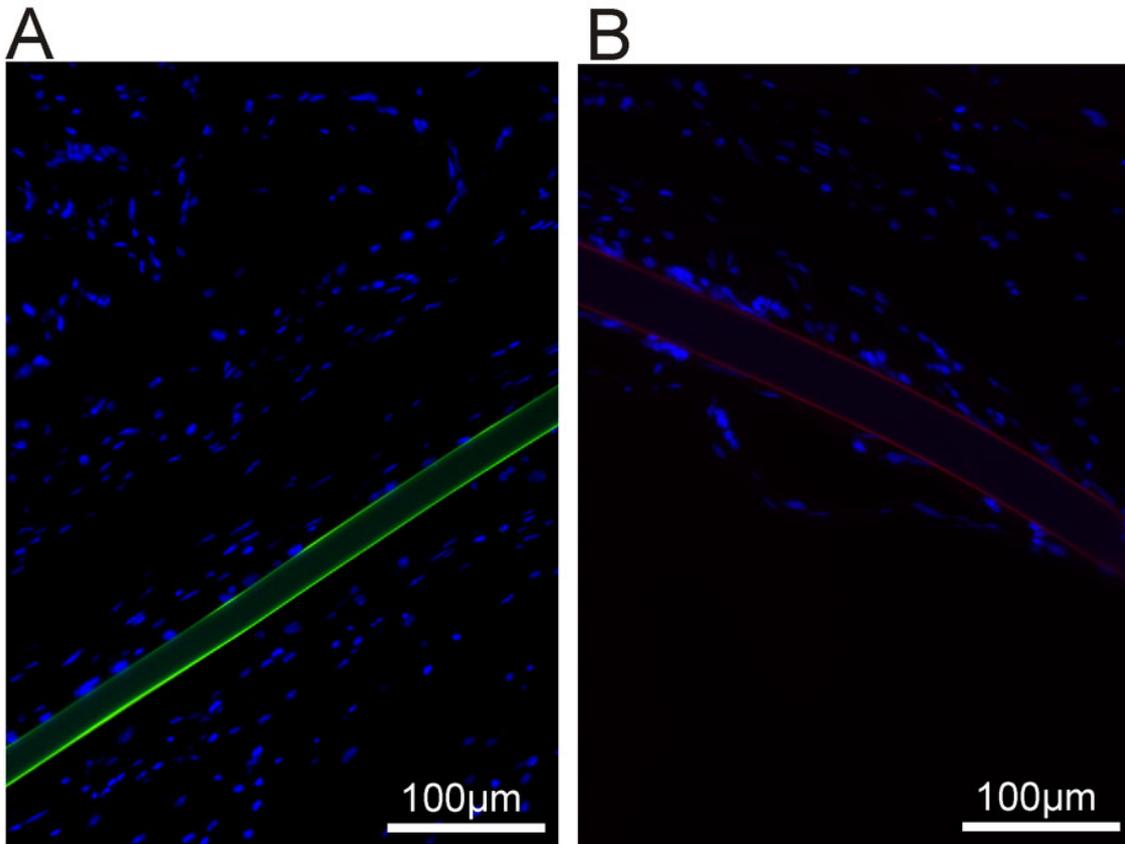


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 681 *Figure S3.* Representative images of histological sections after HE staining of PEI film (*) and
 682 scaffold (dashed line) 7 and 28 days post implantation.
 683



684

685 *Figure S4.* Masson's Trichrome stained sections of PEI scaffold 28 days post implantation. A)
686 Functional vessel in-growth (arrows) into the porous polymer. B) Higher magnification image
687 of functional vessel. Blue stained areas indicate deposition of extracellular matrix around cells
688 that invaded the scaffold.
689



690 *Figure S5.* Immunostaining of implanted PEI film without primary antibody (negative control)
691 showing intensive autofluorescence of the polymer in the green channel (A) and faint
692 autofluorescence the red channel (B)
693