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**On the edge of death: Rates of decline and lower thresholds of
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1 **On the edge of death: Rates of decline and lower thresholds of biochemical** 2 **condition in food-deprived fish larvae and juveniles**

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28

29 **Abstract**

30 Gaining reliable estimates of how long finfish early life stages can survive without feeding and how the rate of
31 starvation and amount of time until death are influenced by body size, temperature and/or species is critical to
32 understanding processes (e.g., predator-prey match-mismatch dynamics) governing mortality in the wild. The
33 present study is a cross-species analysis of starvation induced-changes in biochemical condition in the early life
34 stages of nine marine and freshwater finfish species. Data were compiled on changes in body size (dry weight,
35 *DW*) and biochemical condition (standardized RNA-DNA ratio, *sRD*) throughout the course of starvation of
36 endogenous and exogenous feeding larvae and juveniles in the laboratory. In all cases, the mean biochemical
37 condition of groups decreased exponentially with starvation time, regardless of initial condition and endogenous
38 yolk reserves. A starvation rate for individuals was estimated from discrete 75th percentiles of sampling
39 populations versus time [degree-days, $Dd = T (^{\circ}\text{C}) \cdot \text{time (d)}$]. The 10th percentile of *sRD* successfully
40 approximated the lowest, life-stage specific biochemical condition (the edge of death). *T* could explain 59 % of
41 the variability in time to death whereas *DW* had no effect. Species and life stage specific differences in starvation
42 parameters suggest selective adaptation to food deprivation. Previously published, inter-specific functions
43 predicting the relationship between growth rate and *sRD* in feeding fish larvae do not appear to apply to
44 individuals experiencing prolonged food deprivation. Starvation rate, edge of death and time to death are viable
45 proxies for the physiological processes under food deprivation in individual fish pre-recruits in the laboratory
46 and provide useful metrics for studies on the role of starvation prevalence in the field.

47

48 **Keywords**

49 RNA-DNA ratio, starvation rate, mortality threshold, time to death, percentile approach

50

51 **1. Introduction**

52 The recruitment (year class) strength of marine fish species can vary by orders of magnitude between years
53 (Houde, 1989) and is normally governed by processes that affect mortality rates during the first year of life
54 (Houde, 2008). For example, changes in prey availability, resulting from temporal and spatial matches and
55 mismatches of larvae and their prey, can alter larval growth rates and consequently the duration of the pre-
56 recruit period – a period when larvae are particularly susceptible to mortality due to predators (Houde, 2008).
57 Depending upon the degree of mismatch with prey, food-deprived larvae may die of starvation or weakened
58 larvae may be more vulnerable to predation mortality (Skajaa et al., 2004). It is therefore critical to not only
59 assess the degree of food limitation in the wild, but to also understand how the physiological process of
60 starvation changes with species and/or life stage in order to gain a mechanistic understanding of the role that
61 prey deprivation plays in recruitment processes.

62 For more than two decades, the nutritional condition of marine fish early life stages has been assessed using the
63 ratio of nucleic acids (RNA-DNA ratio, *RD*) (Buckley, 1984; Buckley et al., 2008). The rationale behind the
64 ratio is that RNAs are essential for the biosynthesis of proteins, while DNA levels in a cell remain fairly constant
65 (Buckley et al., 1999; Bulow, 1987). Recently, an inter-calibration of *RD* measurements derived from different
66 fluorometric protocols (Caldarone et al., 2006) has allowed for a multi-species comparison of protein-specific
67 growth rates and *RD* in marine fish larvae resulting in a general model relating growth rate, and *RD* and
68 temperature (Buckley et al., 2008). During food deprivation, declines in *RD* have been observed in exogenous
69 feeding larvae of Atlantic cod (*Gadus morhua*) and Atlantic herring (*Clupea harengus*), reflecting the cessation
70 of protein synthesis and somatic growth rates (e.g., Clemmesen, 1994; Grønkjær et al., 1997; Suneetha et al.,
71 1999). Still, *RD* is not usually considered an indicator for starvation because some life stages and species can
72 compensate for extended periods of food deprivation, either by catabolizing energy reserves within muscle and
73 liver tissue or by utilizing embryonic yolk reserves, leading to ambiguous patterns in changes in *RD*. Direct
74 means of describing and quantifying the phenomenon of starvation have included observing feeding success
75 (gut content) (Bochdansky et al., 2008), measuring otolith microstructures (Johnson et al., 2002), and
76 histological examination of digestive tissues (Kjørsvik et al., 1991; Theilacker and Watanabe, 1989). However,
77 the potential influence of life stage and/or species relationships between nutritional deficiency, *RD*, and
78 starvation in marine fish early life stages are not yet clarified.

79 Laboratory trials have contributed a wealth of knowledge on the factors and processes affecting larval growth
80 and feeding and have often identified clear inter-individual differences in vital rates. Such phenotypic variability
81 may arise from physiology (Peck et al., 2004) and/or genetic differences among the individuals (Case et al.,
82 2006; Clemmesen et al., 2003; Saborido-Rey et al., 2003) and/or be exacerbated by behavioral interactions
83 among individuals (Moran, 2007). Despite the best efforts to reduce this variability, laboratory reared groups
84 often contain individuals having different nutritional or growth status. Inter-individual differences in growth
85 potential are likely to cause differences in the responses of larvae to food deprivation (e.g., time to mortality,
86 ability to re-establish feeding) but, to the best of our knowledge, this aspect of starvation response has remained
87 unstudied. For example, laboratory trials normally use group mean values to describe the time course of changes
88 in *RD* (Rooper and Holt, 1996; Suneetha et al., 1999; Tanaka et al., 2008). However, the resulting functional

89 model describing rates of starvation (e.g., rate of decrease in group mean RD versus time of food deprivation)
90 will likely underestimate the rate occurring at the level of the individual.

91 Here, we propose an indirect method for addressing this problem based on the following assumptions: a) the
92 condition of individuals at any point in time is stochastically distributed around a group mean value and b) the
93 underlying function describing changes in RD with time of food deprivation is reasonably known (i.e. an
94 exponential function) and c) larvae with a low condition suffer higher mortality rates than those in good
95 condition (when starvation is the only source of mortality). Computing daily changes in the 75th percentile of RD
96 values appears to be a good option for estimating starvation rate since individuals within these upper percentiles
97 represent a discrete sub-group of the whole population that is unlikely to change its relative ranking within the
98 group on the short-term (Folkvord et al., 2009; Paulsen et al., 2009). Larvae in the upper percentiles will tend to
99 survive the longest and will thus form an ever increasing portion of the population on subsequent sampling days
100 (Figure 1), yielding a better approximation of the “true” starvation rate. The 90th and 10th percentile of the
101 sampling population can be used as idealized start and end points, representing initial condition at onset of food
102 deprivation and final condition close to starvation-induced mortality, respectively. We attempt to validate this
103 “percentile approach” as a method to represent daily changes in RD at the individual level during food
104 deprivation by comparing it to a conservative approach that utilizes group mean values.

105 Although individual variability will affect starvation rates, ambient temperature and body size will have
106 profound effects on various aspects of metabolism, including energy losses due to routine respiration and
107 excretion (Peck and Buckley, 2008; Peck et al., 2008). Protein synthesis and somatic growth rates are no
108 exception to this rule and various methods for generalization and comparison have been suggested. For example,
109 Buckley et al. (2008) reported that the best model describing instantaneous growth rates as a function of RD
110 included a temperature interaction term ($T \times RD$). Folkvord et al. (2005) assessed intra-specific differences of
111 larval cod from two distinct populations with the aid of a coupled size-temperature-growth model while Malzahn
112 et al. (2003) used degree-days to normalize the temperature dependence of somatic growth rates in North Sea
113 houting larvae. The present study attempts to parameterize a common function that quantifies and, if applicable,
114 normalizes the contribution of these two influential parameters on the change in biochemical condition (RD) of
115 food-deprived individuals within controlled laboratory conditions. Our emphasis was to understand how intrinsic
116 (body size, species) and extrinsic (temperature) factors contribute to differences in the response of individuals to
117 starvation, including the rate of decrease and lowest (threshold) values of biochemical condition, and the time to
118 death. Our results are discussed with respect to utilizing RD to help understand starvation-induced mortality in
119 larval cohorts in the wild.

120 **2. Material and Methods**

121 **2.1. Data set overview**

122 We compiled previously published and unpublished data from laboratory-based, food deprivation experiments
123 performed on nine species of marine and freshwater finfish larvae and juveniles, namely Atlantic cod, Atlantic
124 herring, sprat (*Sprattus sprattus*), common goby (*Pomatoschistus microps*), southern flounder (*Paralichthys*
125 *lethostigma*), vendace (*Coregonus albula*), North Sea houting (*Coregonus oxyrinchus*), haddock

126 (*Melanogrammus aeglefinus*), and sea bream (*Sparus aurata*). Detailed protocols and methods utilized in the
127 different experiments can be found in the original publications (Table 1). The common feature of all experiments
128 was that groups of well-nourished (either newly-hatched or previously *ad libitum* fed) fish were deprived of food
129 for at least three days and samples (minimum of 5 fish per sample) were taken at the start and on at least two
130 more occasions.

131 The data set included 3542 individually measured paired values of body size and RNA-DNA ratio (*RD*),
132 stemming from 15 different experiments (Table 1). Experiments were partly made up of distinct trials using
133 different ambient temperatures and/or initial body sizes and life stages (Table 2). Across all trials, water
134 temperature ranged from 2.6 to 24.1 °C and body size (average initial *DW*) ranged from 35.9 µg in young larvae
135 to 43.2 mg in juveniles. Endogenous feeding yolk sac larvae were used in 21 trials. Trial duration ranged from 2
136 to 36 days (Table 2). The end of each trial did not necessarily coincide with fish mortality. To be included,
137 samples generated in an experiment had to be processed using only one technique for the measurement of body
138 size and one single-dye fluorescence based protocol for determination of bulk nucleic acid contents. If not stated
139 otherwise, *DW* was measured after freeze-drying to the nearest 0.1 µg (< 100 µg individuals) or 1.0 µg
140 (individuals > 100 µg). In some cases, *DW* was calculated from known relationships with standard length
141 [Experiment H, herring: Harrer (2006); Experiment N, southern flounder: Bolasina et al. (2006) and Qin et al.
142 (2008)], protein content (Experiment D, cod, Experiment I, haddock: Caldaroni, unpublished data), or wet
143 weight (Experiment J, sprat: Peck, unpublished data).

144 **2.2. RNA-DNA analysis**

145 *RD* was measured in crude, whole body or muscle tissue homogenates using the non-specific, nucleic acid
146 intercalating fluorescence dye ethidium bromide (Caldaroni et al., 2001; Clemmesen, 1993; Suneetha et al.,
147 1999; Wagner et al., 1998; Westermann and Holt, 1988). Subsequent addition of RNA- (and in some cases
148 DNA-) specific restriction enzymes allowed the quantification of RNA and DNA in the same homogenate. In all
149 protocols, standard reference materials (purified nucleic acids standards for RNA and DNA) were used to
150 convert fluorescence yields into nucleic acid concentration. In cases where only DNA standards were used, the
151 slope of the RNA standard curve was assumed to be 2.2 times lower than for DNA (Le Pecq and Paoletti, 1966).
152 All *RD* values were standardized based on the assay-specific ratio of the slopes of the standard curves (DNA
153 slope/RNA slope), standardized to a reference slope ratio of 2.4, as described in Caldaroni et al. (2006).
154 Standardized RNA-DNA ratios (*sRD*) will be used throughout the remainder of this manuscript. Assay-specific
155 slope ratios and standardization factors are provided (Table 1).

156 Measurements of *sRD* were performed on either whole body or muscle tissue homogenates (Table 1). We
157 intentionally abandoned any effort to convert muscle tissue *sRD* to whole body *sRD* realizing the unpredictable
158 effects of differences in dissection protocols and potential differences in cell size (Olivar et al., 2009). However,
159 early life stage muscle tissue *sRD* was previously shown to be affected by food deprivation and it does reflect the
160 physiological process of starvation. To avoid potential bias, sample tissues types are indicated and measurements
161 made on different tissues were treated separately in all calculations. We followed a similar approach for
162 endogenous feeding yolk sac larvae (Table 2). The contribution of maternal RNA and yolk sac dry weight
163 substantially affects *sRD* and estimates of body size, and are known to introduce a bias to growth model

164 estimates (Buckley et al., 2006). Yolk sac larval starvation parameters nevertheless provide a useful base for
165 comparison of temperature- and species-specific physiological mechanisms of yolk mobilization.

166 **2.3. Linearization of starvation rate**

167 Following a percentile approach, starvation rates were calculated with regression models fit to the 75th percentile
168 of each *sRD* sampling date versus the duration (days) of food deprivation. Visual inspection of linear and
169 exponential model residuals confirmed the assumption of an exponential decrease in most trials (data not
170 shown). In order to express starvation rates in a linear fashion, values of *sRD* were natural logarithm (ln-)
171 transformed. If not stated otherwise, all analysis utilized ln-transformed 75th percentile *sRD* data. Only starvation
172 rate parameters (decrease rate and time to death) from significant linear regressions were used for further
173 analysis.

174 **2.4. Temperature-normalization of starvation rate**

175 A subset of data was used to validate the degree-day normalization of starvation rates (D: #12-14; F: #17-23; I:
176 #40-42; K: #44-48; L: #49+50; M: #52+53; experiment and trial #, respectively, Table 2). Only trials with
177 different rearing temperatures stemming from the same experiment were tested against each other to minimize
178 uncontrolled effects (e.g., maternal/batch effects, body size and species differences). Time of food deprivation
179 (days) was used as covariate in ANCOVA to test for significant differences between starvation rates at different
180 temperatures (independent variable). The ANCOVA was repeated with temperature-normalized data, expressing
181 time of food deprivation in degree-days (*Dd*). Temperature-normalization was considered successful when a
182 significant effect of the independent variable in the original data became insignificant.

183 **2.5. Body size and life stage effect on starvation rate**

184 A subset of data was used to test the influence of body size and life stage on starvation rates after temperature-
185 normalization (A: #1-5; B: #6-10; E: #15+16; G: #24-29; G: #30-35; H: #36-39; experiment and trial number,
186 respectively, Table 2). Only trials with different initial dry weight stemming from the same experiment were
187 compared to minimize uncontrolled effects (e.g., maternal/batch effects, species differences). Endogenous and
188 exogenous feeding larvae were compared in 3 larval cod experiments (Experiment: A, B and E). Only one
189 experiment (Experiment: G, herring) spanned a sufficient body size range to compare early exogenous feeding
190 larvae with post-larvae. Time of food deprivation, expressed in degree-days, was used as the covariate and
191 average initial dry weight as the independent variable in an ANCOVA.

192 **2.6. Population percentiles and time to death**

193 Time to death was calculated using the formula:

$$194 \quad (1) \quad \textit{time to death} = \frac{\ln(sRD_{10th\ perc}) - \ln(sRD_{90th\ perc})}{\textit{starvation rate}_{75th\ perc}}$$

195 where $\ln(sRD_{10th\ perc})$ and $\ln(sRD_{90th\ perc})$ represent the 10th and 90th percentile values of ln-transformed *sRD* for
196 the sampling population and $\textit{starvation rate}_{75th\ perc}$ represents the temperature-normalized starvation rate based
197 on the 75th percentile of daily ln-transformed *sRD* (Figure 1). The 10th percentile of *sRD* values in any population

198 of food-deprived individuals with sufficient variability in condition is thought to be an approximation for the
199 lowest level of biochemical condition sustaining life. A direct estimate for this level was difficult to extract from
200 the present data set as most of the food deprivation trials ended before larval mortality (33 out of 55 trials), and
201 the 75th percentile values of *sRD* calculated for the last sampling day of these trials may not be ultimately close
202 to death. It was therefore assumed that the 10th percentile of the full sampling population (pooled over time) is a
203 better approximation for the edge of death. The 90th percentile is thought to represent the highest observed
204 species- and life stage-specific *sRD* and, in turn, provides a normalized start-value for the onset of food
205 deprivation.

206 **2.7. Validation of the percentile approach**

207 In an attempt to validate the percentile approach, starvation rates based on the 75th percentile were compared to
208 starvation rates calculated from the arithmetic mean of the population on each sampling day. Additionally, the
209 starvation parameters ‘10th (edge of death)’ and ‘90th (normalized start-value) percentile of *sRD*’ were regressed
210 against observed start- (day 0) and cut-off (final sampling day) values of condition. ‘Time to death’ (Formula 1)
211 from trials ending with larval mortality (significant endpoint trials) was regressed against observed time to death
212 (i.e. trial duration). Percentile-based and mean-based ‘time to death’ were regressed against observed trial
213 duration. Only trials yielding a significant slope parameter estimate (for the respective mode of calculation) were
214 included in this regression. Mean-based ‘time to death’ used the average sampling day *sRD* at the first and last
215 sampling day as start- and endpoint, respectively. The 10th percentile *sRD* of trials using whole body tissue
216 preparations was converted into somatic growth rates, using a multi-species, temperature corrected model
217 describing instantaneous growth rates as a function of *sRD* (Buckley et al., 2008) to assess the validity of this
218 metric for fish under food depletion.

219 All statistical calculations were performed with PASW Statistics 18 (SPSS Inc.). Criteria of normality,
220 homoscedasticity, homogeneity of regression slopes and independence of covariate and treatment effects were
221 respected for Analysis of Covariance (ANCOVA) and multiple regressions (Field, 2009). Significance level was
222 set to $p \leq 0.05$. If not stated otherwise, means are given \pm standard deviation, SD.

223 **3. Results**

224 As expected, *sRD* decreased with time of food deprivation in all 55 food deprivation trials. This was observed in
225 whole body (*wb*) as well as muscle tissue (*mt*) sample preparations and in endogenous and exogenous feeding
226 life stages. Examples of these trends for cod, herring and other species are provided (Figure 2-4). Starvation
227 rates, i.e. significant linear regressions of the 75th percentile of ln-transformed *sRD* versus time (*Dd*) of food
228 deprivation, were successfully calculated for 34 trials and ranged from $-0.0206 *Dd^{-1}$ in 17 dph exogenous
229 feeding cod larvae to $-0.0030 *Dd^{-1}$ in newly hatched herring yolk sac larvae (Table 2). Muscle tissue starvation
230 rates ranged from $-0.0043 *Dd^{-1}$ to $-0.0050 *Dd^{-1}$ and were therefore significantly higher (slower) than the
231 average ($-0.0091 *Dd^{-1}$) whole body starvation rate (M-W test, $U=5.0$, $z=-2.52$, $p<0.05$). When looking at whole
232 body sample preparations only, starvation rates of yolk sac larvae ($-0.0089 \pm 0.0047 *Dd^{-1}$) were not
233 significantly different from exogenous feeding larval rates ($-0.0093 \pm 0.0038 *Dd^{-1}$, M-W test, $U=97.0$, $z=-0.689$,
234 $p>0.05$). Average (absolute) starvation rates for species, ranked in the order *G. morhua* > *M. aeglefinus* > grand
235 mean > *C. harengus* > *P. microps* > *P. lethostigma* > *C. albula* > *C. oxyrinchus* > *S. sprattus* [$-0.0140(0.0043)$

236 > -0.0131(-) > -0.0087(0.0041) > -0.0077(0.0021) > -0.0066(0.0007) > -0.0050(-) > -0.0048(0.0001) > -
237 0.0045(-) > -0.0043(-)* Dd^1 , respectively]. Trials in which a significant regression slope could not be calculated
238 (21 trials) were found across all species, temperatures and body sizes (indicating no bias).

239 **3.1. Temperature-normalization of starvation rate**

240 Temperature had a significant influence on the dependent variable (ln-transformed 75th percentile *sRD*) when
241 time of food deprivation was expressed in days (not all analysis results shown, Table 2). Significant differences
242 (ANCOVA) in comparison to the reference trial (highest temperature in the same experiment) were found in
243 every experiment of the subset of data that was used for validation of the degree-day normalization, except for
244 one trial at the lowest temperature of Experiment K (*P. microps*). This experiment spanned the broadest
245 temperature range (14.3 °C) in a single species of the whole data set and the failing of the *T*-normalization was
246 observed between the two extreme ends of the *T*-range. This indicates a potential limitation to the general
247 applicability of this normalization-procedure when applied over such a broad range. All other experiments (and
248 species) used a narrower *T*-range and it was therefore assumed that *Dd*-normalization would explain a significant
249 proportion of temperature effects across the whole data set. Whereas temperature explained a significant
250 proportion of variability in starvation rates before normalization (linear regression, $B=-0.0056(0.0011)$, $\beta=-0.69$,
251 $p<0.001$, $R^2=0.48$; Figure 5A), it lost a great part of its predictive capacity after normalization ($B=-$
252 $0.0004(0.0001)$, $\beta=0.40$, $p=0.027$, $R^2=0.16$; Figure 5C). The difference in explained variability (32% of the total
253 variability) represents two thirds of the initially explained variability that was successfully channeled into *Dd*.

254 **3.2. Body size and life stage effect on starvation rate**

255 Body size was found to have a significant effect on starvation rate in four out of five experiments, but only when
256 endogenous and exogenous feeding larvae were equally included in the analysis (Experiments A, B and E). In
257 these experiments, only the starvation rate of yolk sac stages was significantly different (ANCOVA, Table 2)
258 from the reference category (highest initial dry weight). When these life stages were excluded, no significant
259 difference was found. From the two experiments that did not contain any endogenous feeding stages
260 (Experiment G and H, both on *C. harengus*, dry weight range: 207.4 to 6051.1 μg and 215.6 to 537.5 μg ,
261 respectively) it can be hypothesized that around ~500 μg dry weight there is a breaking point for detectable body
262 size difference in starvation rate. Before (Experiment H) and beyond (Experiment G) that point, body size had no
263 effect on starvation rate. Exploratory data-analysis of starvation rates against body size (here: log dry weight)
264 indicated a faint positive correlation between these two variables, not in original starvation rates ($B=-$
265 $0.005(0.009)$, $\beta=0.10$, $p=0.591$, $R^2=0.01$; Figure 5B) but in *Dd*-normalized starvation rates ($B=-0.002(0.001)$,
266 $\beta=0.42$, $p=0.014$, $R^2=0.18$; Figure 5D). When looking only at exogenous feeding larvae and whole body tissue
267 preparations in the latter case, a significant proportion of variability in starvation rate could be explained by body
268 size ($B=-0.005(0.001)$, $\beta=0.67$, $p=0.002$, $R^2=0.45$; Figure 5D).

269 **3.3. Population percentiles and time to death**

270 The 10th percentile of *sRD*, the approximation of the lowest biochemical condition sustaining life, spanned
271 almost one order of magnitude (range: 0.3 to 2.3) across all species and life stages (Table 2) and was both
272 variable across temperature (Figure 6A) and body size (Figure 6B). This variable was significantly correlated

273 with temperature when endogenous ($B=-0.074(0.028)$, $\beta=-0.65$, $p=0.023$, $R^2=0.42$) and exogenous feeding stages
274 ($B=-0.084(0.021)$, $\beta=-0.69$, $p=0.001$, $R^2=0.48$) were separated. Whereas the slopes of these regressions were
275 almost identical, the intercept for exogenous feeding stages was higher (2.4 ± 0.3 , estimator \pm S.E.) than for
276 endogenous larvae (1.7 ± 0.3). A clear correlation with body size could not be found, but life stage specific trends
277 were apparent for cod and herring larvae. On average, yolk sac larvae of these two species had lower 10th
278 percentile values (1.1 ± 0.1 , mean \pm S.E.) than exogenous feeding stages (1.7 ± 0.1), whereas life-stage
279 independent averages were very similar (1.5 ± 0.04 and 1.5 ± 0.2). The 90th percentile, the approximation for *sRD*
280 at onset of food deprivation, ranged from 1.0 to 4.9 and was not correlated to water temperature, body size,
281 species or life stage (Table 2). Time to death ranged from 33.4 to 409.7 *Dd* (Table 2) and was significantly
282 correlated to temperature in both endogenous and exogenous feeding stages (Figure 7A), but not to body size
283 (Figure 7B). A multiple regression using temperature and body size as predictors explained 59 % of the observed
284 variability, but *DW* influence was insignificant (Temperature: $B=10.284(1.632)$, $\beta=0.76$, $p<0.001$, change in
285 $R^2=0.57$; Dry weight: $p=0.59$). The effect of temperature on time to death in endogenous feeding larvae
286 ($B=11.719(1.797)$, $\beta=0.85$, $p<0.001$, $R^2=0.71$) was not significantly different from that on exogenous feeding
287 larvae ($B=12.396(2.420)$, $\beta=0.85$, $p<0.001$, $R^2=0.72$) as indicated by similar slopes of the respective regressions.

288 3.4. Validation of the percentile approach

289 Starvation rates based on the 75th percentile were regressed against starvation rates calculated from the arithmetic
290 mean of daily sampled populations ($B=0.997(0.044)$, $\beta=0.97$, $p<0.001$, $R^2=0.95$). The slope of the regression was
291 not significantly different from 1 (CI range for slope estimator B : 0.907 to 1.088). The 10th percentile (edge of
292 death) of trials ending with larval mortality (22 trials, including endogenous and exogenous life stages, all tissue
293 types) slightly overestimated the observed average *sRD* of the last sampling day, but was nevertheless
294 significantly correlated to it ($B=1.123(0.100)$, $\beta=0.93$, $p<0.001$, $R^2=0.86$). The slope of the regression was not
295 significantly different from 1 (CI range for slope estimator B : 0.914 to 1.332). The 90th percentile (normalized
296 start-value) of all trials (except for two outliers, both Experiment E) slightly underestimated the observed
297 average *sRD* at onset of food deprivation ($B=0.982(0.026)$, $\beta=0.98$, $p<0.001$, $R^2=0.96$). The slope of the
298 regression was not significantly different from 1 (CI range for slope estimator B : 0.929 to 1.035). Time to death,
299 based on the percentile approach and calculated for all endpoint trials (ending with larval mortality), slightly
300 underestimated observed time to death, i.e. trial duration ($B=0.738(0.041)$, $\beta=0.99$, $p<0.001$, $R^2=0.97$; Figure 8,
301 Insert A). The slope of the regression was significantly different from 1 (CI range for slope estimator B : 0.645 to
302 0.831). Visual inspection of residuals showed the heavy influence of a single trial (#49, *C. oxirynchus*, *mt*, yolk
303 sac stage) at the upper end of the regression. Time to death, both calculated from the percentile approach and the
304 arithmetic mean, were regressed against trial duration (Figure 8 and Figure 8, Insert B, respectively). The
305 percentile approach significantly underestimated trial duration ($B=0.793(0.035)$, $\beta=0.97$, $p<0.001$, $R^2=0.94$, CI
306 estimator: 0.721 to 0.865), whereas the slope of the population mean based estimator was not significantly
307 different from 1 ($B=1.002(0.044)$, $\beta=0.97$, $p<0.001$, $R^2=0.94$, estimator CI: 0.912 to 1.091). A linear regression
308 with a slope of 1, representing 100 % congruence of the calculated and the observed metric, was added to the
309 data and residuals were calculated. In only 5 out of 34 cases, the percentile approach metric showed a positive
310 residual, supporting the assertion that the larvae in most of the trials were sampled slightly before they were
311 ultimately close to mortality. In contrast, in 17 out of 36 cases the population mean based metric extrapolated
312 time to death beyond the actual end of the trial. When 10th percentiles of *sRD* were converted into recent,

313 instantaneous growth rates, this metric ranged from -0.065 to 0.071 *d^{-1} in all food deprivation trials. In
314 endogenous feeding stages, most of these calculated growth rates were negative (-0.026 in average, range from $-$
315 0.063 to 0.008 *d^{-1}). In exogenous feeding larvae, the average growth rate was positive (0.007 , range from $-$
316 0.043 to 0.061 *d^{-1}).

317 **4. Discussion**

318 Natural variations in the abundance of fish stocks can be the result of several factors acting on all life stages. The
319 fast growth and high mortality rates observed for larval fish have led researchers to the conclusion that processes
320 acting during the larval stage have the potential to introduce major variability in the recruitment levels of marine
321 fishes. The ability to gain robust in situ growth estimates and distinguish individuals that are growing well from
322 those that are growing poorly is therefore critical (Houde, 2008). Starvation and predation are considered to be
323 the most important causes of mortality in the early life stages of fish (Houde 1987; Bailey and Houde 1989). The
324 combination of low ability to detect and escape from predators, and high metabolic rate and limited energy
325 reserves, make larvae vulnerable to mortality via both predation and starvation (Houde, 1987; Fuiman and
326 Cowan, 2003; Bochdansky et al., 2008).

327 The measurements of biochemical condition (*RD*) is one of the most widely used biochemical growth indicators
328 for marine fish early life stages (e.g., Buckley, 1984; Buckley et al., 2008; Chicharo and Chicharo, 2008;
329 Clemmesen et al., 2003) and recent work has standardized this ratio (*sRD*) allowing comparison of
330 measurements made in different laboratories (Caldarone et al., 2006). In our study, we used a novel approach to
331 examine changes in *sRD* in food-deprived individuals to 1) identify species- and body size-specific lower *sRD*
332 (threshold) values, 2) quantify the rate of change in *sRD* in food-deprived individuals, and 3) estimate the time
333 required to reach threshold values in the early life stages of nine different marine and freshwater fish species.
334 These parameters are the corner-pillars of theories describing mortality via starvation in finfish pre-recruit life
335 stages (Ferron and Leggett, 1994). We also demonstrated how inter-individual variability in *sRD* can be
336 harnessed to provide better estimates of starvation trajectories by using a percentile approach and argue that the
337 physiological rate of starvation is better estimated using this technique compared to traditional calculations that
338 employ group mean values. The low *sRD* values of food-deprived individuals in the present studies translate into
339 positive and negative growth rates, following the relationship described by Buckley et al. (2008). Our results
340 therefore suggest that the published general model (Buckley et al., 2008) and species-specific models (e.g.,
341 Caldarone, 2005; Caldarone et al., 2003) explaining the relationship between growth rate and *sRD* in feeding fish
342 larvae do not apply to individuals experiencing prolonged food deprivation. Although well-nourished individuals
343 can exploit their growth potential within the boundaries determined by species and/or water temperature
344 (Buckley et al., 2008), the effect of food deprivation seems to overrule any generic (inter-specific) relationship,
345 replacing it by life stage- and species-specific adaptations.

346 **4.1. Temperature-normalization of starvation rate**

347 In the present study, temperature effects on starvation rates within species were successfully normalized by the
348 degree-day metric. There was, though, an unexpected positive correlation between temperature and calculated
349 time to death, which was meant to be a sufficiently temperature corrected metric. This is unusual because it
350 means that at higher temperatures fasting larvae of similar size and life stage exhaust their energy reserves later.

351 This counter-intuitive result might indicate that degree-day normalization has its limits for this particular case.
352 Although still not common, the normalization of poikilotherm life history to overcome differences in
353 temperature has been shown to be extremely valuable (Fuiman et al., 1998; Neuheimer and Taggart, 2007). The
354 basis for that is appealing: the metabolic rate of a poikilotherm depends upon the amount of energy transferred to
355 the respective sites of metabolic activity, and metabolism is based on the same biochemical processes in a vast
356 range of animals (Brown et al., 2004). For example, avian and aquatic poikilotherm egg development rates are
357 highly temperature-dependent and species-independent when corrected for mass (Gillooly et al., 2002). The
358 thermal history explained as much as 93% of the variability in length at age in 17 cod stocks (Neuheimer and
359 Taggart, 2007).

360 In models examining the relation of *RD* to growth, including temperature as a variable greatly improved
361 predictive power (Buckley, 1984; Buckley et al., 2008). Clearly, growth not only depends upon temperature, but
362 also on the input of energy and nutrients (e.g., Peck et al., 2003). However, the majority of studies deal almost
363 exclusively with positive growth rates (i.e. when rates of anabolism exceed catabolism) and both negative and
364 positive growth rates are always examined in concert. This implies a basic assumption that there are no major
365 physiological differences in growing or mildly fasting animals, even though this is usually not explicitly stated.

366 Recent research has unraveled systematic differences in thermodynamic trait response changes among
367 representatives of all major biota and biomes (Dell et al., 2011). Whereas universal principles of thermal
368 sensitivity (e.g., Boltzmann-Arrhenius model) were confirmed for autonomous traits (e.g., fundamental
369 metabolic rates), somatic traits (i.e. more or less under conscious control) exhibited higher thermal sensitivity.
370 Carefully translated to the early life stages of finfish, this suggests that foraging traits (e.g., swimming activity,
371 prey ingestion and hence growth rates) are under lower selective pressure so that distinct thermal reaction norms
372 and temperature optima are conserved across species and life stages. Opposed to this, predation-avoidance and
373 other traits directly related to survival are under higher selective pressure, thus considerable energy is invested to
374 maintain high trait performance across a broader range of temperatures. This suggests that somatic (behaviorally
375 controlled) traits that have a life-saving function (e.g., searching for food to avoid death of starvation) might be
376 correlated to autonomous (metabolic) traits and do not undergo selective pressure independently from one
377 another. An example for such a somatic trait that exhibits thermal sensitivity, even under conditions of food
378 deprivation (high degree of autonomous control), was described by Fukuhara (1990). Larvae were more active at
379 warmer temperatures and changed their activity level in respect to the quantity of their remaining yolk reserves
380 with a maximum activity level around the time of exhaustion.

381 **4.2. Body size and life stage effect on starvation rate**

382 Starvation rates were not universally correlated to body size, but body size could explain a significant fraction of
383 variability when limited to exogenous feeding stages, and when ambiguous species-tissue type combinations
384 were excluded. This rather weak relationship suggests that a) the ~2.5 order of magnitude difference in body size
385 covered by the regression was necessary to yield a biological signal and b) that the species that were excluded
386 from the regression either indicate a species effect or are an artifact of life stage (endogenous reserves) and tissue
387 type. Our results further suggest that early stage exogenous feeding larvae are particularly vulnerable to
388 starvation under laboratory conditions. After absorbing their yolk reserves, larvae must start first feeding within

389 a very limited window of opportunity (Miller et al., 1988). The window of opportunity is the time period
390 between first feeding (closely related to mouth-gap opening and onset of foraging behavior) and the point of no
391 return (Blaxter and Hempel, 1963). Overton et al. (2010) estimated the window of opportunity for Baltic cod
392 yolk sac larvae in the laboratory at 10 °C to be 5.6 days (56 *Dd*). At warmer temperatures (19°C), Yúfera et al.
393 (1993) estimated the window of opportunity to be only 2 days (38 *Dd*) for sea bream. It appears that mixotrophic
394 larvae, those undergoing the transition from endogenous to exogenous feeding, rapidly deplete energy reserves
395 when they are food-deprived. These young larvae have not yet had time to deposit energy-rich storage tissues
396 (e.g., white trunk muscle or lipid rich liver) that increase their starvation resistance. Very short times to death for
397 cod larvae at exactly this transitional life stage were determined in this study (on average, well below 50 *Dd*).
398 Their rapid starvation rates as well as their highly variable condition in all trials were clear indicators of their
399 pronounced vulnerability to starvation.

400 In sharp contrast to these vulnerable early stage larvae, juvenile sprat exhibited high starvation resistance and
401 suggested an exception to the exponential decrease of condition with time of food deprivation. These individuals
402 were food deprived for 18 days, but were not yet beyond their PNR because all fish were able to successfully re-
403 feed and grow (Peck et al., in prep). The individual starvation rate trajectory indicates that this life stage has
404 another strategy to respond to food deprivation. Other meta-analysis studies, investigating respiration rates in
405 larval and later stage fish (Bochdansky and Leggett, 2001), show that metabolic control changes throughout
406 ontogeny in a fashion that is not solely attributable to body size and storage tissue mass. After prolonged periods
407 of starvation, clupeids (such as sprat and herring) continue to lose body weight and display decreasing somatic
408 condition factors (*DW* per unit *SL*) yet only show very modest declines in *SL* (Haus, 2008).

409 Due to the patchy distribution of their prey, their high growth capacity and high metabolic rates, post-larval and
410 early juvenile fish must possess mechanisms to cope with periods of starvation (Wieser, 1991). Such
411 mechanisms can include the preferential use of specific metabolic substrates (Arndt et al., 1996; Molony, 1993),
412 changes in fish behavior including swimming activity and/or habitat use (Björnsson, 1993; Rudstam and
413 Magnuson, 1985; Sogard and Olla, 1996; van Dijk et al., 2002) and down-regulation of metabolism (Mendez and
414 Wieser, 1993; Wieser et al., 1992). Due to the costs and tradeoffs associated with these mechanisms (e.g.,
415 increasing foraging activity to increase the probability of encountering food versus the need to decrease
416 metabolic costs to save energy), the strategy(ies) employed at any particular time appear to depend upon the
417 magnitude of food deprivation (Sogard and Olla, 1996; Wieser, 1991).

418 We found an almost distinct break in relation to temperature between the edge of death (10th percentile metric) in
419 endogenous vs. exogenous feeding larvae. Using a multi-species model relating growth rate, and *RD* and
420 temperature (Buckley et al., 2008), the condition of food deprived exogenous life stages was converted into
421 growth rates that ranged almost equally from positive to negative, with an average just slightly above zero. In the
422 case of the current data set, it was not possible to use this growth model, parameterized with well-nourished
423 larvae from laboratory trials, to predict (negative) growth rates for food depleted larvae. When the same
424 calculation was done for the 10th percentile *sRD* of yolk sac larvae (i.e. when most of the endogenous reserves
425 were already exhausted), growth rates were mainly negative, i.e. these life stages were more correctly identified
426 to have a poor condition. Young yolk sac larvae (prior to any “mixed feeding period”), totally rely on their

427 endogenous reserves for growth and development. *RD* values are initially high in this life stage and have led to
428 an overestimate of somatic growth rates using *RD*-temperature models that were successfully fitted to later stage
429 larvae (Buckley et al., 2006). Besides potentially reduced net protein retention rates and RNA activity levels, the
430 body weight (or protein-) specific growth rate of a yolk sac larva is heavily underestimated if yolk mass is not
431 excluded from the calculation (Buckley et al., 2006). For this reason *RD* can be an indicator of recent growth in
432 early yolk sac larvae when protein accretion in the larval body is considered independent from weight loss due to
433 yolk absorption. For the whole larval body including the yolk reserves, maternal effects are crucial. Egg size and
434 quality determine the quantity and composition of yolk reserves that provide the initial protein synthesis
435 machinery (e.g., maternal ribosomal RNA) (Clemmesen et al., 2003; Saborido-Rey et al., 2003) and, therefore,
436 have a profound impact on starvation resistance.

437 A special case among yolk sac life stage strategies was described for North Sea houting by Malzahn et al.
438 (2003). The authors determined hyperplasia, i.e. the increase of cell numbers rather cell size, to be the main
439 mode of growth up to 250 degree-days post hatch. Using the same muscle tissue *sRD* data set, we could
440 demonstrate in the present analysis that these endogenous feeding houting larvae exhibited a) the lowest
441 condition threshold (10th percentile) which was presumably caused by the low RNA concentration in the
442 hyperplasia muscle tissue and b) the highest time to death which was only possible due to fueling of extensive
443 (maternal) yolk reserves. Houting and vendace, the only freshwater representative in the data set, belong both to
444 the family of salmonidae, which usually have a large size at hatch and big yolk reserves. This allows them to
445 accomplish a greater proportion of early ontogeny by feeding on endogenous reserves. The hyperplasia mode of
446 growth is very efficient for the representatives of this life history strategy because it does not require any
447 external feed intake for ontogenetic progress. This can be regarded as an adaptation to spawning and growing in
448 a low temperature and/or food limited environment, where it is better for a larvae, besides having a big size at
449 hatch, to be far developed when either temperatures or food abundances rise later in the season. Vendace larvae
450 for example are spawned in winter-time and egg development takes place over the course of five months (3 °C,
451 laboratory conditions) (Karjalainen et al., 1991).

452 Larval stages in the present laboratory studies exhibited some degree of body size and (early) life stage
453 dependent differences in starvation rate and thresholds, as outlined above, but the ability to resist starvation
454 remained generally low over orders of magnitude in body size. Folkvord et al. (2009) suggested a trade-off
455 between energy storage and growth for the fast growing early life stages, where growth rate is more important to
456 evade predation risk than to invest in starvation resistance. Miller et al. (1988) proposed a universal (72 species
457 of marine and freshwater fish) relationship between size-at-hatch and numerous traits ascribed to larval foraging
458 capacities and starvation susceptibilities. Jordaan and Brown (2003) also identified clear tradeoffs between body
459 size, growth performance and starvation resistance. In their laboratory study, ~12 mm SL cod larvae had the
460 highest potential for growth as well as starvation-induced mortality, while, in contrast, first-feeding larvae had a
461 higher potential to withstand periods of prey deprivation (Overton et al., 2010). Other studies conducted on
462 marine fishes (e.g., Bochdansky et al., 2008) reveal that larvae may need to pass through multiple “critical
463 periods” where starvation resistance and growth capacity may be linked. In lieu of size-at-stage, utilizing an
464 ontogenetic index that defines the developmental stage relative to metamorphosis (Fuiman et al., 1998) will

465 allow species-specific differences to become easier to interpret, as well as body size effects across species, since
466 the same body size may be correlated to vastly different developmental stages among species.

467 Different tail and trunk muscle sections were used for *sRD* analysis in some of the experiments. Olivar et al.
468 (2009) systematically investigated the different contributions of tissue type *RD* (e.g., head, eyes, muscle, gut) to
469 whole body *RD* and found that muscle tissue had consistently higher *RD* values than any of the other tissues.
470 This signal was conserved for two distinct life stages (pre- and post-flexion larvae) of two clupeid species and
471 throughout laboratory food deprivation trials in pleuronectiformid larvae (Olivar et al., 2009). Muscle tissue is
472 the most important energy storage for the late pre-metamorphosis life stages prior to lipid storage. Muscle
473 growth is highly correlated to *sRD* because of its high protein synthesis rates. At the onset of food deprivation,
474 protein turn-over rates in muscle tissue decrease and protein reserves are mobilized to satisfy catabolic needs, as
475 suggested previously in starving fish larvae based on histological or cell-cycle analysis (Catalán et al., 2007;
476 Catalán and Olivar, 2002). This process goes along with a reduction of ribosomal RNA and an increase of DNA
477 content per unit dry weight (Bergeron, 1997). Therefore, the starvation signal can be found in this tissue type
478 even though it presumably reflects only part of the physiological process in whole body (larval) samples. For the
479 four species investigated by Olivar et al. (2009), the authors suggest a correction factor for tissue type effects. It
480 still remains to be clarified how the change in the relative DNA content per dry weight throughout ontogeny,
481 caused by the formation of low-DNA content organic matter like bones and lipid storages (Suthers, 1998), is
482 differentially effected by species with different morphometric growth strategies (Froese, 1990).

483 **4.3. Population percentiles and percentile approach**

484 A key premise of this study is that inter-individual variability in growth potential and starvation resistance
485 strongly determines cohort responses to environmental conditions. It is necessary to move beyond simply using
486 group averages to characterize a cohort, both in the laboratory and in the field, as this metric does not accurately
487 capture the heterogeneity typically exhibited by fish early life stages. If we are to derive predictive models of
488 how larval condition relates to survival and growth at later life stages, we need to better define the distribution of
489 the characteristics of individuals, such as values of condition indices, starvation resistance, and growth potential.

490 Monitoring individual larvae over time within a mixed population, even under controlled laboratory conditions,
491 is extremely difficult, however, inferential methods can be developed such as those of Folkvord et al. (2009),
492 who used cumulative size distribution to derive sub-population specific growth rates. In this case, a change in the
493 cohort weight distribution over time suggested a higher mortality in smaller, slower-growing individuals. The
494 rationale behind this approach is that the relative size of a larva compared to other members of the same cohort is
495 not likely to change in the short term (see Paulsen et al. (2009), cod rank order stays from yolk sac to
496 metamorphosis). Thus the comparison of larval growth among a certain proportion of the population is best
497 achieved by comparing larval size from the same percentile from consecutive samplings rather than comparing
498 any larval size against the mean size in the previous or following sampling.

499 The same may also be the case for larval condition, where condition at a given day to some extent will depend
500 on the condition of the previous day. In the case of *RD*, the change in RNA content of a larva is likely to be more
501 important for the short term change in biochemical condition since the total DNA content, reflecting the number

502 of cells in an organism, is not going to drastically change from one day to the next (Clemmesen, 1994). Further
503 support for this assertion comes from a laboratory grading trial of Moran (2007), where slower growing juvenile
504 *Seriola lalandi* were found to have a consistently low nucleic acid-based condition, growth and survival index,
505 irrespective of the environmental conditions.

506 Direct experimental determination of an individual's condition trajectory under food depletion is virtually
507 impossible to measure in larval fish. Ferron and Leggett (1994) proposed a conceptual model for various
508 condition proxies (morphological, histological and biochemical) under recurring feeding and starvation
509 conditions. In their sense, condition responds to changes in feeding regime within boundaries defined by *ad*
510 *libitum* feeding and food-depletion. Depending upon the characteristics of the utilized condition proxy (e.g.,
511 responsiveness, sensitivity), the direction of change in individual condition may not always be apparent. This is
512 especially true for *RD* that reflects the *ad hoc* status of protein synthesis rate and recent growth rate, but never
513 the direction of change in condition with time.

514 The percentile approach is a first step to overcome the above mentioned inability to gain individual starvation
515 trajectories and provides a metric that is otherwise not available from population means. It has to be realized
516 though, that in the current study the same data set was used for development and application of this approach.
517 Because these two subsequent steps are nested in each other, careful validation is mandatory. Because
518 independent data sets were lacking and cross-validation (e.g., step-wise exclusion of individual experiments and
519 validation against the remaining ones) would have required substantially more data, we compared the percentile-
520 based starvation parameters with the conservative approach of population mean-based estimates. For the case of
521 starvation rates, we found that these two parameters were almost identical. This indicates that the percentile- and
522 mean-based regression slopes of condition against time of food deprivation were parallel in most trials and were
523 only slightly off-set (higher intercept in 75th percentile). Most of the experiments applied sampling schedules that
524 were designed for pooled estimates from replicate tanks, and presumably sampled just as many individuals from
525 each tank as would be needed to get a "good" arithmetic mean. An increase in predictive power of the percentile
526 approach can be expected when sampling size is increased until the 75th percentile is stabilized, i.e. changes
527 discretely over time of food depletion. Both the 10th and the 90th percentile of the whole sampling population
528 proved to be valid approximations of larval condition at onset of food deprivation and the edge of death.
529 Transferring this finding from a tank-population in the laboratory to a field population, it is possible to
530 circumvent labor-intensive identification of the duration of starvation time in individuals [e.g., from otolith
531 increment widths (Baumann et al., 2005) or histology (Ehrlich et al., 1976; Gisbert and Doroshov, 2003)]. The
532 calculated time to death was successfully validated with a rigid selection of trials that ended with larval mortality
533 after removing one outlier trial (muscle tissue sample, yolk sac stage, Coregonid). For all other trials, time to
534 death, based on the percentile approach, slightly underestimated observed time to death (or more correctly: trial
535 duration) which would be well expected, because the majority of individuals were not ultimately close to death.
536 These trials were stopped because of pre-determined experimental schedules and presumably the real edge of
537 death would have been reached later and with a lower 10th percentile of *sRD*. Opposed to this, the population
538 mean-based calculation overestimated time to death in the majority of the cases and this systematic error would
539 be even more pronounced when the real edge of death would have been included. We therefore conclude for

540 these laboratory experiments that the percentile approach has been successfully validated and that it can provide
541 an accurate approximation of starvation parameters at the level of individuals.

542 Whereas starvation rates can be readily determined under laboratory conditions using the percentile approach, it
543 is mandatory to extract the two other metrics (10th and 90th percentile) from field populations, because they are
544 ultimately linked not only to species and life stage, but also population and habitat characteristics. These two
545 metrics have been previously applied within fisheries oceanographic research examining marine fish early life
546 stages. Clemmesen et al. (2003) reported that the distribution of *RD* values and patterns of percentiles of *RD*
547 were largely influenced by environments experienced by individuals during early life. Individuals in a mesocosm
548 with warmer temperatures and higher prey concentrations had higher larval growth rates, higher *RD* values, and
549 relatively large prey in their gut compared to individuals in a second mesocosm that was colder and had lower
550 prey concentrations (Busch et al., 2009; Clemmesen et al., 2003). Moreover, the 10th percentile value of *RD* was
551 stable with time in the former mesocosm, indicating a non-selective environment (predators were absent)
552 whereas it rapidly increased during the first three weeks in the latter mesocosm, suggesting that only the fittest
553 larvae were able to survive and successfully compete for food in a prey-limited environment. Assessing changes
554 in specific percentiles of *RD* has also yielded insight into how poor feeding and starvation may act to control
555 early life stage survival in the field. Working on sprat larvae in the Bornholm Basin (Baltic Sea), Voss et al.
556 (2006) reported that stable and relatively high 10th percentile values of *RD* with increasing body size reflected a
557 feeding environment that was best (high nauplii concentrations) for small, first-feeding sprat larvae in April and
558 May. Lower 10th percentile values of *RD* were found for the same sizes of larvae in July, suggesting a less
559 selective environment (where both fast and slow growers survived). In the earlier time period, larvae in lower
560 nutritional condition were removed from the population (i.e. suffered higher mortality), as reflected by a rise in
561 the 10th percentile. A similar increase in the 10th percentile was observed by Huwer et al. (2011) who examined
562 the nutritional condition of Baltic cod larvae during a period of prey limitation. The results of these studies are in
563 line with a hypothesis originally proposed by Meekan and Fortier (1996), whereby during periods of abundant
564 food supply, the selective pressure for fast growth is relaxed and slow-growing larvae may survive in larger
565 proportions.

566 **Perspective**

567 An empirical parameterization of the functional model describing condition changes under food-depletion is
568 instrumental in understanding the bigger picture of starvation prevalence and to answer the questions: When and
569 where are early life stages of finfish pre-recruits exposed to starvation in the field? Is starvation an important
570 cause for mortality? Köster et al. (2003) reported that mortality experienced during the larval stage was critical
571 for recruitment success in Baltic cod. Model results of Hinrichsen et al. (2002) suggest that lower survival of
572 Baltic cod larvae during the summer was due to prey limitation. In a recent field study, Huwer et al. (2011)
573 reported Baltic cod larvae in poor nutritional condition within areas having low concentration of their preferred
574 prey, *Pseudocalanus acuspes*. Working in the Northwest Atlantic, Buckley et al. (2010) identified ‘windows for
575 survival’ for Atlantic and haddock larvae based upon seasonal and inter-annual differences in larval condition
576 and prey abundance. Similarly, Voss et al. (2006) described size-specific ‘windows of survival’ for Baltic sprat
577 larvae that were linked to the availability of suitable prey. These and other studies support Cushing’s ‘match–
578 mismatch’ hypothesis (Cushing, 1974, 1990) which outlines the key role of prey limitation during the early life

579 stages as a regulating mechanism of recruitment in many marine fish species. Thus, our efforts to quantify
580 starvation rates and times to death based upon thresholds in biochemical indices (RNA-DNA) often measured in
581 field programs should help advance our understanding of how prey limitation acts to affect early life stages of
582 different species in the field.

583 To further improve this estimator, more research is needed on the point of no return (Blaxter and Hempel, 1963;
584 PNR). This critical threshold is theoretically the only relevant true “edge of death”, but it has only been
585 examined in a handful of species and life stages and, thus, the literature is lacking estimates of larval condition
586 that can be directly related to mortality (Chicharo, 1998; Lough and Mountain, 1996; Tanaka et al., 2008). The
587 link between PNR and our *sRD*-derived time to death estimates is unclear, and given the recent restrictions in the
588 types of experiments permitted due to animal welfare concerns (Huntingford et al., 2006), indirect measures of
589 larval mortality risk may be the best available option. The advantage of the present *sRD*-derived measure of
590 mortality risk is that it may be applicable as a first estimate across a wide range of species. The high rates of
591 larval mortality in the field may also render the exact determination of PNR less relevant since most of the
592 severely emaciated larvae will die even if some of them theoretically still would have the potential to survive if
593 the food conditions abruptly improved.

594 **Conclusion**

595 The present study has shown that starvation-induced changes in condition in early pre-recruit life stages can only
596 be described by a common function when temperature effects are adequately corrected for and life stage and
597 species-specific differences are taken into account. Starvation rates were normalized with respect to temperature
598 by expressing the duration of food deprivation on a degree-day basis. We fitted functional models to discrete
599 percentiles of sampled populations to derive an estimate for starvation rates and mortality thresholds of
600 biochemical condition in an individual. Within narrow ranges of body sizes and life stages, we were able to
601 quantify key aspects of starvation (initial condition, rate of decrease, mortality threshold and time to death) based
602 on data collected during controlled laboratory conditions. Although the selective loss of individuals in poor
603 condition will undoubtedly differ between the laboratory and field, our analysis represents a step towards a
604 tailored condition index that takes into account species-, stage- and time frame-specific attributes of starvation
605 (Suthers, 1998). Additional research is needed to address gaps in our knowledge on how different life stages
606 and/or species are able to cope with periods of prey deprivation and how often cohorts experience life “on the
607 edge of death” in the wild.

608

609 **Table 1 literature.**

610 (Caldarone, 2005; Caldarone et al., 2003; Clemmesen, 1994; Faria et al., 2011; Faulk and Holt, 2009; Folkvord
611 et al., 2009; Harrer, 2006; Malzahn et al., 2003)

612 (Caldarone et al., 2006; Caldarone et al., 2001; Clemmesen, 1993; Suneetha et al., 1999; Wagner et al., 1998;
613 Westermann and Holt, 1988)

614

615

616 **Literature**

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819 **Figure captions**

820 **Figure 1:** Conceptual illustration of the percentile approach. A: Field populations contain at any time individuals
821 under food deprivation. Condition is a function of time of starvation and is stochastically distributed around
822 unknown mean values for each time-step of starvation. B: Condition in field population is stochastically
823 distributed. Population sample percentiles are used to describe the shape of the underlying distribution. In this
824 example, the population contains a high number of individuals in low condition; hence the lower percentiles are
825 closer to the population mean than the higher percentiles. C: Individual starvation trajectories of 5 individuals
826 from the population in A on the course of starvation over 4 time-steps. Slopes of starvation trajectories are
827 parallel for each individual a-e. D: Population percentiles of an experimental sampling population under food
828 deprivation. The 75th percentiles of each sampling time-step x_i decrease with a similar slope as individuals a-e (in
829 C). Population percentiles represent highest (90th) and lowest (10th) possible condition under these environmental
830 conditions.

831 **Figure 2:** Untransformed data overview for cod (*Gadus morhua*) food deprivation trials. Standardized RNA-
832 DNA ratio of individuals is plotted against time of food deprivation (days). Black bars indicate the presence of
833 endogenous reserves. T – temperature (°C), BS – body size/dry weight (µg), YS – yolk sac stage. Panels are
834 arranged by trial (#) numbers (Table 2).

835 **Figure 3:** Untransformed data overview for herring (*Clupea harengus*) food deprivation trials. Standardized
836 RNA-DNA ratio of individuals is plotted against time of food deprivation (days). Black bars indicate the
837 presence of endogenous reserves. T – temperature (°C), BS – body size/dry weight (µg), YS – yolk sac stage.
838 Panels are arranged by trial (#) numbers (Table 2).

839 **Figure 4:** Untransformed data overview for food deprivation trials in seven species. Standardized RNA-DNA
840 ratio of individuals is plotted against time of food deprivation (days). Black bars indicate the presence of
841 endogenous reserves. Samples were either analyzed as muscle tissue (indicated) or whole body (not indicated)
842 homogenates. T – temperature (°C), BS – body size/dry weight (µg), YS – yolk sac stage. Panels are arranged by
843 trial (#) numbers (Table 2).

844 **Figure 5:** Starvation rate (significant linear slope of 75th percentile ln-transformed *sRD* against time of food
845 deprivation) before (d^{-1} ; A + B) and after (Dd^{-1} ; C + D) degree-day-transformation plotted against water
846 temperature (°C; A+C) and log-initial dry weight ($\log(\mu\text{g})$; B+D). Symbols indicate species (see inlet figure); *mt*
847 indicates muscle tissue *sRD* assays. Inserts: The same plot with symbols indicating endogenous (white fill) and
848 exogenous (black fill) life stages.

849 **Figure 6** Threshold *sRD*. 10th percentile values of *sRD* in each trial are assumed to represent the lowest possible
850 biochemical condition sustaining life and therefore the edge of death. Threshold *sRD* for each trial plotted
851 against water temperature (°C; A) and log-initial dry weight ($\log(\mu\text{g})$; B). Symbols indicate species (see inlet
852 figure); *mt* indicates muscle tissue *sRD* assays. Inserts: The same plot with symbols indicating endogenous
853 (white fill) and exogenous (black fill) life stages.

854 **Figure 7** Time to death (Dd) based on the percentile approach plotted against temperature ($^{\circ}\text{C}$; A) and log-initial
855 dry weight ($\log(\mu\text{g})$; B). Symbols indicate species (see inlet figure); mt indicates muscle tissue sRD assays.
856 Inserts: The same plot with symbols indicating endogenous (white fill) and exogenous (black fill) life stages.

857 **Figure 8** Time to death (Dd) based on the percentile approach plotted against observed time to death (Dd).
858 Symbols indicate species (see inlet figure); mt indicates muscle tissue sRD assays. Regression lines: $y=x$ for
859 visual support. Left from this line, time to death is underestimated and mortality not (yet) expected. Insert A: The
860 same plot showing only endpoint trials, i.e. ending with 100 % larval mortality. Insert B: Time to death, based on
861 means (Dd) against observed time to death (Dd).

862

Table 1. Overview of 15 laboratory-based food-deprivation experiments compiled in the current study. Given are the number of individual value pairs of body size and biochemical condition in each experiment (n), the number (#) of trials using different water temperatures (T , °C) and initial body sizes (BS). RNA and DNA standard curve slope ratios (dimensionless) and standardization factors SF_{pi} (dimensionless) were used for intercalibration of results (Caldarone et al., 2006) from different RD assays [1 – Caldarone et al. (2001), 2 – Clemmesen (1993), 3 – Suneetha et al. (1999), 4 – Westermann and Holt (1988), 5 – Wagner et al. (1998)]. Analyzed tissue types: wb – whole body, mt – muscle tissue preparations. References to each experiment are given in the last column.

Experiment ID	Species	n	# trials	# T	# initial BS	slope ratio	Standard. factor SF_{pi}	RD assay	Tissue type	Reference
A	<i>G. morhua</i>	161	5	1	5	0.81	0.34	1	wb	Meyer (unpublished)
B	<i>G. morhua</i>	195	5	1	5	0.75	0.31	1	wb	Meyer (unpublished)
C	<i>G. morhua</i>	129	1	1	1	0.79	0.33	1	wb	Meyer (unpublished)
D	<i>G. morhua</i>	237	3	3	1	2.60	1.08	1	wb	Caldarone et al. (2003)
E	<i>G. morhua</i>	80	2	1	2	1.89	0.79	1	wb	Meyer (unpublished)
F	<i>C. harengus</i>	875	7	7	1	2.20	0.92	2	wb	Harrer (2006)
G	<i>C. harengus</i>	551	12	2	12	2.20	0.92	3	wb	Folkvord et al. (2009)
H	<i>C. harengus</i>	135	4	1	4	2.03	0.85	2	wb	Clemmesen (1994)
I	<i>M. aeglefinus</i>	52	3	3	1	2.68	1.12	1	wb	Caldarone (2005)
J	<i>S. sprattus</i>	103	1	1	1	0.77	0.32	1	mt	Peck et al. (in prep)
K	<i>P. microps</i>	431	5	5	1	2.20	0.92	2	wb	Petereit (unpublished)
L	<i>C. oxyrinchus</i>	273	2	2	1	2.20	0.92	2	mt	Malzahn et al. (2003)
M	<i>C. albula</i>	213	3	3	3	2.20	0.92	2	wb	Petereit (unpublished)
N	<i>P. lethostigma</i>	68	1	1	1	3.90	1.63	4	mt	Faulk and Holt (2009)
O	<i>S. aurata</i>	39	1	1	1	5.50	2.29	5	wb	Faria et al. (2011)
Sum	9	3542	55	33	40					

Table 2. Summarized results from food deprivation trials for all species in the present study: number of individuals (n), initial age (dph), yolk sac stage (YS), duration of the trial (d), water temperature (T, °C) and initial dry weight (DW_{ini} , μg), significance value p of temperature effect before (T effect d) and after (T effect Dd) degree-day normalization and dry weight effect (DW effect) on starvation time [tested within chosen experiments (indicated by horizontal lines), ANCOVA, significant difference in parameter estimates compared to reference trial (*ref.*)]. Significant differences are indicated by an asterisk (*). Starvation rates (Dd^{-1} , $\pm\text{SE}$), normalized *sRD* start value (90th percentile *sRD*), *sRD* threshold (10th percentile *sRD*) and time to death (*Dd*) are given for those trials where significant regression lines could be fitted to 75th percentile data. *n.s.* – not significant, n.k. – not known.

Exp ID	Trial #	Species	n	Initial age (dph)	YS	Duration (d)	T (°C)	T effect d	T effect Dd	DW_{ini} (μg)	DW effect	Starvation rate (Dd^{-1})	$\pm\text{SE}$	90 th percentile <i>sRD</i>	10 th percentile <i>sRD</i>	Time to death (<i>Dd</i>)
A	1	<i>G. morhua</i>	40	8	+	5	7.0	-	-	35.9	0.001*	-0.01251	0.00344	2.6	1.2	59.1
	2	<i>G. morhua</i>	36	12	-	4	7.0	-	-	42.6	0.593	<i>n.s.</i>	-	-	-	-
	3	<i>G. morhua</i>	12	17	-	4	7.0	-	-	65.0	0.384	-0.02065	0.00154	3.0	1.5	33.4
	4	<i>G. morhua</i>	37	17	-	7	7.0	-	-	61.4	0.079	-0.01498	0.00348	3.8	1.5	60.6
	5	<i>G. morhua</i>	36	25	-	6	7.0	-	-	88.0	<i>ref.</i>	<i>n.s.</i>	-	-	-	-
B	6	<i>G. morhua</i>	42	7	+	3	13.0	-	-	43.5	0.009*	<i>n.s.</i>	-	-	-	-
	7	<i>G. morhua</i>	25	10	-	2	13.0	-	-	61.5	0.557	<i>n.s.</i>	-	-	-	-
	8	<i>G. morhua</i>	47	13	-	4	13.0	-	-	95.6	0.454	-0.01346	0.00329	3.8	1.6	62.9
	9	<i>G. morhua</i>	40	16	-	3	13.0	-	-	172.8	0.734	<i>n.s.</i>	-	-	-	-
	10	<i>G. morhua</i>	41	18	-	3	13.0	-	-	214.0	<i>ref.</i>	-0.01023	0.00077	2.7	1.8	42.9
C	11	<i>G. morhua</i>	129	9	-	3	10.0	-	-	50.9	-	<i>n.s.</i>	-	-	-	-
D	12	<i>G. morhua</i>	90	2	+	18	2.6	0.002*	0.074	71.2	-	-0.01924	0.00167	2.8	1.3	39.5
	13	<i>G. morhua</i>	97	0	+	10	5.8	0.081	0.330	70.4	-	-0.01342	0.00141	3.1	1.3	64.3
	14	<i>G. morhua</i>	50	2	+	10	9.0	<i>ref.</i>	<i>ref.</i>	71.6	-	<i>n.s.</i>	-	-	-	-
E	15	<i>G. morhua</i>	62	1	+	11	10.0	-	-	69.2	0.006*	-0.00774	0.00187	4.9	1.5	156.1
	16	<i>G. morhua</i>	19	15	-	6	10.0	-	-	107.0	<i>ref.</i>	<i>n.s.</i>	-	-	-	-

Table 2. *continued.*

Exp ID	Trial #	Species	n	Initial age (dph)	Duration (d)	YS	<i>T</i> (°C)	<i>T</i> effect d	<i>T</i> effect <i>Dd</i>	<i>DW</i> _{ini} (μg)	<i>DW</i> effect	Starvation rate (<i>Dd</i> ⁻¹)	±SE	90 th percentile <i>sRD</i>	10 th percentile <i>sRD</i>	Time to death (<i>Dd</i>)
F	17	<i>C. harengus</i>	125	0	12	+	3.5	0.000*	0.097	56.8	-	<i>n.s.</i>	-	-	-	-
	18	<i>C. harengus</i>	125	0	12	+	5.5	0.001*	0.111	56.8	-	-0.00299	0.00054	2.3	1.6	110.3
	19	<i>C. harengus</i>	131	0	12	+	7.5	0.004*	0.069	56.8	-	<i>n.s.</i>	-	-	-	-
	20	<i>C. harengus</i>	124	0	12	+	9.5	0.038*	0.307	56.8	-	-0.00632	0.00133	2.3	1.0	134.3
	21	<i>C. harengus</i>	121	0	12	+	11.5	0.192	0.653	56.8	-	-0.00682	0.00090	2.3	0.8	157.6
	22	<i>C. harengus</i>	124	0	12	+	13.5	0.700	0.752	56.8	-	-0.00733	0.00068	2.3	0.6	174.2
	23	<i>C. harengus</i>	125	0	12	+	15.5	<i>ref.</i>	<i>ref.</i>	56.8	-	-0.00718	0.00097	2.3	0.5	198.5
G	24	<i>C. harengus</i>	43	14	7	-	6.0	-	-	228.3	0.000*	-0.00689	0.00177	2.5	1.9	45.0
	25	<i>C. harengus</i>	49	14	7	-	6.0	-	-	288.8	0.000*	<i>n.s.</i>	-	-	-	-
	26	<i>C. harengus</i>	40	28	5	-	6.0	-	-	227.0	0.000*	<i>n.s.</i>	-	-	-	-
	27	<i>C. harengus</i>	49	28	7	-	6.0	-	-	525.2	0.173	-0.01125	0.00063	3.8	2.2	48.4
	28	<i>C. harengus</i>	49	42	7	-	6.0	-	-	344.1	0.000*	-0.00884	0.00242	2.7	1.5	66.6
	29	<i>C. harengus</i>	50	42	7	-	6.0	-	-	902.6	<i>ref.</i>	-0.01105	0.00141	4.0	2.3	48.4
	30	<i>C. harengus</i>	38	14	5	-	10.0	-	-	207.4	0.000*	<i>n.s.</i>	-	-	-	-
	31	<i>C. harengus</i>	45	14	7	-	10.0	-	-	500.2	0.104	<i>n.s.</i>	-	-	-	-
	32	<i>C. harengus</i>	39	28	5	-	10.0	-	-	213.7	0.000*	-0.00979	0.00211	2.0	1.0	74.3
	33	<i>C. harengus</i>	48	28	7	-	10.0	-	-	1739.0	0.492	-0.00687	0.00032	3.9	2.2	79.9
	34	<i>C. harengus</i>	50	42	7	-	10.0	-	-	464.4	0.001*	-0.00894	0.00170	2.6	1.2	89.6
	35	<i>C. harengus</i>	51	42	7	-	10.0	-	-	6051.1	<i>ref.</i>	-0.00725	0.00168	3.7	2.3	66.3
H	36	<i>C. harengus</i>	25	21	7	-	14.5	-	-	215.6	0.818	<i>n.s.</i>	-	-	-	-
	37	<i>C. harengus</i>	41	27	9	-	14.5	-	-	296.7	0.365	<i>n.s.</i>	-	-	-	-
	38	<i>C. harengus</i>	35	33	8	-	14.7	-	-	391.2	0.433	-0.00599	0.00076	3.8	1.5	150.3
	39	<i>C. harengus</i>	34	42	9	-	15.2	-	-	537.5	<i>ref.</i>	-0.00759	0.00158	4.7	1.3	170.0

Table 2. *continued.*

Exp ID	Trial #	Species	n	Initial age (dph)	Duration (d)	YS	<i>T</i> (°C)	<i>T</i> effect d	<i>T</i> effect <i>Dd</i>	<i>DW</i> _{ini} (μg)	<i>DW</i> effect	Starvation rate (<i>Dd</i> ⁻¹)	±SE	90 th percentile <i>sRD</i>	10 th percentile <i>sRD</i>	Time to death (<i>Dd</i>)
I	40	<i>M. aeglefinus</i>	18	2	16	+	5.0	0.014	0.929	699.7	-	-0.01314	0.00093	3.9	1.5	75.9
	41	<i>M. aeglefinus</i>	16	3	13	+	7.9	0.253	0.937	680.7	-	n.s.	-	-	-	-
	42	<i>M. aeglefinus</i>	18	3	11	+	10.0	<i>ref.</i>	<i>ref.</i>	746.7	-	n.s.	-	-	-	-
J	43	<i>S. sprattus</i>	103	<i>n.k.</i>	12	-	18.0	-	-	43230.0	-	-0.00428	0.00132	4.8	1.3	305.3
K	44	<i>P. microps</i>	92	<i>n.k.</i>	12	-	9.8	0.000	0.005*	556.3	-	-0.00573	0.00088	2.4	1.0	146.4
	45	<i>P. microps</i>	92	<i>n.k.</i>	12	-	13.0	0.000	0.066	556.3	-	-0.00726	0.00080	2.4	0.8	151.5
	46	<i>P. microps</i>	89	<i>n.k.</i>	12	-	16.2	0.011	0.358	556.3	-	-0.00632	0.00087	2.4	0.6	229.4
	47	<i>P. microps</i>	84	<i>n.k.</i>	11	-	19.2	0.240	0.903	556.3	-	-0.00640	0.00090	2.4	0.5	239.6
	48	<i>P. microps</i>	74	<i>n.k.</i>	9	-	24.1	<i>ref.</i>	<i>ref.</i>	556.3	-	-0.00746	0.00069	2.5	0.5	223.9
L	49	<i>C. oxyrinchus</i>	189	1	36	+	8.4	0.070	0.993	1291.0	-	-0.00447	0.00064	1.6	0.3	409.7
	50	<i>C. oxyrinchus</i>	84	1	16	+	17.4	<i>ref.</i>	<i>ref.</i>	1291.0	-	n.s.	-	-	-	-
M	51	<i>C. albula</i>	59	17	12	+	3.7	-	-	291.2	-	n.s.	-	-	-	-
	52	<i>C. albula</i>	55	5	12	+	7.4	0.022*	0.162	388.3	-	-0.00480	0.00080	1.2	0.6	124.7
	53	<i>C. albula</i>	115	5	24	+	8.5	<i>ref.</i>	<i>ref.</i>	454.4	-	-0.00481	0.00039	1.0	0.4	192.8
N	54	<i>P. lethostigma</i>	68	51	10	-	18.3	-	-	18865.3	-	-0.00500	0.00033	4.8	1.6	224.8
O	55	<i>S. aurata</i>	39	35	3	-	21.6	-	-	2144.4	-	n.s.	-	-	-	-

Figure 1.

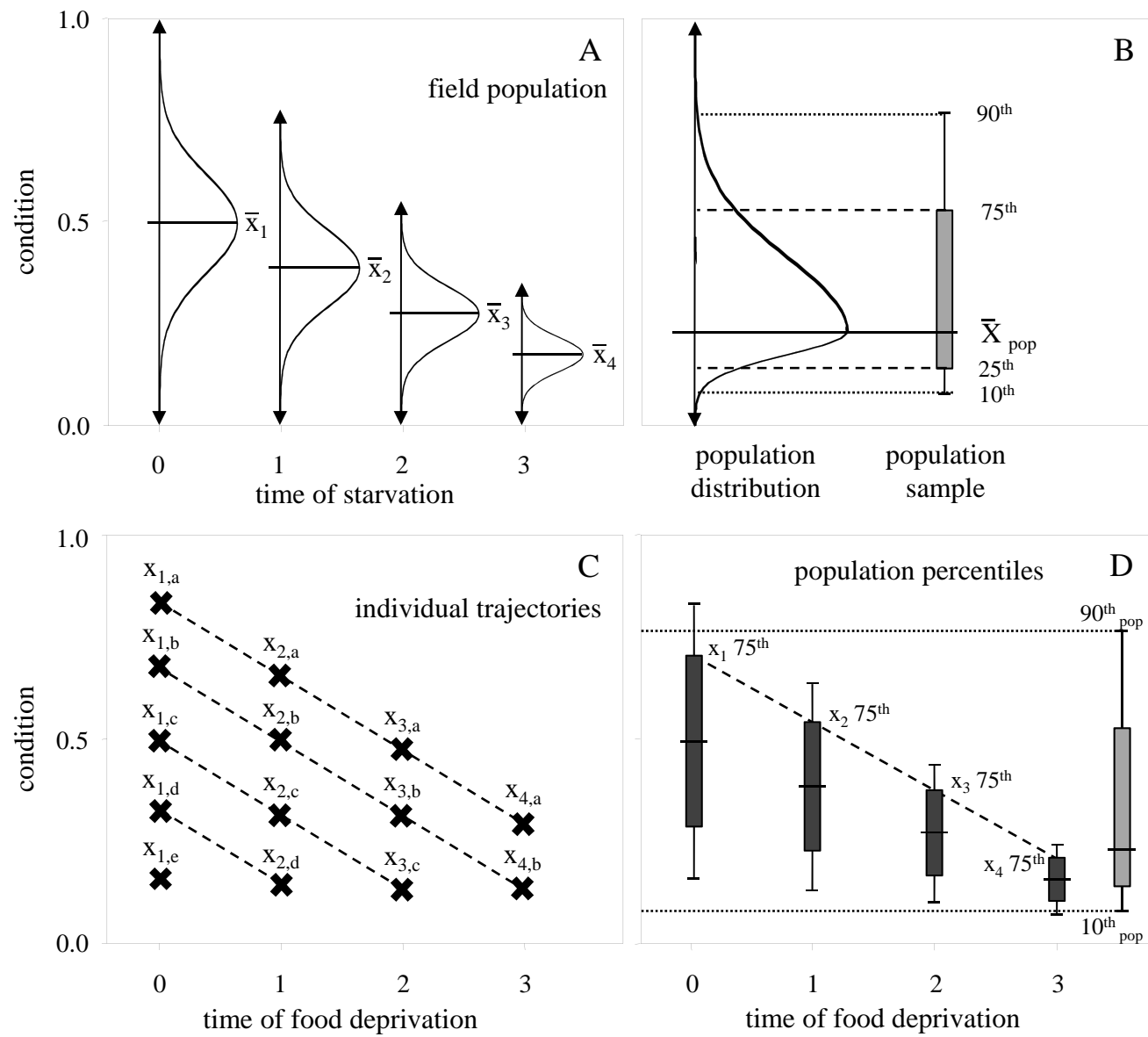


Figure 2.

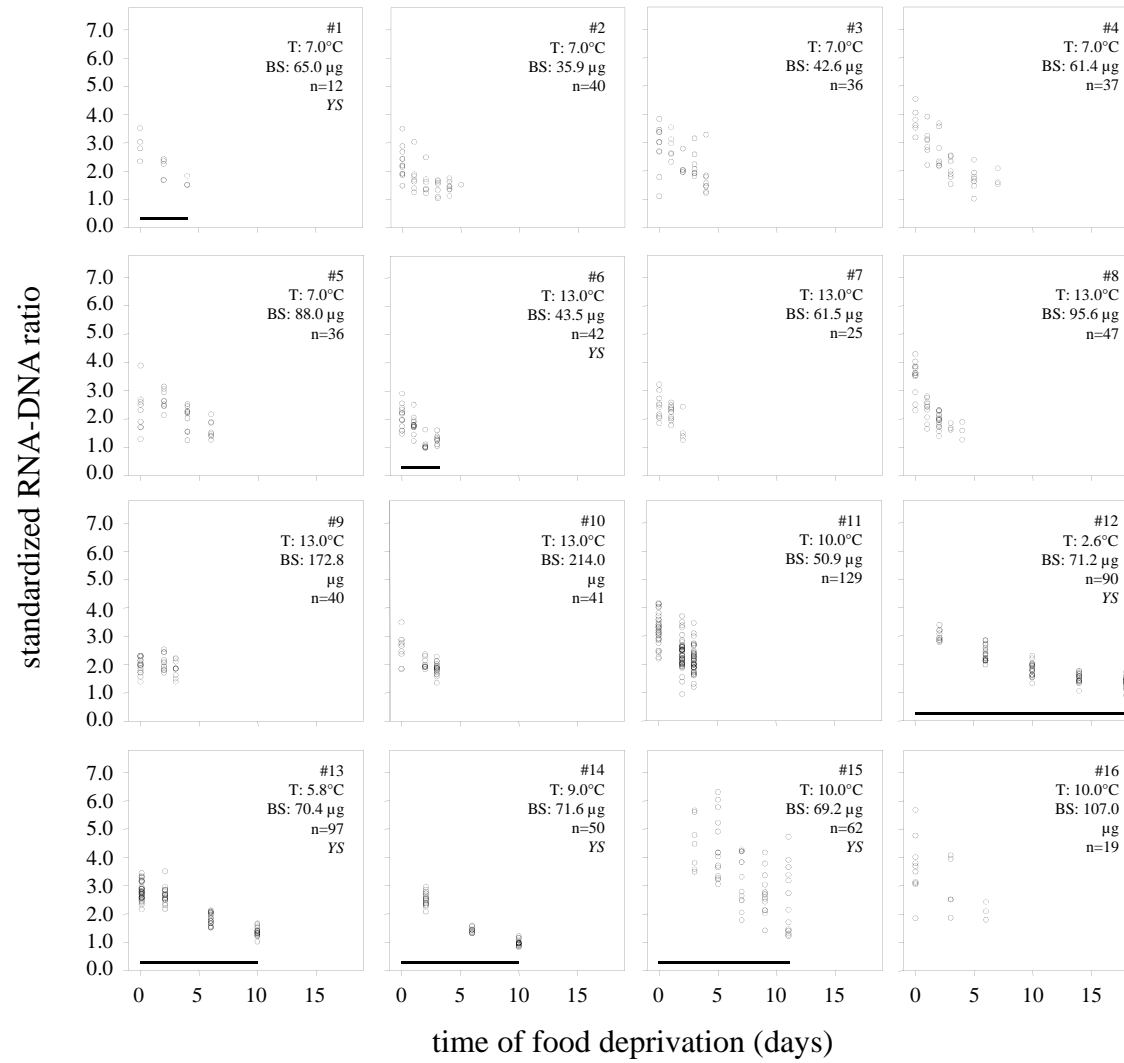


Figure 3.

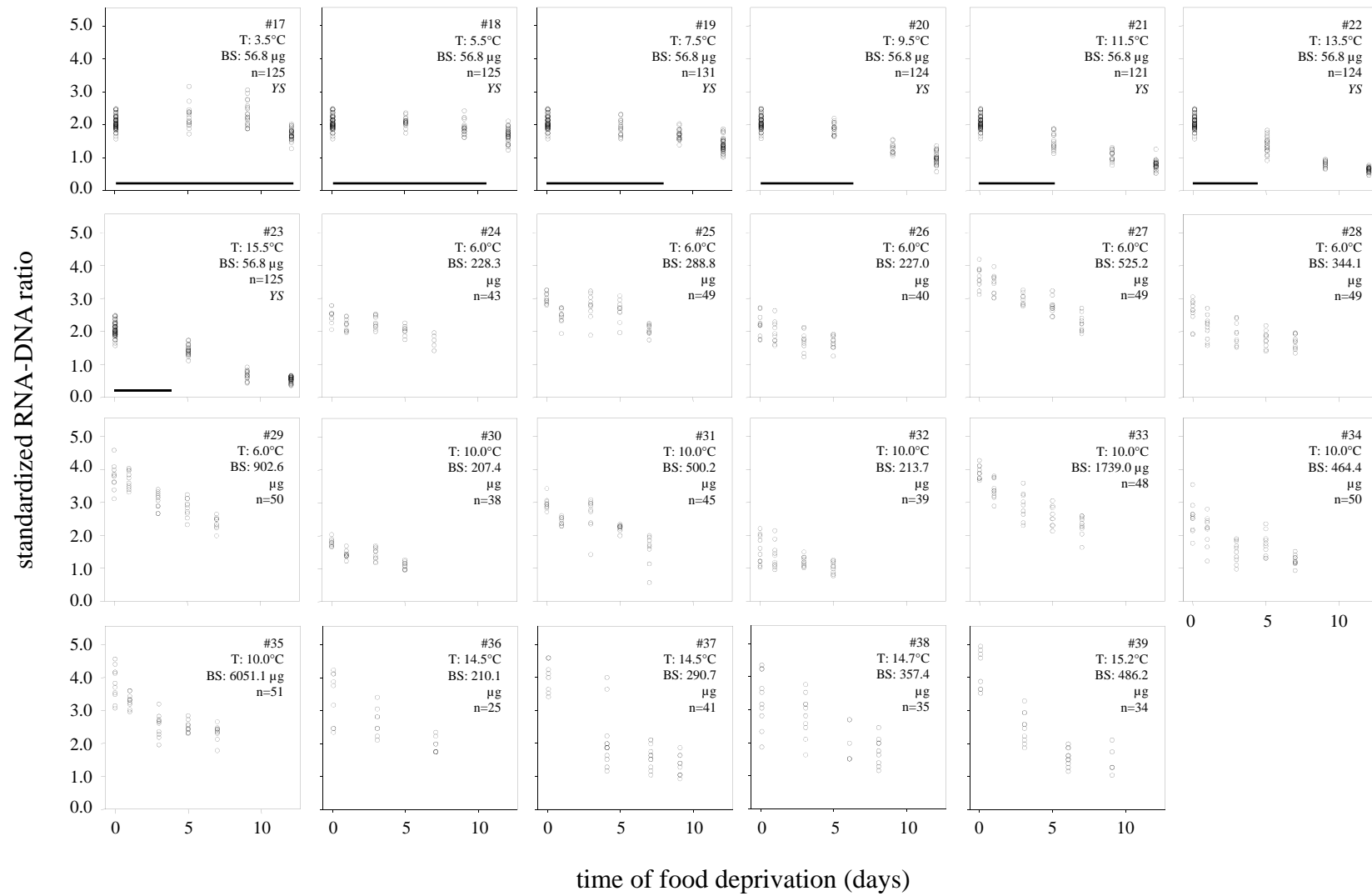


Figure 4.

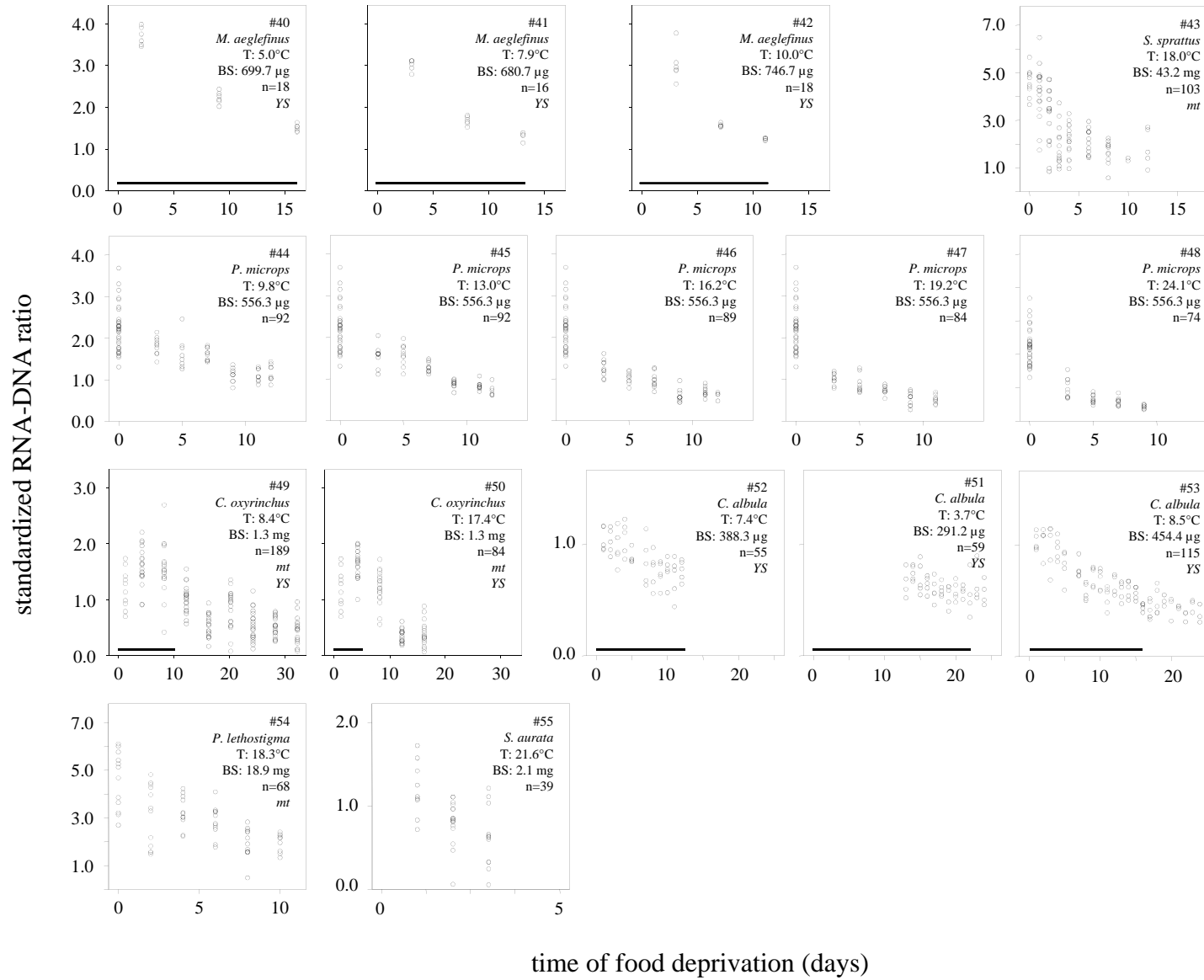


Figure 5.

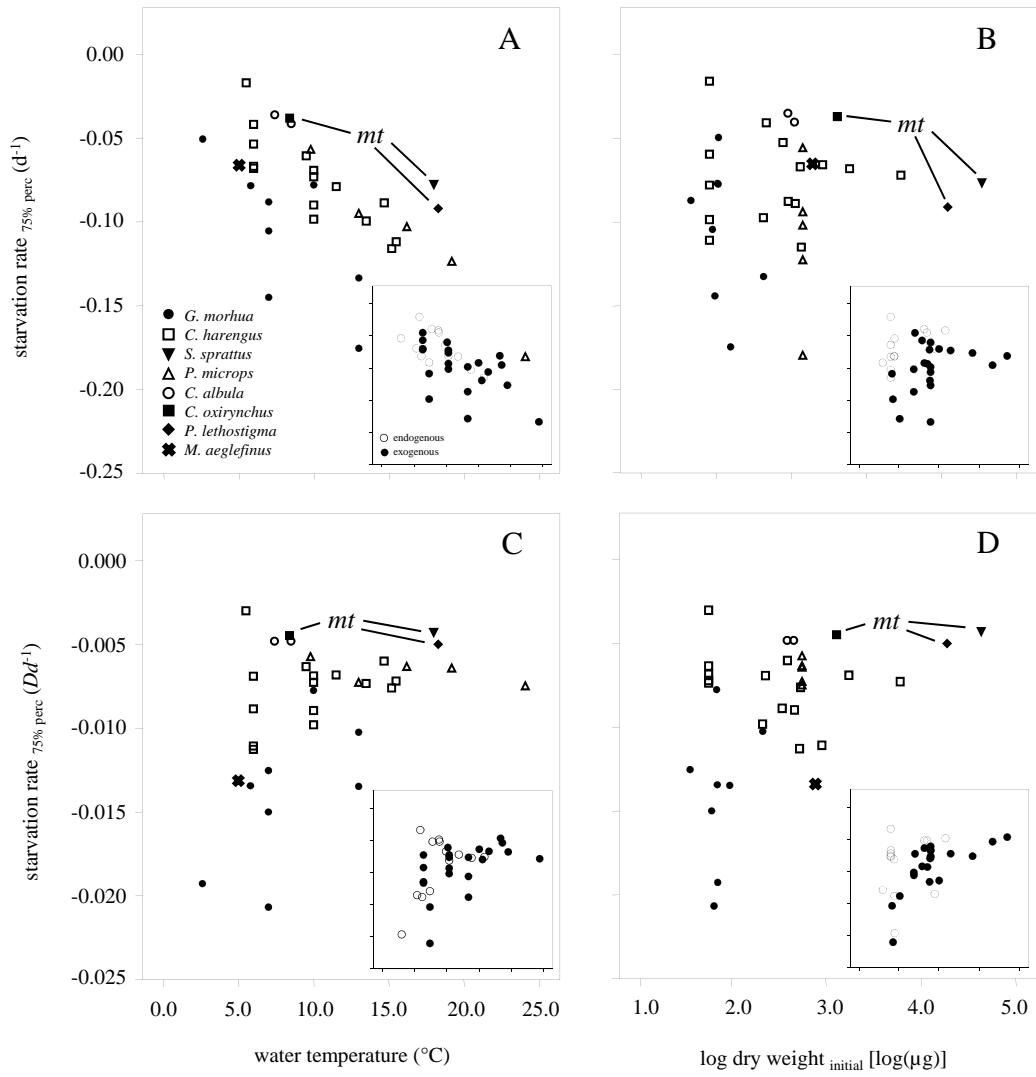


Figure 6.

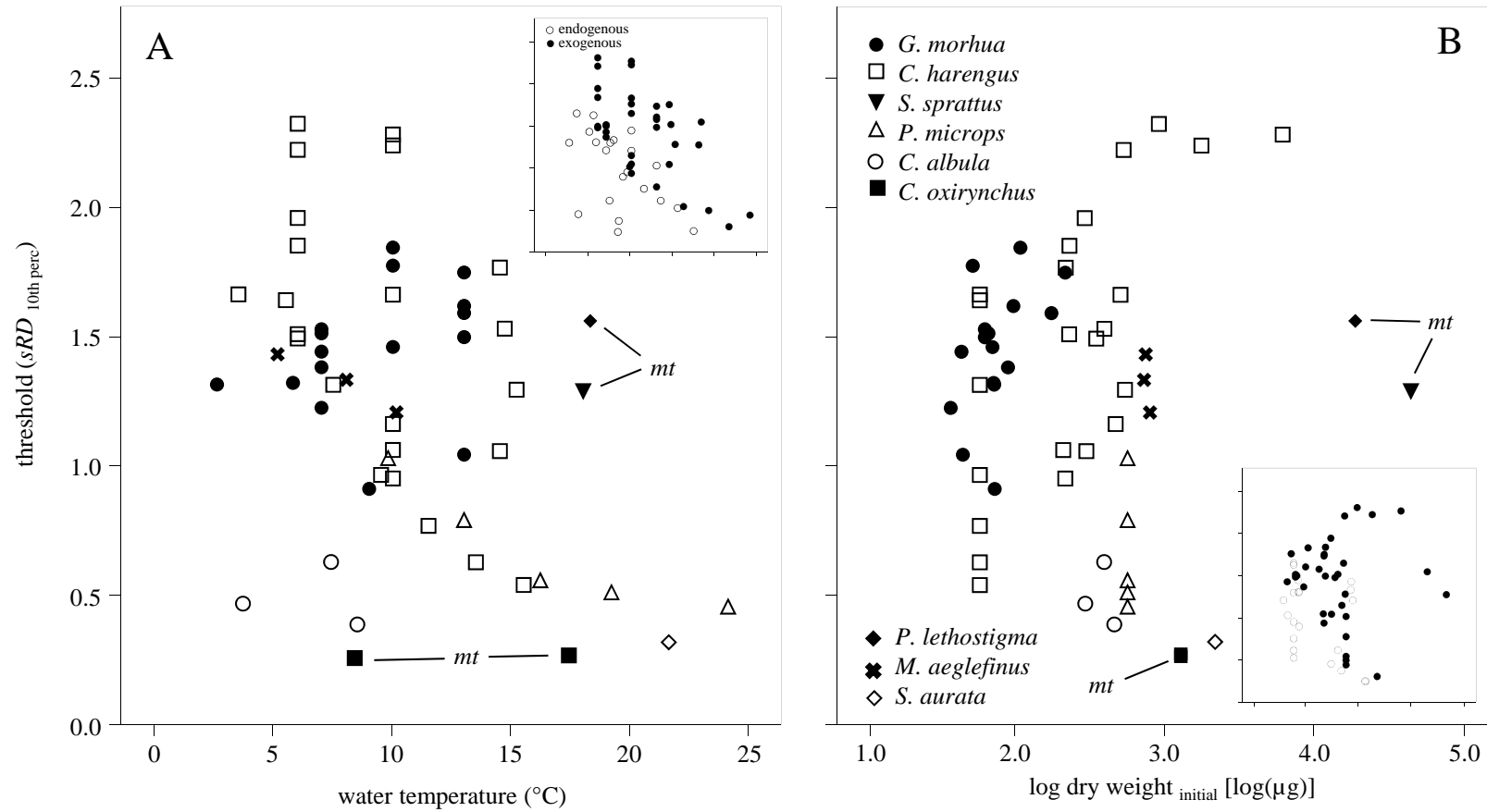


Figure 7.

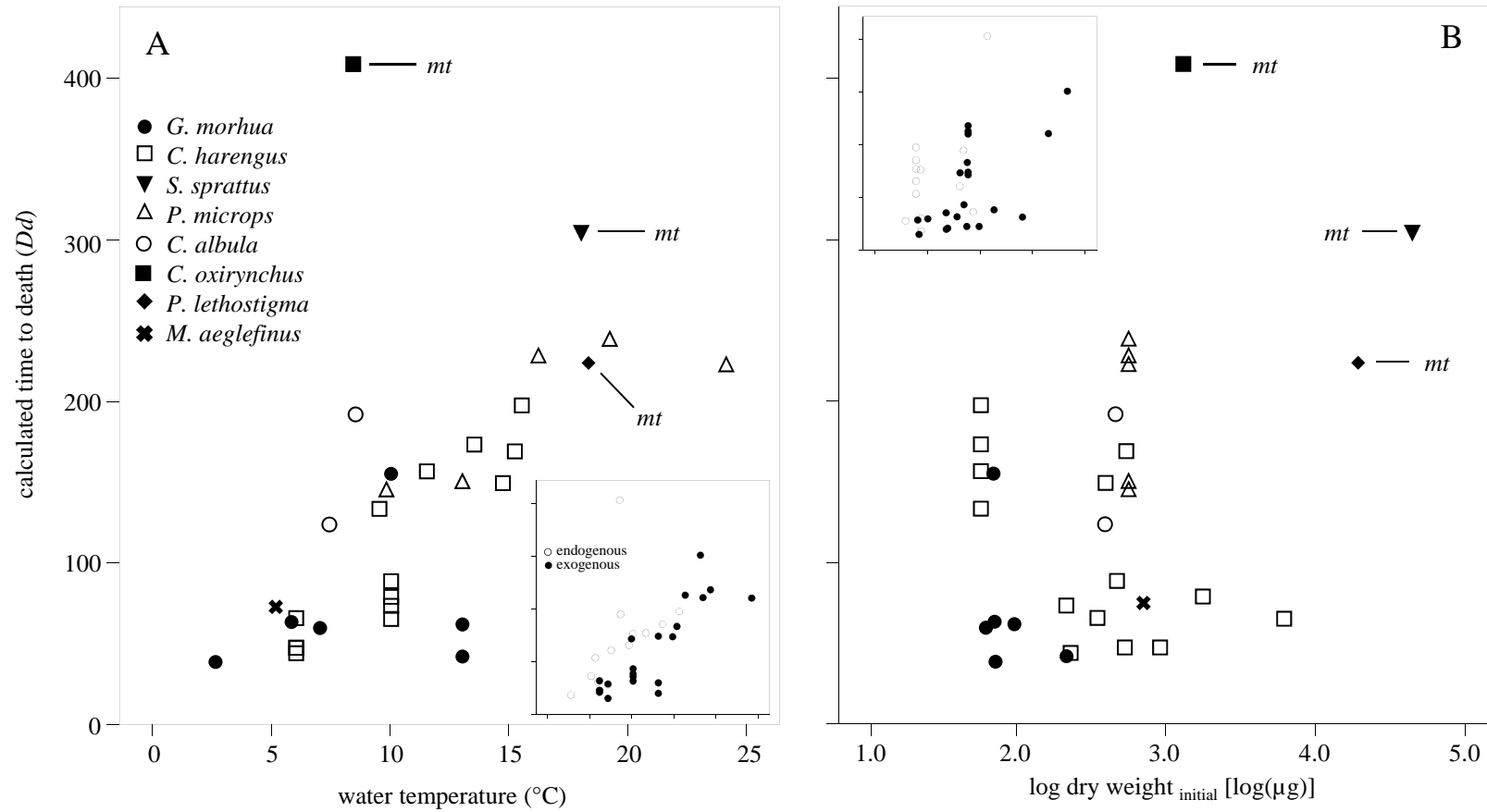


Figure 8.

